

Expression of Interest: Proposal to search for Heavy Neutral Leptons at the SPS

(CERN-SPSC-2013-024 / SPSC-EOI-010)

On behalf of:

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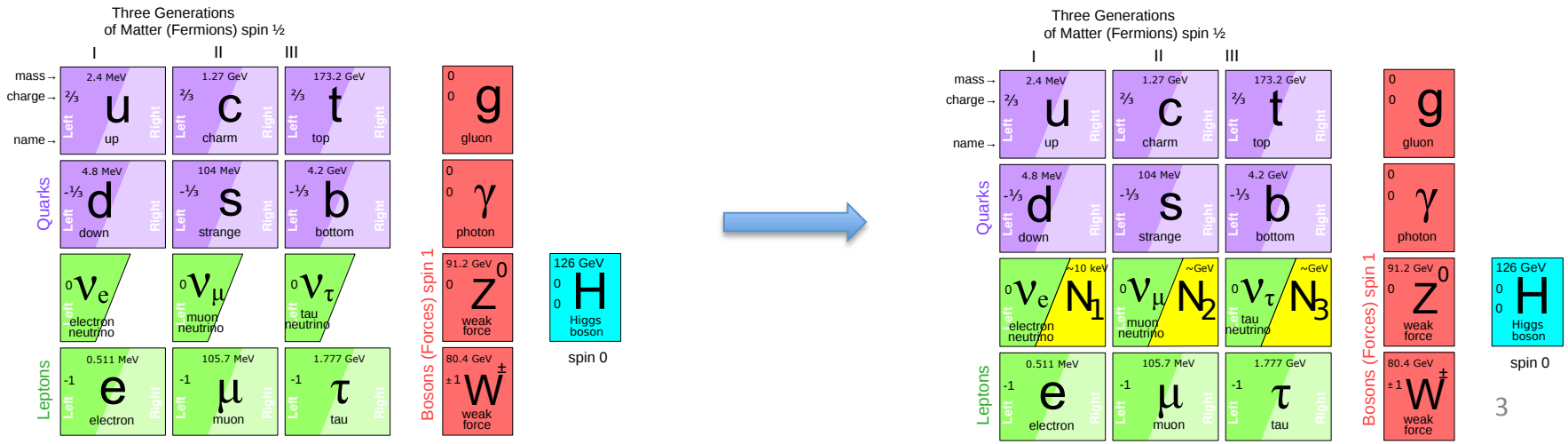
Triumph of the Standard Model



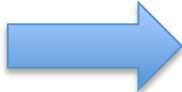
Theoretical motivation

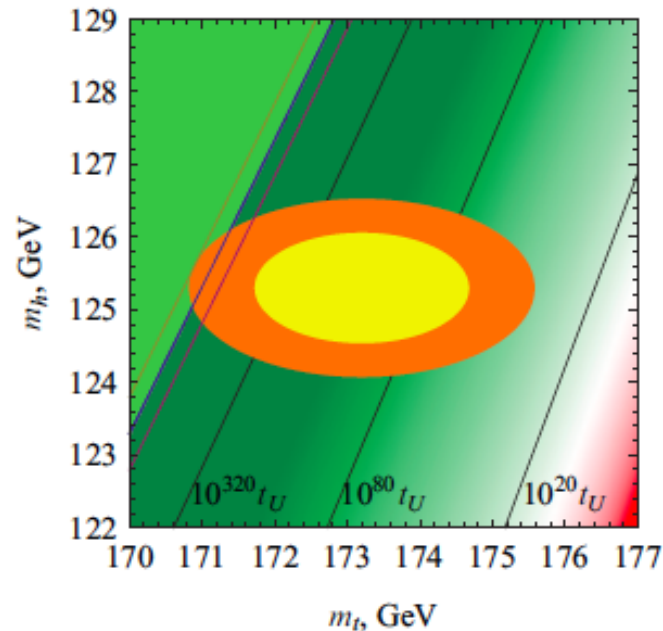
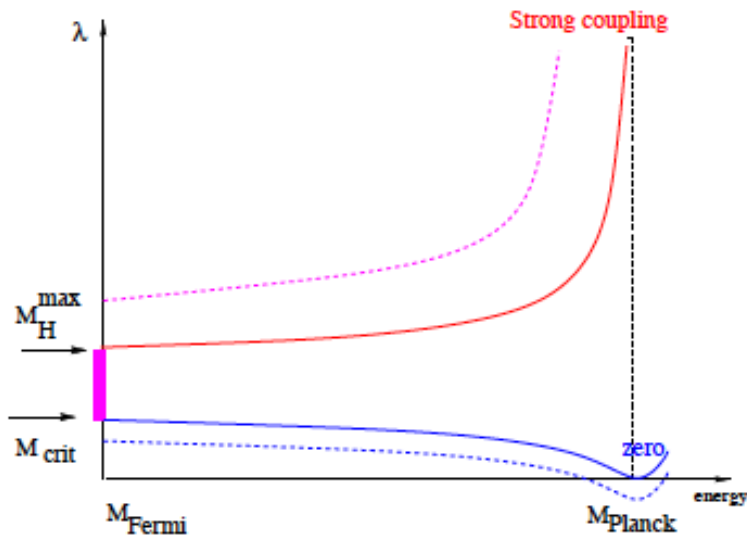
- Discovery of the 126 GeV Higgs boson → Triumph of the Standard Model
The SM may work successfully up to Planck scale ! 
- SM is unable to explain:
 - Neutrino masses
 - Excess of matter over antimatter in the Universe
 - The nature of non-baryonic Dark Matter
- All three issues can be solved by adding three new fundamental fermions, right-handed Majorana **Heavy Neutral Leptons (HNL): N_1, N_2 and N_3**

ν MSM: T.Asaka, M.Shaposhnikov PL B620 (2005) 17



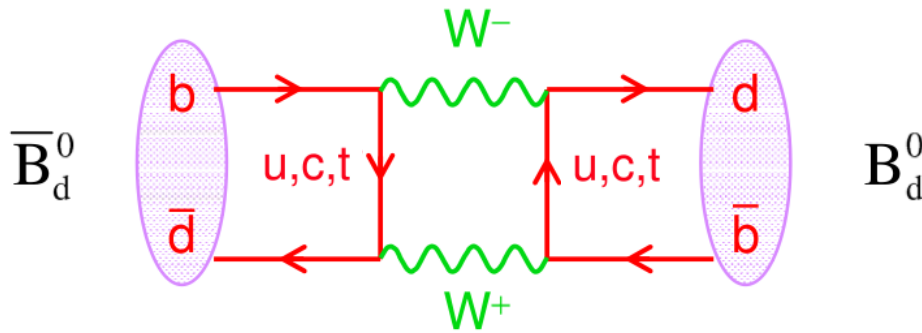
SM may well be a consistent effective theory all the way up to the Planck scale

- ✓ *No sign of New Physics seen beyond the SM* 
- ✓ **$M_H < 175 \text{ GeV}$** \rightarrow *SM is a weakly coupled theory up to Planck energies !*
- ✓ **$M_H > 111 \text{ GeV}$** \rightarrow *The EW vacuum is stable or metastable with a lifetime greatly exceeding the age of our Universe (Espinosa et al)*

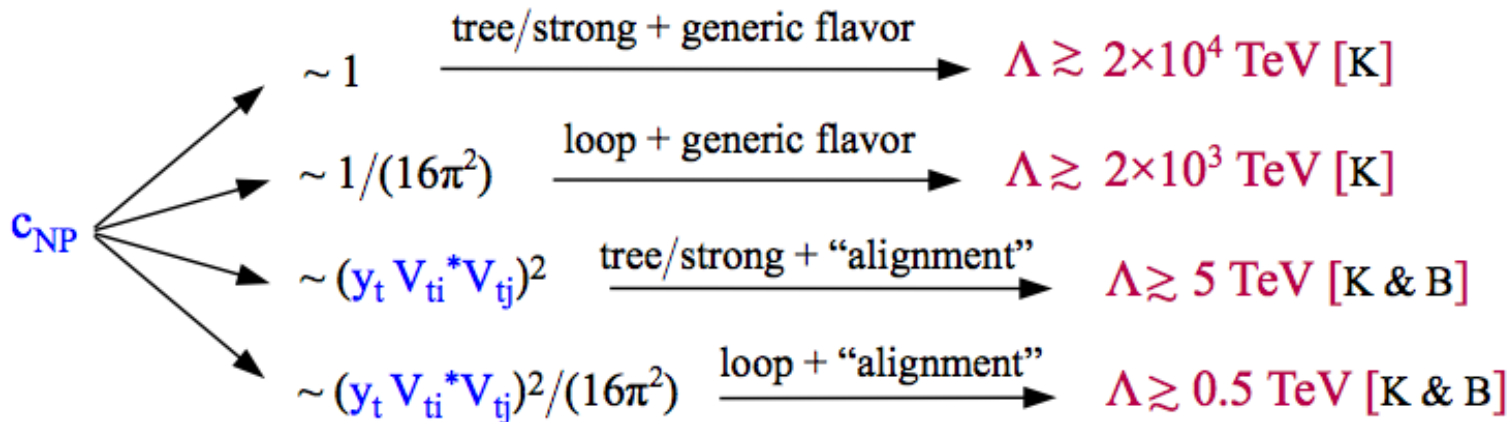
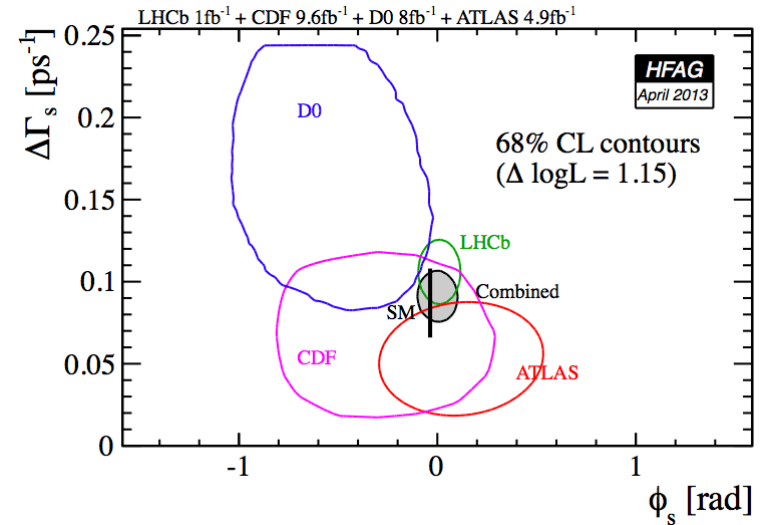


Bounds on the scale of New Physics

Most stringent limits come from observables in $B\bar{B}$ mixing



$$M(B_d - \bar{B}_d) \sim \frac{(y_t^2 V_{tb}^* V_{td})^2}{16\pi^2 m_t^2} + c_{NP} \frac{1}{\Lambda^2}$$

