

# Search for Heavy Neutral Leptons (HNL) at the SPS

W. Bonivento<sup>1,2</sup>, A. Boyarsky<sup>3</sup>, H. Dijkstra<sup>2</sup>, U. Egede<sup>4</sup>, M. Ferro-Luzzi<sup>2</sup>, B. Goddard<sup>2</sup>, A. Golutvin<sup>4</sup>,  
D. Gorbunov<sup>5</sup>, R. Jacobsson<sup>2</sup>, J. Panman<sup>2</sup>, M. Patel<sup>4</sup>, O. Ruchayskiy<sup>6</sup>, T. Ruf<sup>2</sup>, N. Serra<sup>7</sup>,  
M. Shaposhnikov<sup>6</sup>, D. Treille<sup>2</sup> (‡)

<sup>1</sup>*Sezione INFN di Cagliari, Cagliari, Italy*

<sup>2</sup>*European Organization for Nuclear Research (CERN), Geneva, Switzerland*

<sup>3</sup>*Instituut-Lorentz for Theoretical Physics, Universiteit Leiden, Niels Bohrweg 2, Leiden, The Netherlands*

<sup>4</sup>*Imperial College London, London, United Kingdom*

<sup>5</sup>*Institute for Nuclear Research of the Russian Academy of Sciences (INR RAN), Moscow, Russia*

<sup>6</sup>*Ecole Polytechnique Fédérale de Lausanne (EPFL), Lausanne, Switzerland*