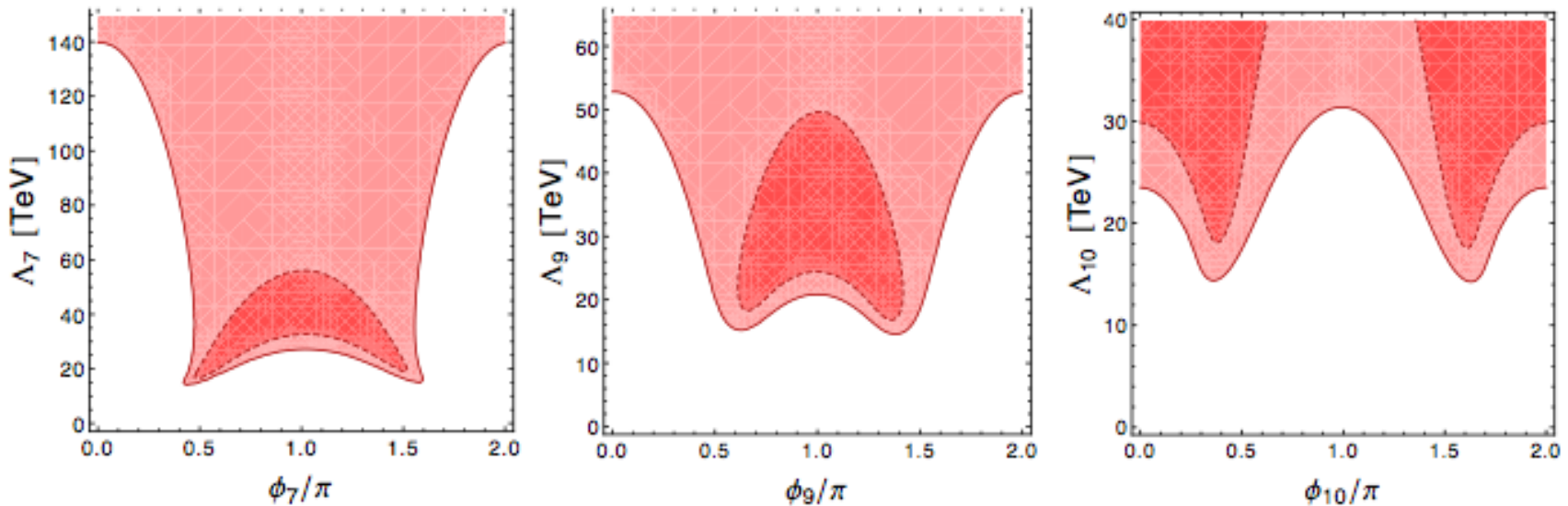


Bounds on the scale of New Physics

Limits from EW penguin processes

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \sum_{j=7,9,10} \frac{e^{i\phi_j}}{\Lambda_j^2} \theta_j$$

~tree level generic flavour violation



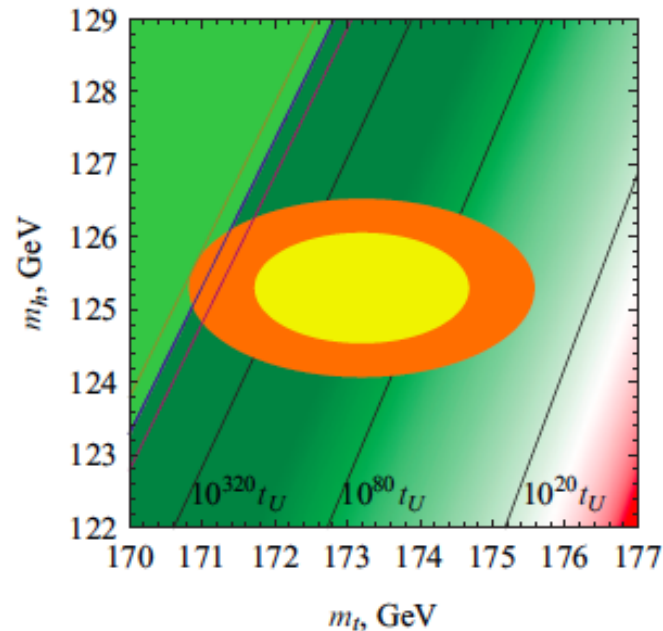
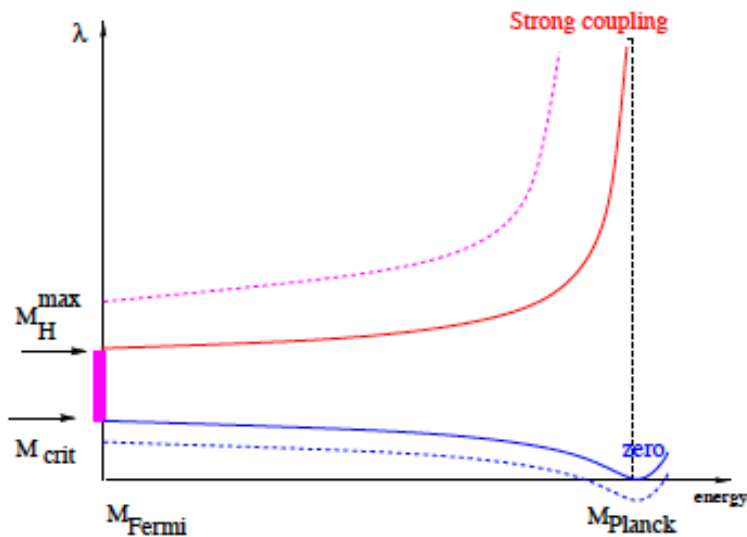
Λ_{NP} > 14 - 140 TeV !!!

Some hints for deviation from SM with existing data:

- Violation of spectator model in $B \rightarrow K\mu\mu$
- Angular analysis of $B \rightarrow K^*\mu\mu$

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The mass of the Higgs boson is very close to the stability bound of the Higgs mass *

$$M_{crit} = [129.3 + \frac{y_t(M_t) - 0.9361}{0.0058} \times 2.0 - \frac{\alpha_s(M_Z) - 0.1184}{0.0007} \times 0.5] \text{ GeV}$$

$y_t(M_t)$ - top Yukawa in $\overline{\text{MS}}$ scheme

Matching at EW scale

Bezrukov et al, $\mathcal{O}(\alpha\alpha_s)$

Degrassi et al, $\mathcal{O}(\alpha\alpha_s, y_t^2\alpha_s, \lambda^2, \lambda\alpha_s)$

Buttazzo et al, complete 2-loop

Central value

theor. error

129.4 GeV

1.0 GeV

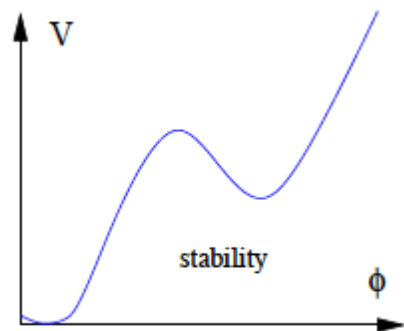
129.6 GeV

0.7 GeV

129.3 GeV

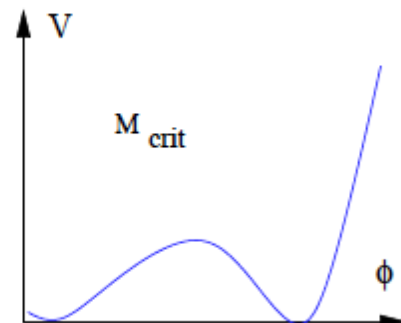
0.07 GeV

Chetyrkin et al, Mihaila et al, Bednyakov et al, 3 loop running to high energies



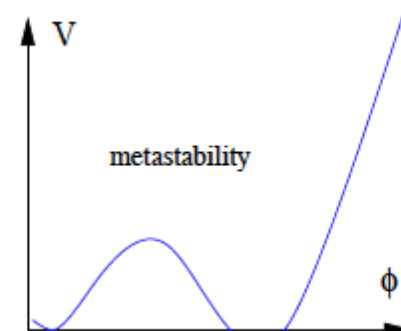
Fermi

Planck



Fermi

Planck



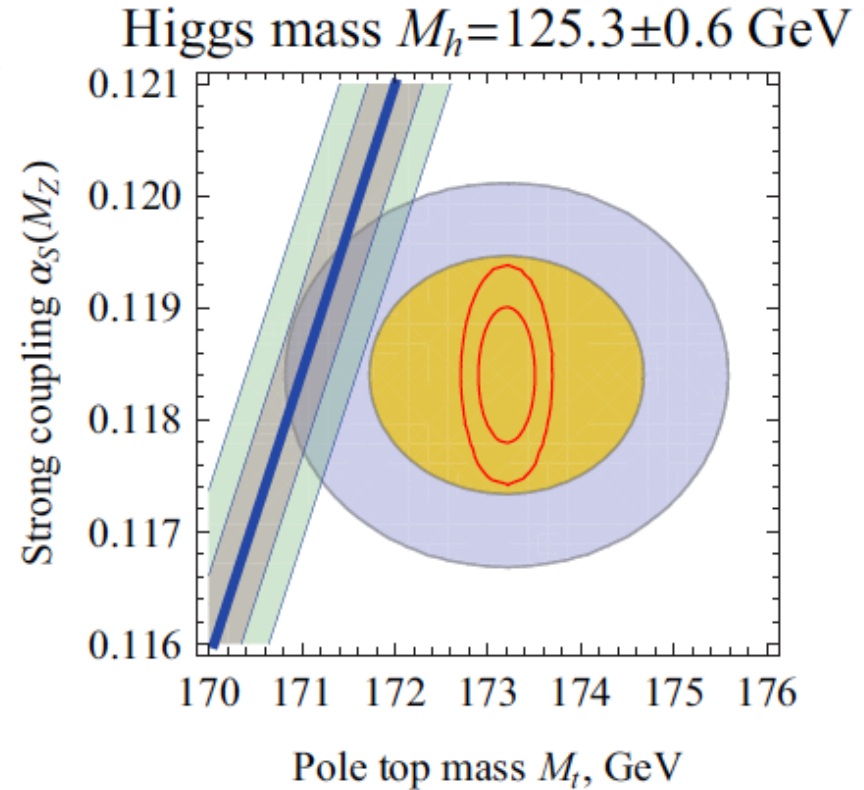
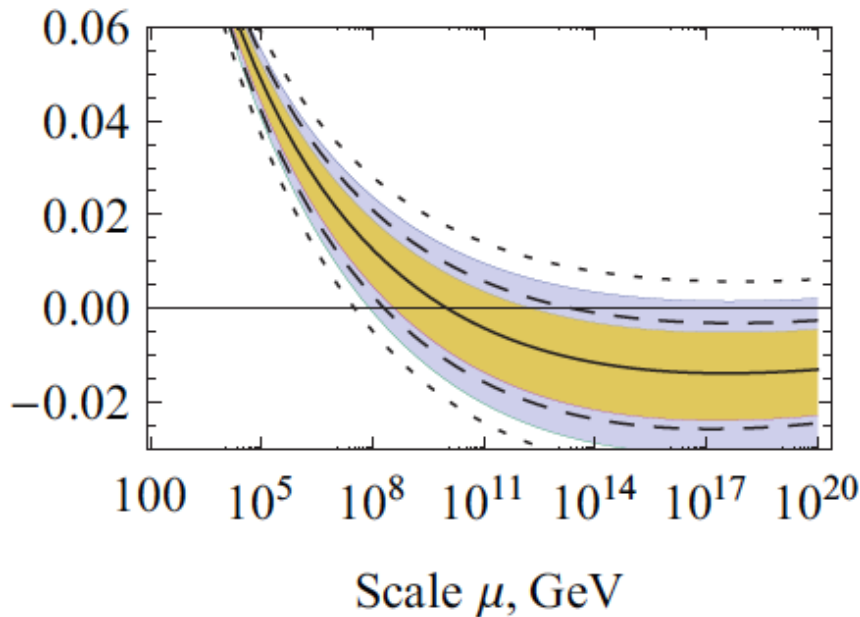
Fermi

Planck

* Froggatt, Nielsen

Our vacuum may be absolutely stable as perfectly compatible with current measurements of M_t , M_H and α_s

Higgs mass $M_h = 125.3 \pm 0.6$ GeV



errors in y_t : theory + experiment

Tevatron: $M_t = 173.2 \pm 0.51 \pm 0.71$ GeV

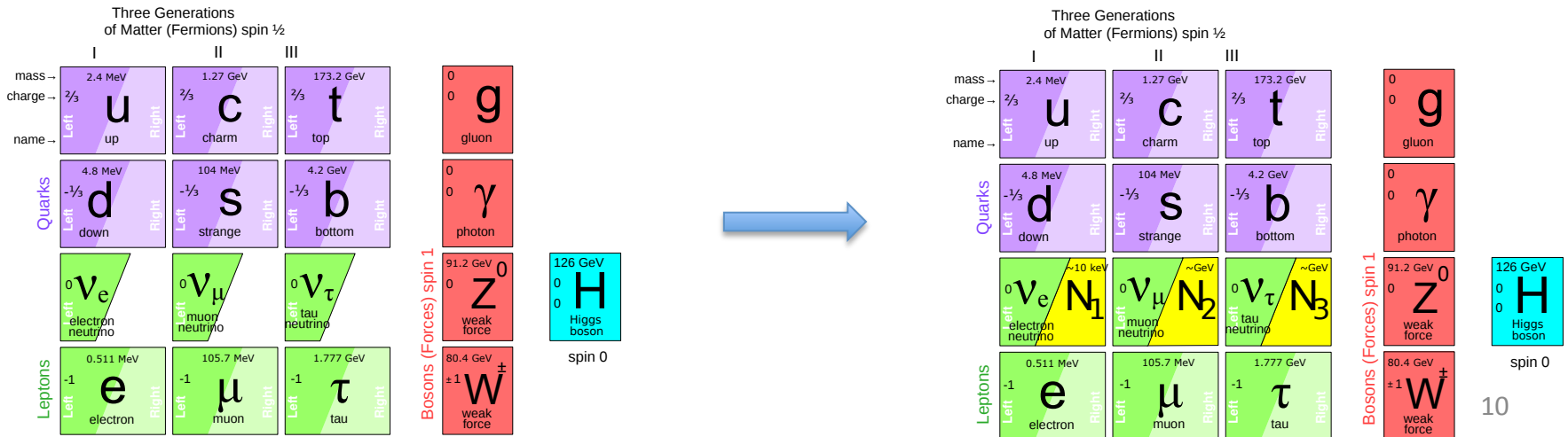
ATLAS and CMS: $M_t = 173.4 \pm 0.4 \pm 0.9$ GeV

$\alpha_s = 0.1184 \pm 0.0007$

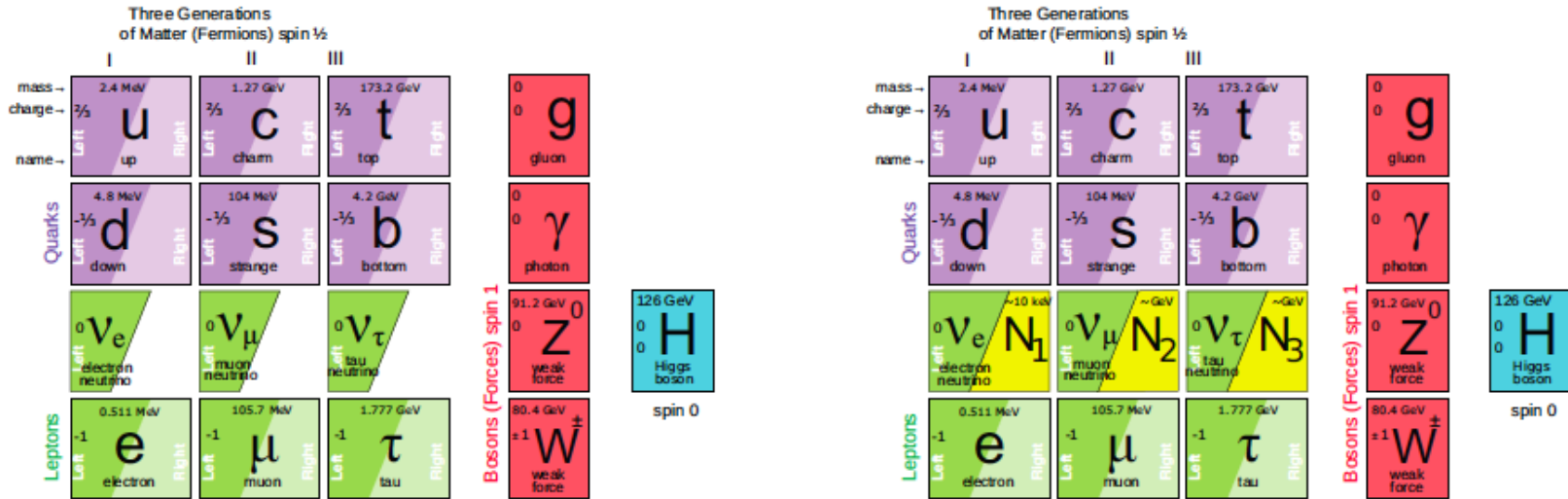
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The ν MSM model



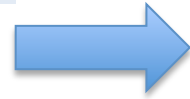
N = Heavy Neutral Lepton - HNL

Role of N_1 with mass in keV region: dark matter

Role of N_2 , N_3 with mass in 100 MeV – GeV region: “give” masses to neutrinos and produce baryon asymmetry of the Universe

Role of the Higgs: give masses to quarks, leptons, Z and W and inflate the Universe.

Masses and couplings of HNLs

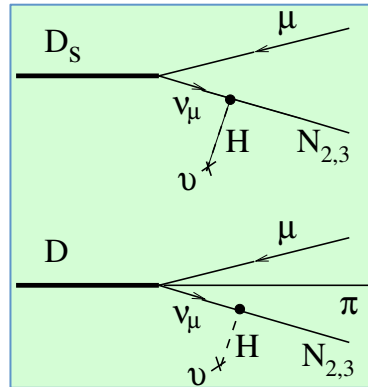


- N_1 can be sufficiently stable to be a DM candidate, $M(N_1) \sim 10 \text{ keV}$
- $M(N_2) \approx M(N_3) \sim \text{a few GeV} \rightarrow$ CPV can be increased dramatically to explain Baryon Asymmetry of the Universe (BAU)

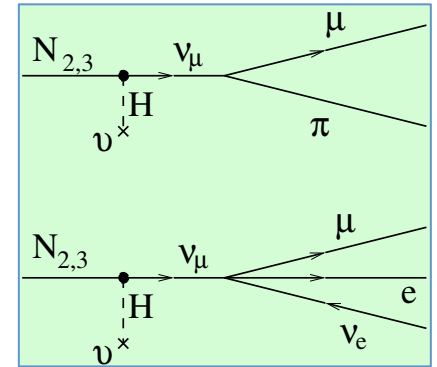
Very weak $N_{2,3}$ -to- ν mixing ($\sim U^2$) $\rightarrow N_{2,3}$ are much longer-lived than the SM particles

Example:

$N_{2,3}$ production in charm



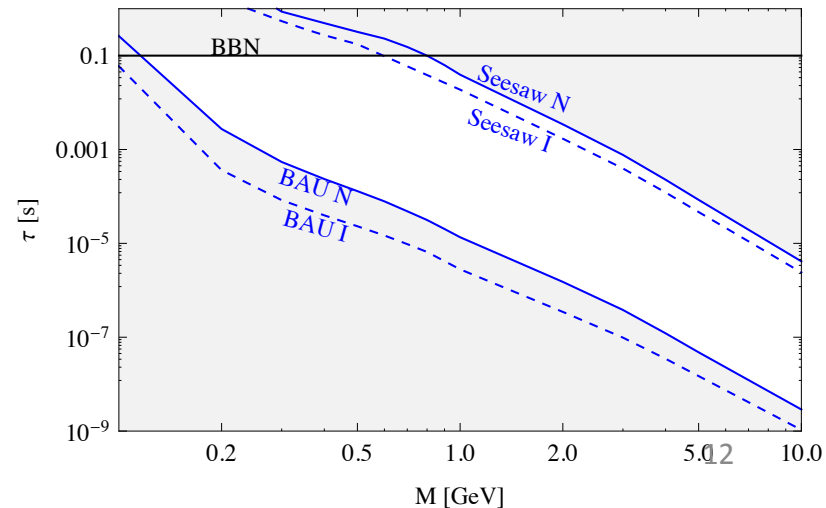
and subsequent decays



- Typical lifetimes $> 10 \mu\text{s}$ for $M(N_{2,3}) \sim 1 \text{ GeV}$
Decay distance $O(\text{km})$

- Typical BRs (depending on the flavour mixing):

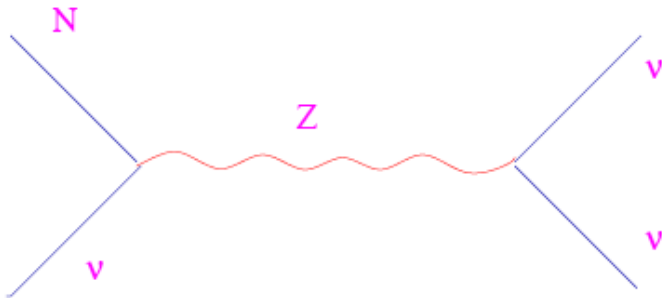
$$\begin{aligned} Br(N \rightarrow \mu/e \pi) &\sim 0.1 - 50\% \\ Br(N \rightarrow \mu^-/e^- \rho^+) &\sim 0.5 - 20\% \\ Br(N \rightarrow \nu\mu e) &\sim 1 - 10\% \end{aligned}$$



Dark Matter candidate HNL N_1

Yukawa couplings are small \rightarrow

N can be very stable.



Main decay mode: $N \rightarrow 3\nu$.

Subdominant radiative decay

channel: $N \rightarrow \nu\gamma$.

For one flavour:

$$\tau_{N_1} = 10^{14} \text{ years} \left(\frac{10 \text{ keV}}{M_N} \right)^5 \left(\frac{10^{-8}}{\theta_1^2} \right)$$

$$\theta_1 = \frac{m_D}{M_N}$$

Dark Matter candidate HNL N_1

DM particle is not stable. Main decay mode $N_1 \rightarrow 3\nu$ is not observable.

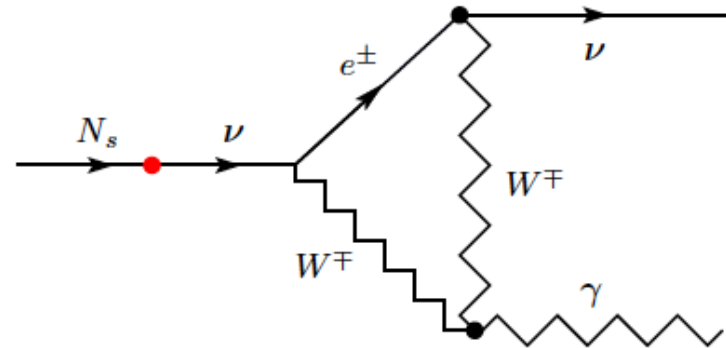
Subdominant radiative decay channel: $N \rightarrow \nu\gamma$.

Photon energy:

$$E_\gamma = \frac{M}{2}$$

Radiative decay width:

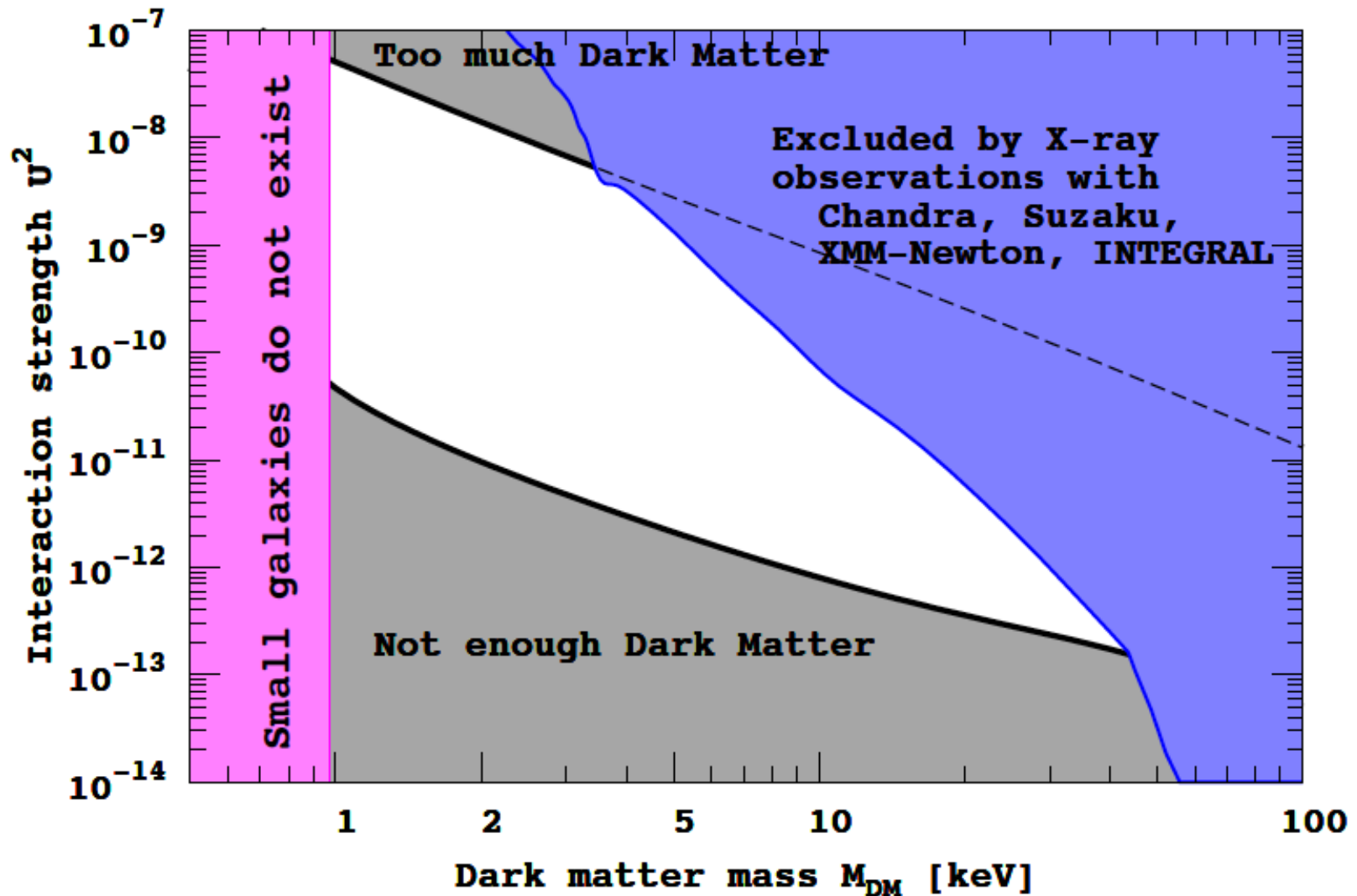
$$\Gamma_{\text{rad}} = \frac{9 \alpha_{\text{EM}} G_F^2}{256 \cdot 4\pi^4} \sin^2(2\theta) M_N^5$$



Constraints on DM HNL N_1

- ✓ **Stability** $\rightarrow N_1$ must have a lifetime larger than that of the Universe
- ✓ **Production** $\rightarrow N_1$ are created in the early Universe in reactions $\bar{l}l \rightarrow \nu N_1$, $q\bar{q} \rightarrow \nu N_1$ etc. Need to provide correct DM abundance
- ✓ **Structure formation** $\rightarrow N_1$ should be heavy enough ! Otherwise its free streaming length would erase structure non-uniformities at small scales (Lyman- α forest spectra of distant quasars and structure of dwarf galaxies)
- ✓ **X-ray spectra** \rightarrow Radiative decays $N_1 \rightarrow \gamma \nu$ produce a mono-line in photon galaxies spectrum. This line has not yet been seen by X-ray telescopes (such as Chandra or XMM-Newton)

Allowed parameter space for DM HNL N_1



Searches for DM HNL N_1 in space

- Has been previously searched with *XMM-Newton*, *Chandra*, *Suzaku*, *INTEGRAL*
- Spectral resolution is not enough (required $\Delta E/E \sim 10^{-3}$)
- Proposed/planned X-ray missions with sufficient spectral resolution:

Astro-H



Athena+



LOFT



Origin/Xenia



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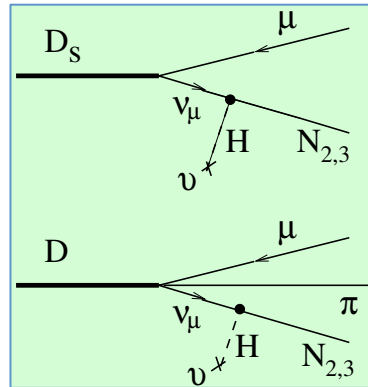
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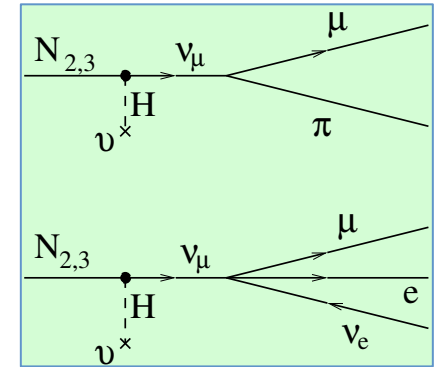
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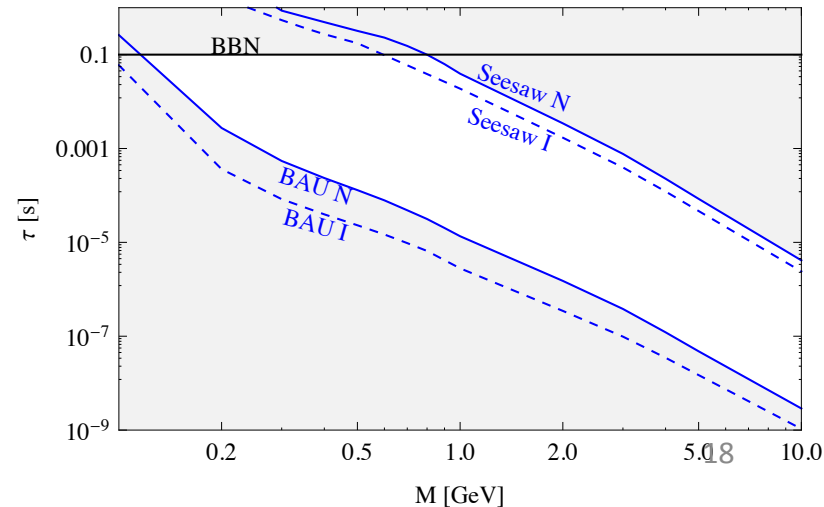
and subsequent decays



- Typical lifetimes $> 10 \mu\text{s}$ for $M(N_{2,3}) \sim 1 \text{ GeV}$
Decay distance $O(\text{km})$

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$$\begin{aligned} Br(N \rightarrow \mu/e \pi) &\sim 0.1 - 50\% \\ Br(N \rightarrow \mu^-/e^- \rho^+) &\sim 0.5 - 20\% \\ Br(N \rightarrow \nu\mu e) &\sim 1 - 10\% \end{aligned}$$



Baryon asymmetry

- *CP is not conserved in ν MSM*

6 CPV phases in the lepton sector and 1 CKM phase in the quark sector (to be compared with only one CKM phase in the SM)

- *Deviations from thermal equilibrium*



- ✓ *HNL are created in the early Universe*
- ✓ *CPV in the interference of HNL mixing and decay*
- ✓ *Lepton number goes from HNL to active neutrinos*
- ✓ *Then lepton number transfers to baryons in the equilibrium sphaleron processes*

Constraints on BAU HNL $N_{2,3}$

Baryon asymmetry is generated by CPV in HNL mixing and decays + sphalerons

- ✓ *BAU generation requires out of equilibrium \rightarrow mixing angle of $N_{2,3}$ can not be large*
- ✓ *To generate correct order of the active neutrino masses the mixing angle of $N_{2,3}$ to active neutrino can not be too small*
- ✓ *Decays of $N_{2,3}$ should keep BBN scenario working*
- ✓ *Experimental constraints*

PS *Explanation of DM with N_1 reduces a number of free parameters \rightarrow Degeneracy of $N_{2,3}$ masses is required to ensure sufficient CPV*

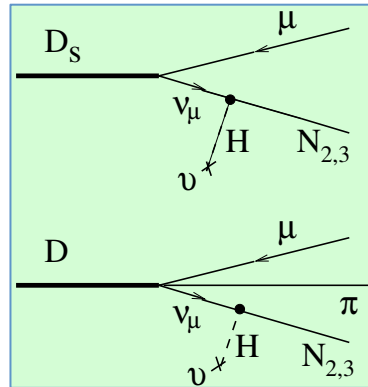
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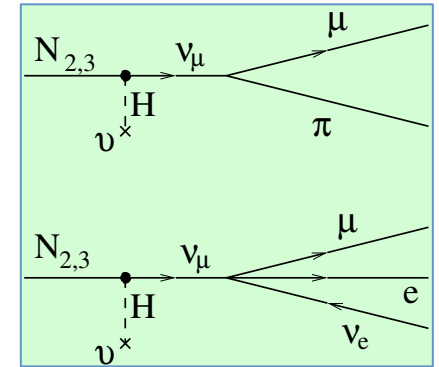
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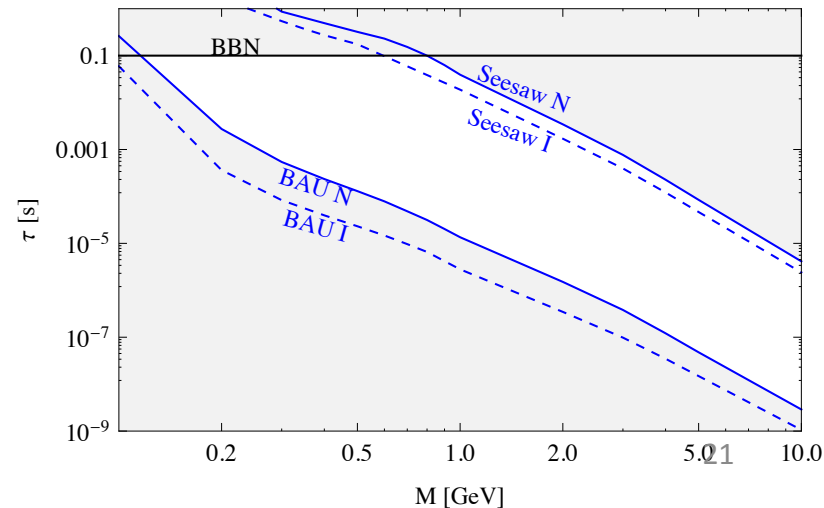
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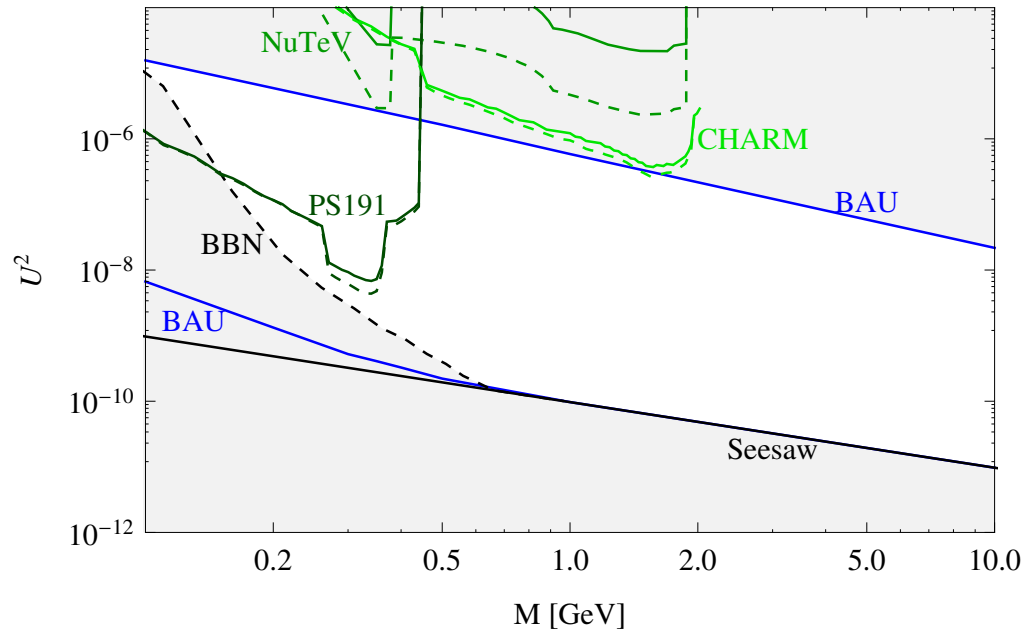
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Experimental and cosmological constraints



- **Recent progress in cosmology**

- *The sensitivity of previous experiments did not probe the interesting region for HNL masses above the kaon mass*

Strong motivation to explore cosmologically allowed parameter space

Proposal for a new experiment at the SPS to search for New Particles produced in charm decays

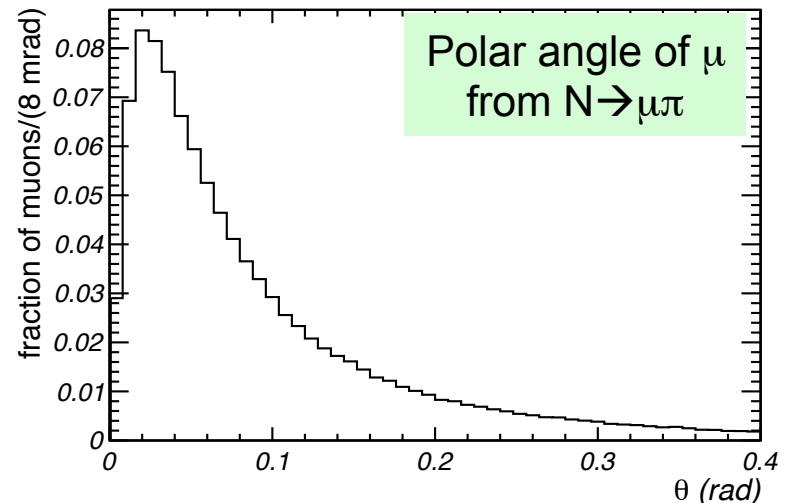
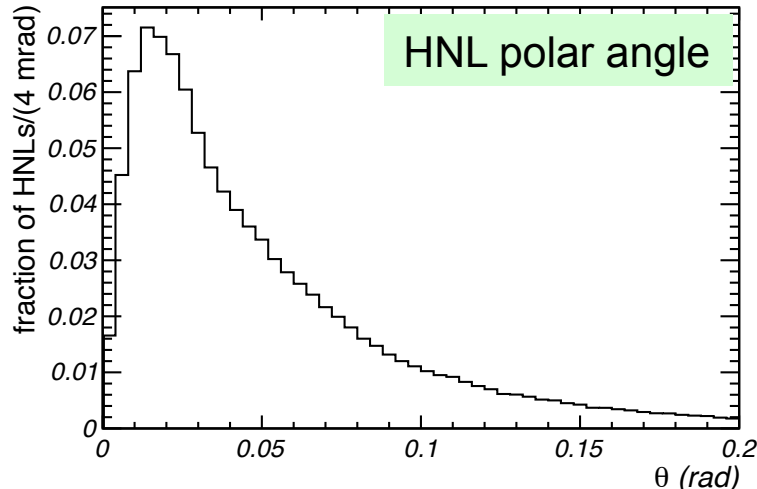
Experimental requirements

- Search for HNL in Heavy Flavour decays



Beam dump experiment at the SPS with a total of 2×10^{20} protons on target (pot) to produce large number of charm mesons

- HNLs produced in charm decays have significant P_T

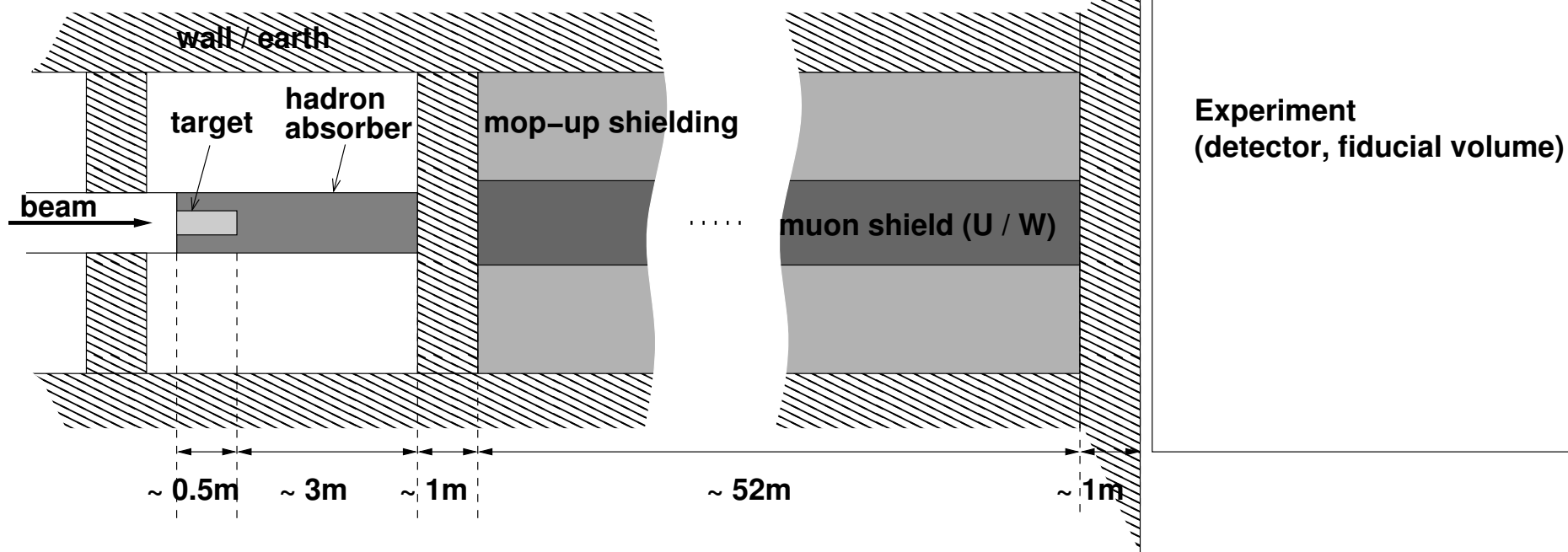


Detector must be placed close to the target to maximize geometrical acceptance



Effective (and “short”) muon shield is essential to reduce muon-induced backgrounds (mainly from short-lived resonances accompanying charm production)

Secondary beam-line



Proton target

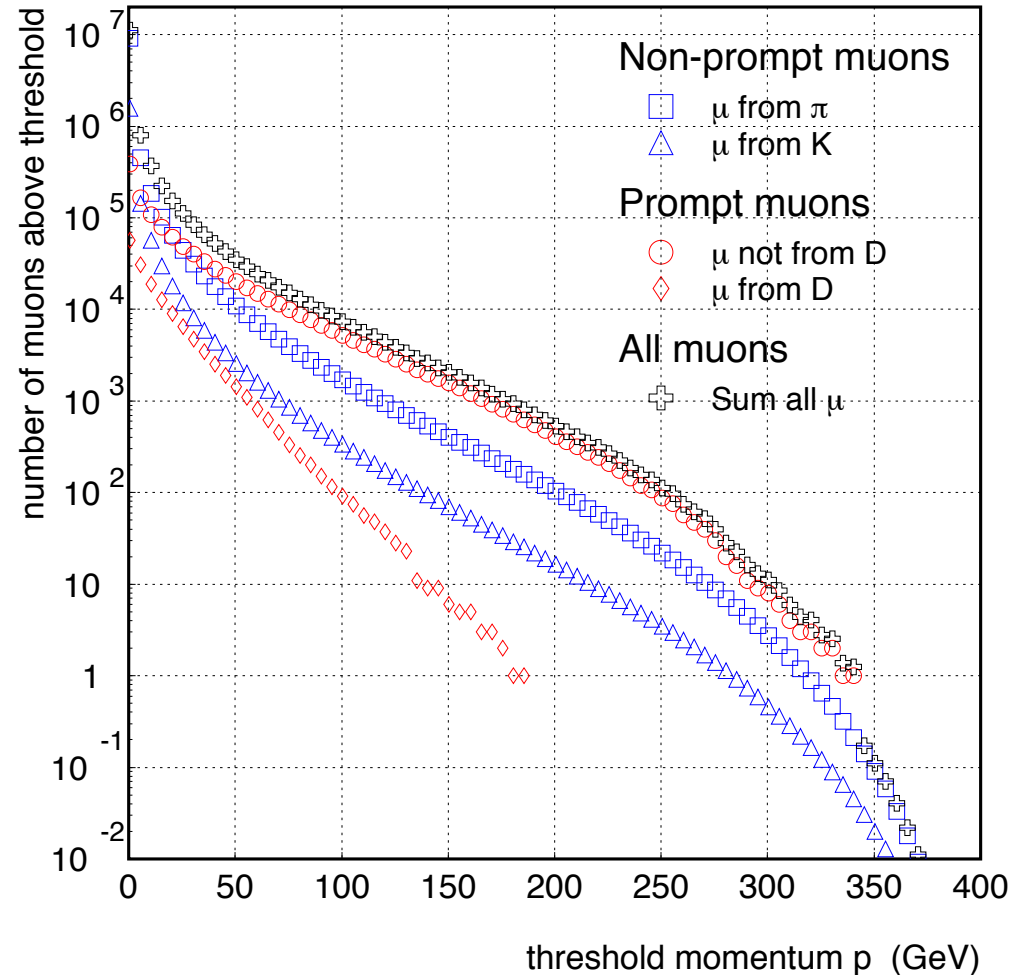
- Preference for relatively slow beam extraction $O(s)$ to reduce detector occupancy
- Sufficiently long target made of dense material (50 cm of W) to reduce the flux of active neutrinos produced mainly in π and K decays
- No requirement to have a small beam spot

Secondary beam-line (cont.)

Muon shield

Main sources of the muon flux
(estimated using PYTHIA with 10^9
protons of 400 GeV energy)

- A muon shield made of ~ 55 m $W(U)$ should stop muons with energies up to 400 GeV
- Cross-checked with results from CHARM beam-dump experiment
- Detailed simulations will define the exact length and radial extent of the shield

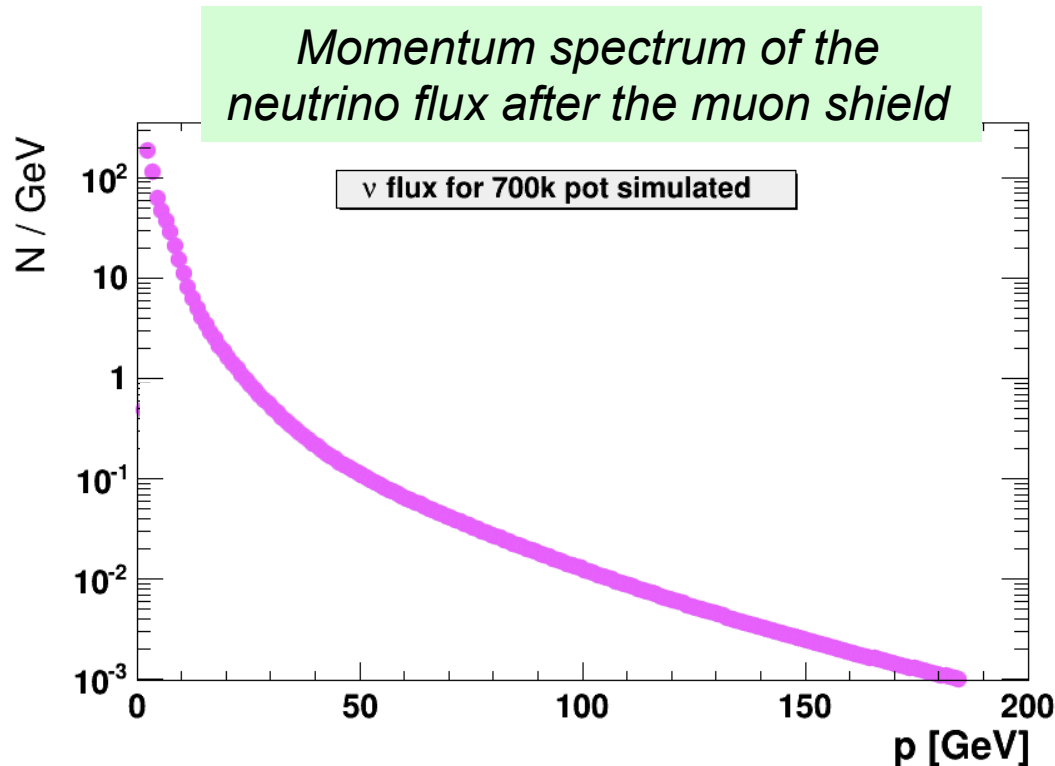


Assume that muon induced backgrounds will be reduced to negligible level with such a shield

Experimental requirements (cont.)

- Minimize background from interactions of active neutrinos in the detector decay volume

↳ Requires evacuation of the detector volume

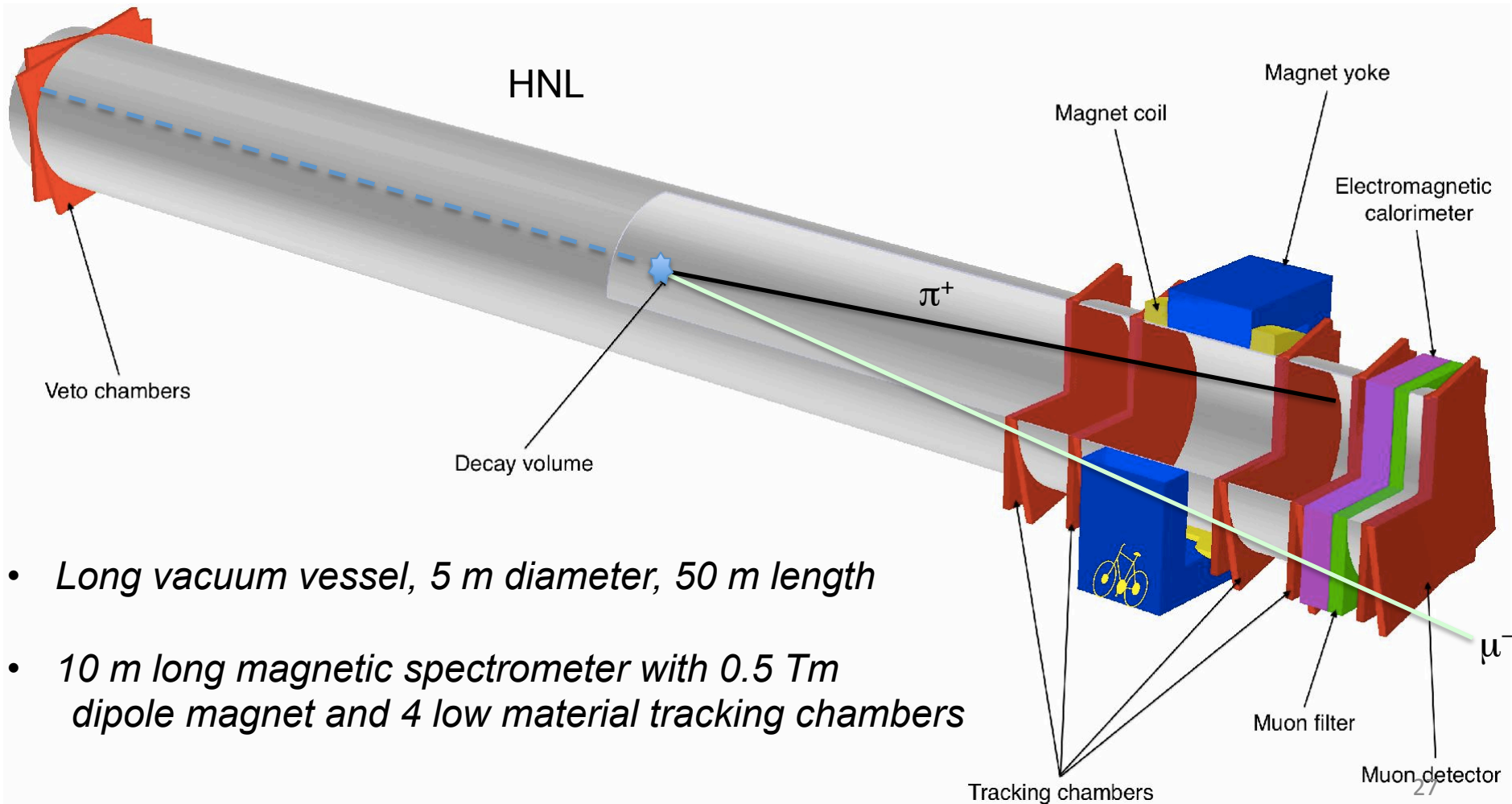


2×10^4 neutrino interactions per 2×10^{20} pot in the decay volume at atmospheric pressure \rightarrow becomes negligible at 0.01 mbar

Detector concept

- Reconstruction of the HNL decays in the final states: $\mu^- \pi^+$, $\mu^- \rho^+$ & $e^- \rho^+$

↳ Requires long decay volume, magnetic spectrometer, muon detector and electromagnetic calorimeter, preferably in surface building

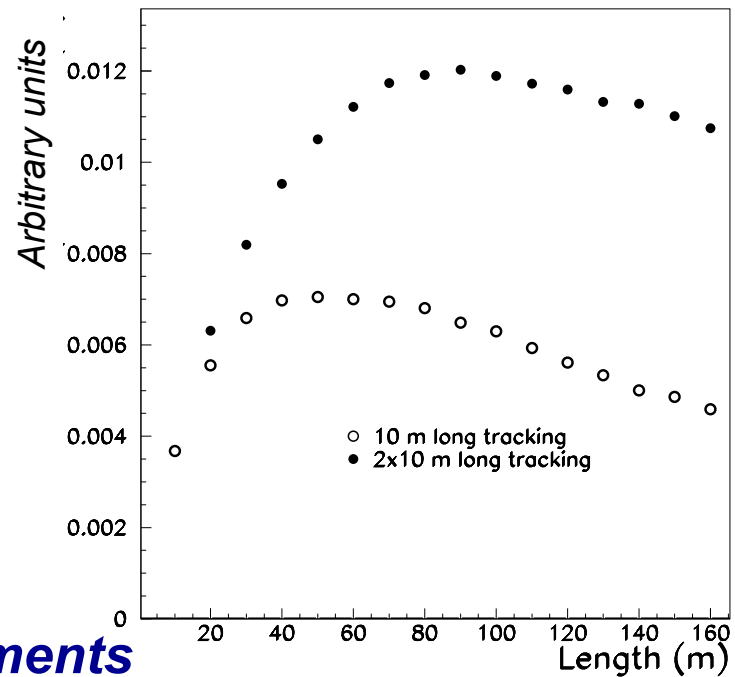


- Long vacuum vessel, 5 m diameter, 50 m length
- 10 m long magnetic spectrometer with 0.5 Tm dipole magnet and 4 low material tracking chambers

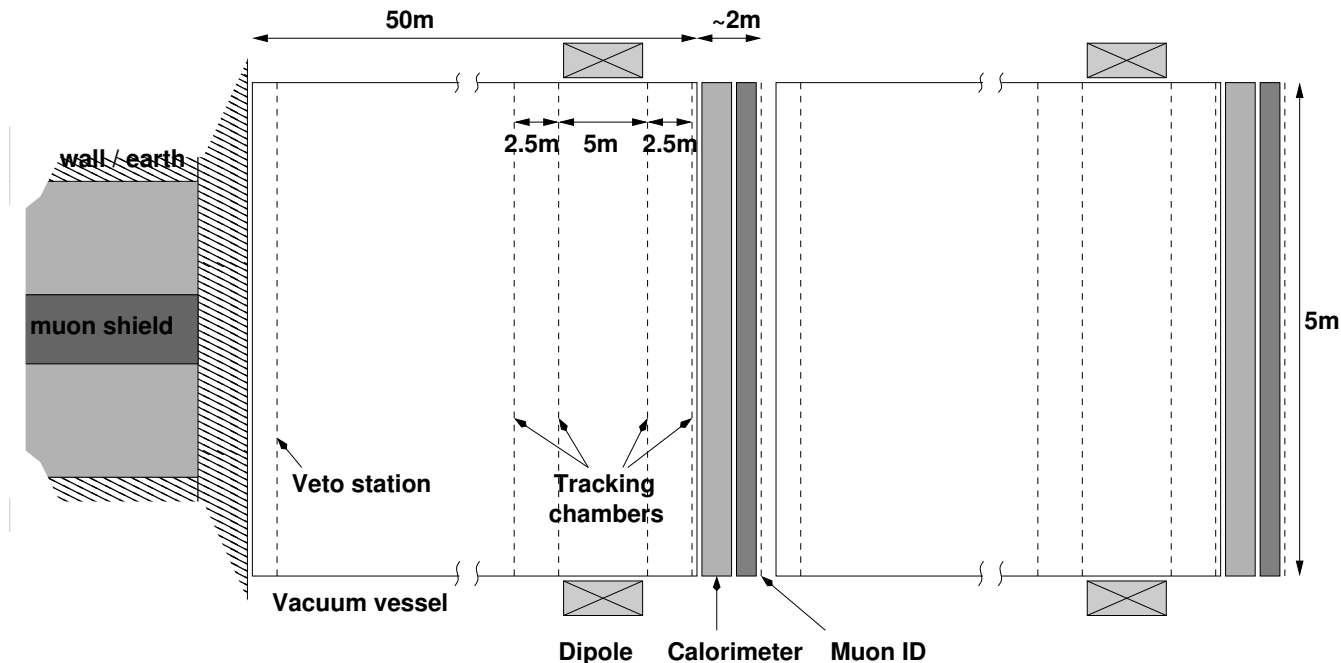
Detector concept (cont.)

Geometrical acceptance

- Saturates for a given HNL lifetime as a function of detector length
- The use of two magnetic spectrometers increases the acceptance by 70%

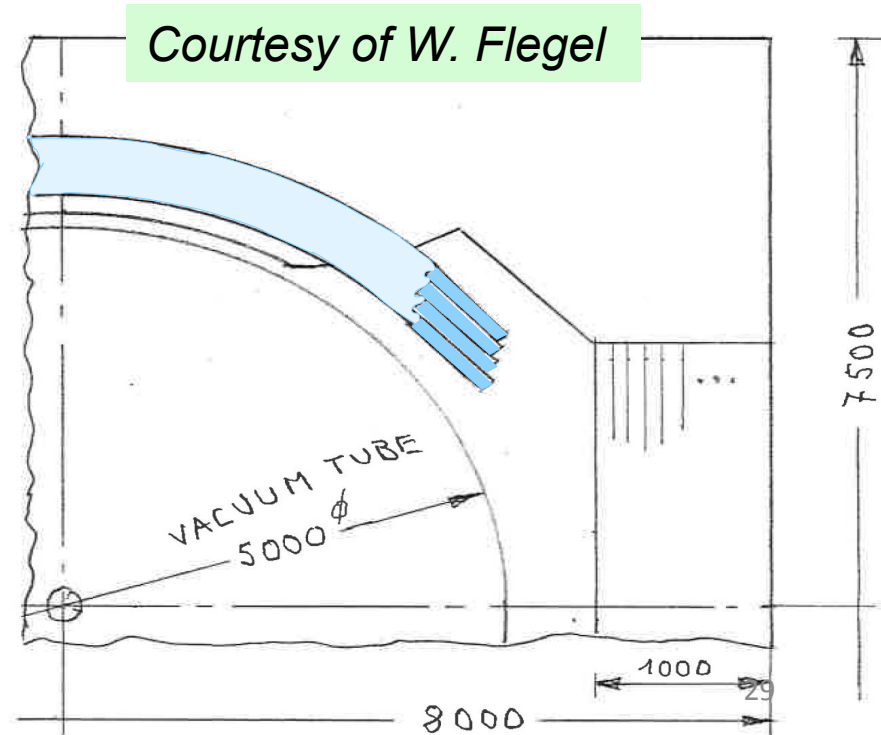


Detector has two almost identical elements



Detector apparatus based on existing technologies

- Experiment requires a dipole magnet similar to LHCb design, but with $\sim 40\%$ less iron and three times less dissipated power
- Free aperture of $\sim 16 \text{ m}^2$ and field integral of $\sim 0.5 \text{ Tm}$
 - Yoke outer dimension: $8.0 \times 7.5 \times 2.5 \text{ m}^3$
 - Two Al-99.7 coils
 - Peak field $\sim 0.2 \text{ T}$
 - Field integral $\sim 0.5 \text{ Tm}$ over 5 m length

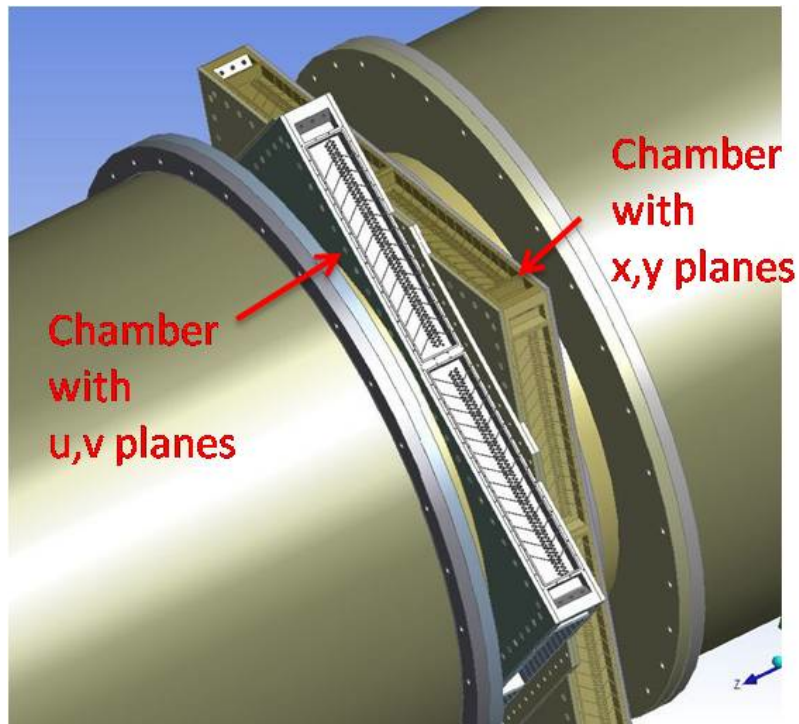


Detector apparatus (cont.)

based on existing technologies

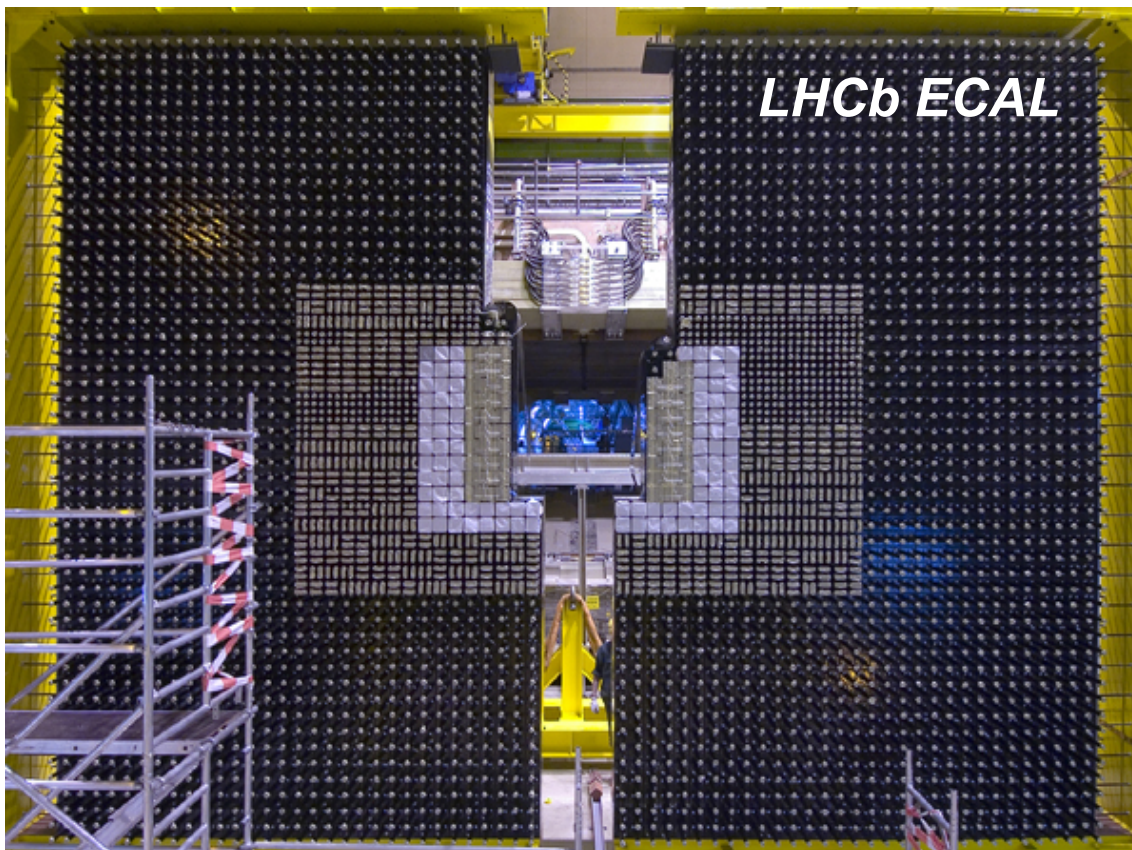
NA62 vacuum tank and straw tracker

- $< 10^{-5}$ mbar pressure in NA62 tank
- Straw tubes with $120 \mu\text{m}$ spatial resolution and $0.5\% X_0/X$ material budget
- Gas tightness of NA62 straw tubes demonstrated in long term tests



Detector apparatus (cont.)

based on existing technologies




LHCb electromagnetic calorimeter

- *Shashlik technology provides economical solution with good energy and time resolution*

Residual backgrounds

Use a combination of GEANT and GENIE to simulate the Charged Current and Neutral Current neutrino interaction in the final part of the muon shield (cross-checked with CHARM measurement)

 yields CC(NC) rate of $\sim 6(2) \times 10^5$ per int. length per 2×10^{20} pot

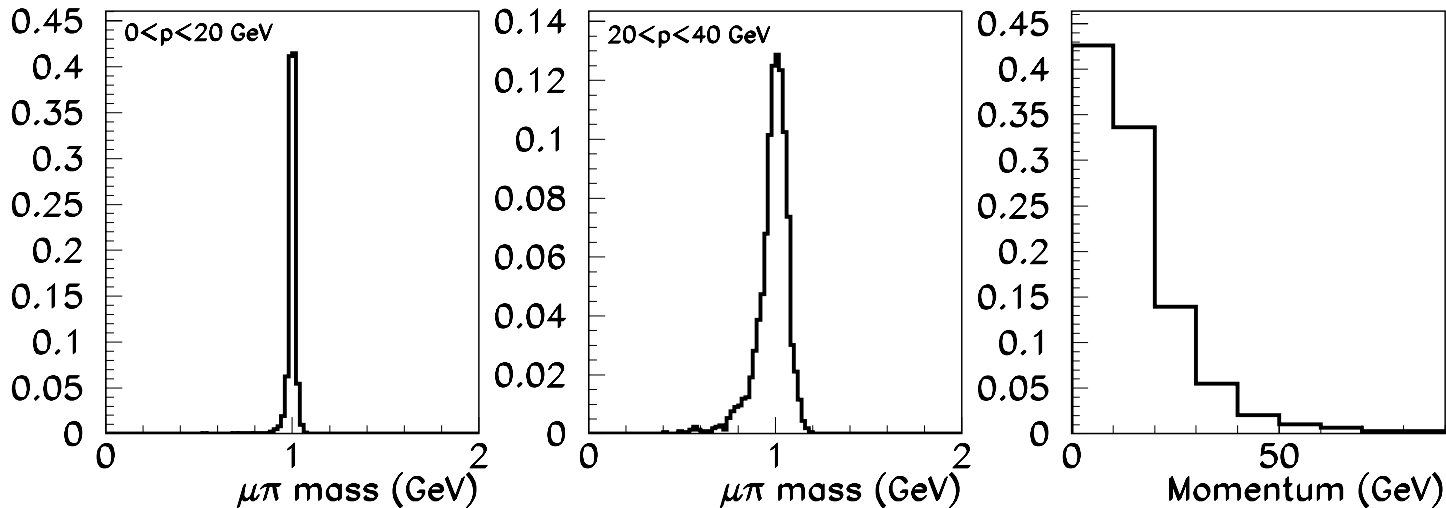
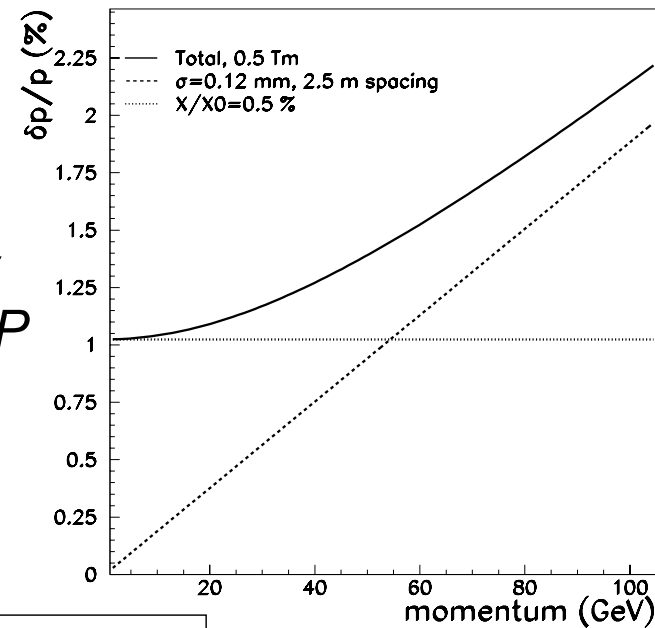
Instrumentation of the end-part of the muon shield would allow the rate of CC + NC to be measured and neutrino interactions to be tagged

- *$\sim 10\%$ of neutrino interactions in the muon shield just upstream of the decay volume produce Λ or K^0 (as follows from GEANT+GENIE and NOMAD measurement)*
- *Majority of decays occur in the first 5 m of the decay volume*
- *Requiring μ -id. for one of the two decay products*
→ 150 two-prong vertices in 2×10^{20} pot

Detector concept (cont.)

Magnetic field and momentum resolution

- Multiple scattering and spatial resolution of straw tubes give similar contribution to the overall $\delta P / P$
- For $M(N_{2,3}) = 1 \text{ GeV}$ 75% of $\mu \pi$ decay products have both tracks with $P < 20 \text{ GeV}$



- For 0.5 Tm field integral $\sigma_{mass} \sim 40 \text{ MeV}$ for $P < 20 \text{ GeV}$



Ample discrimination between high mass tail from small number of residual $K_L \rightarrow \pi^+ \mu^- \nu$ and 1 GeV HNL

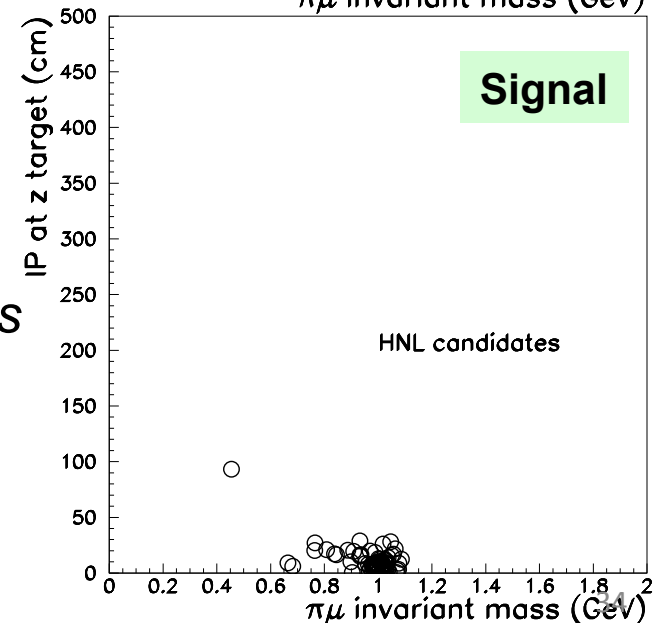
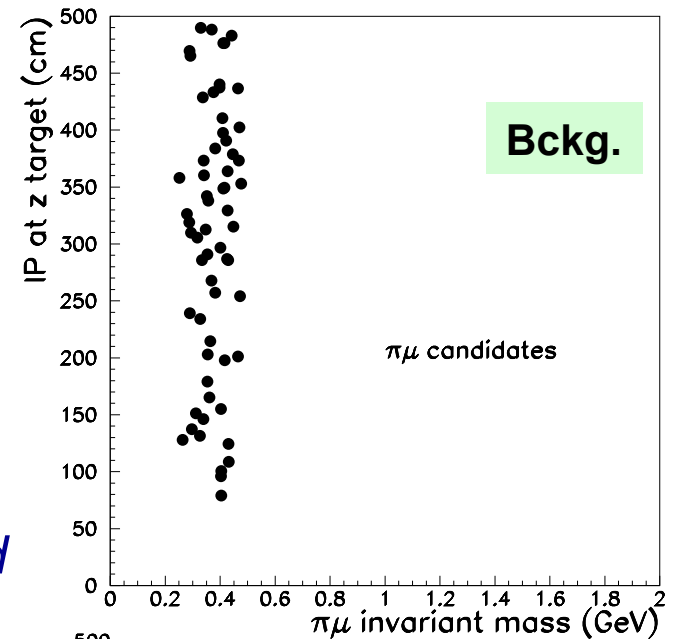
Detector concept (cont.)

Impact Parameter resolution

K_L produced in the final part of the muon shield have very different pointing to the target compared to the signal events

↳ Use Impact Parameter (IP) to further suppress K_L background

- $IP < 1$ m is 100% eff. for signal and leaves only a handful of background events
- The IP cut will also be used to reject backgrounds induced in neutrino interactions in the material surrounding the detector



Expected event yield

- Integral mixing angle U^2 is given by $U^2 = U_e^2 + U_\mu^2 + U_\tau^2$
- A conservative estimate of the sensitivity is obtained by considering only the decay $N_{2,3} \rightarrow \mu^- \pi^+$ with production mechanism $D \rightarrow \mu^+ NX$, which probes U_μ^2
- $U^2 \longleftrightarrow U_\mu^2$ depends on flavour mixing
- Expected number of signal events:

$$N_{\text{signal}} = n_{\text{pot}} \times 2\chi_{\text{cc}} \times BR(U_\mu^2) \times \varepsilon_{\text{det}}(U_\mu^2)$$

$$n_{\text{pot}} = 2 \times 10^{20}$$

$$\chi_{\text{cc}} = 0.45 \times 10^{-3}$$

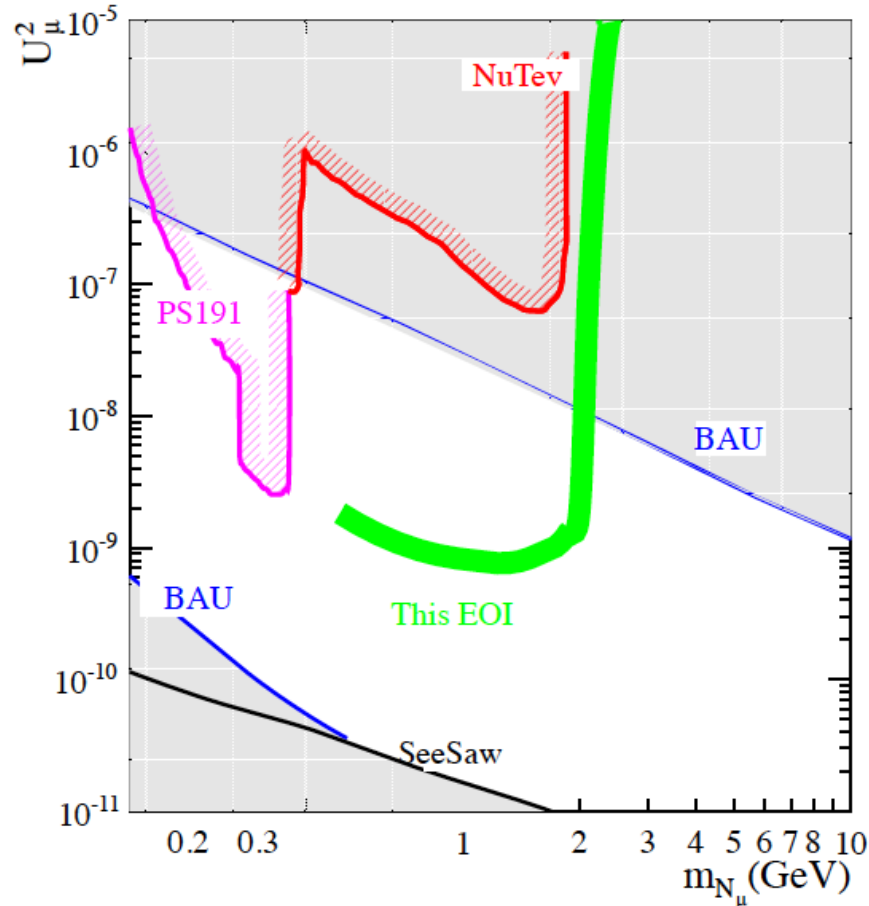
$$BR(U_\mu^2) = BR(D \rightarrow N_{2,3} X) \times BR(N_{2,3} \rightarrow \mu\pi)$$

$BR(N_{2,3} \rightarrow \mu^- \pi^+)$ is assumed to be 20%

$\varepsilon_{\text{det}}(U_\mu^2)$ is the probability of the $N_{2,3}$ to decay in the fiducial volume and μ, π are reconstructed in the spectrometer

Expected event yield (cont.)

Assuming $U_\mu^2 = 10^{-7}$ (corresponding to the strongest experimental limit currently for $M_N \sim 1$ GeV) and $\tau_N = 1.8 \times 10^{-5}$ s
 $\sim 12k$ fully reconstructed $N \rightarrow \mu^- \pi^+$ events are expected for $M_N = 1$ GeV



120 events for cosmologically favoured region: $U_\mu^2 = 10^{-8}$ & $\tau_N = 1.8 \times 10^{-4}$ s

Expected event yield (cont.)

- *ECAL will allow the reconstruction of decay modes with π^0 such as $N \rightarrow \mu^- \rho^+$ with $\rho^+ \rightarrow \pi^+ \pi^0$, doubling the signal yield*
- *Study of decay channels with electrons such as $N \rightarrow e \pi$ would further increase the signal yield and constrain U_e^2*

In summary, for $M_N < 2$ GeV the proposed experiment has discovery potential for the cosmologically favoured region with $10^{-7} < U_\mu^2 < \text{a few} \times 10^{-9}$

Conclusion

- *The proposed experiment will search for NP in the largely unexplored domain of new, very weakly interacting particles with masses below the Fermi scale*
- *Detector is based on existing technologies*
Ongoing discussions of the beam lines with experts
- ***The impact of HNL discovery on particle physics is difficult to overestimate !***

It could solve the most important shortcomings of the SM:

- *The origin of the baryon asymmetry of the Universe*
- *The origin of neutrino mass*
- *The results of this experiment, together with cosmological and astrophysical data, could be crucial to determine the nature of Dark Matter*

- ***The proposed experiment perfectly complements the searches for NP at the LHC***

Being discussed with:

European Organization for Nuclear Research (CERN)

France: CEA Saclay, APC/LPNHE Universite Paris-Diderot

Italy: Istituto Nazionale di Fisica Nucleare (INFN)

Netherlands: National Institute for Subatomic Physics (NIKHEF, Amsterdam)

Poland: Henryk Niewodniczanski Institute of Nuclear Physics Polish Academy of Sciences (Kracow)

*Russia: Institute for Nuclear Research of Russian Academy of Science (INR, Moscow),
Institute for Theoretical and Experimental Physics ((ITEP, Moscow),
Joint Institute for Nuclear Research (JINR, Dubna)*

*Sweden: Stockholm University,
Uppsala University*

*Switzerland: Ecole Polytechnique Federale de Lausanne (EPFL),
University of Zurich,
University of Geneva*

*UK: University of Oxford,
University of Liverpool,
Imperial College London,
University of Warwick*

BACK - UP

Other BSM physics to be tested

- light, very weakly interacting, yet unstable particles:
produced (in)directly on target, then decaying in the detector fiducial volume
 - ▶ light sgoldstinos (superpartners of goldstino in SUSY models)
e.g., D.S. Gorbunov (2001) e.g. $D \rightarrow \pi X$, then $X \rightarrow l^+ l^-$
 - ▶ R-parity violating neutralinos in SUSY models
e.g., A. Dedes, H.K. Dreiner, P. Richardson (2001) e.g. $D \rightarrow l \tilde{\chi}$, then $\tilde{\chi} \rightarrow l^+ l^- \nu$
 - ▶ massive paraphotons (in secluded dark matter models)
e.g., M. Pospelov, A. Ritz, M.B. Voloshin (2008) e.g. $\Sigma \rightarrow p V$, then $V \rightarrow l^+ l^-$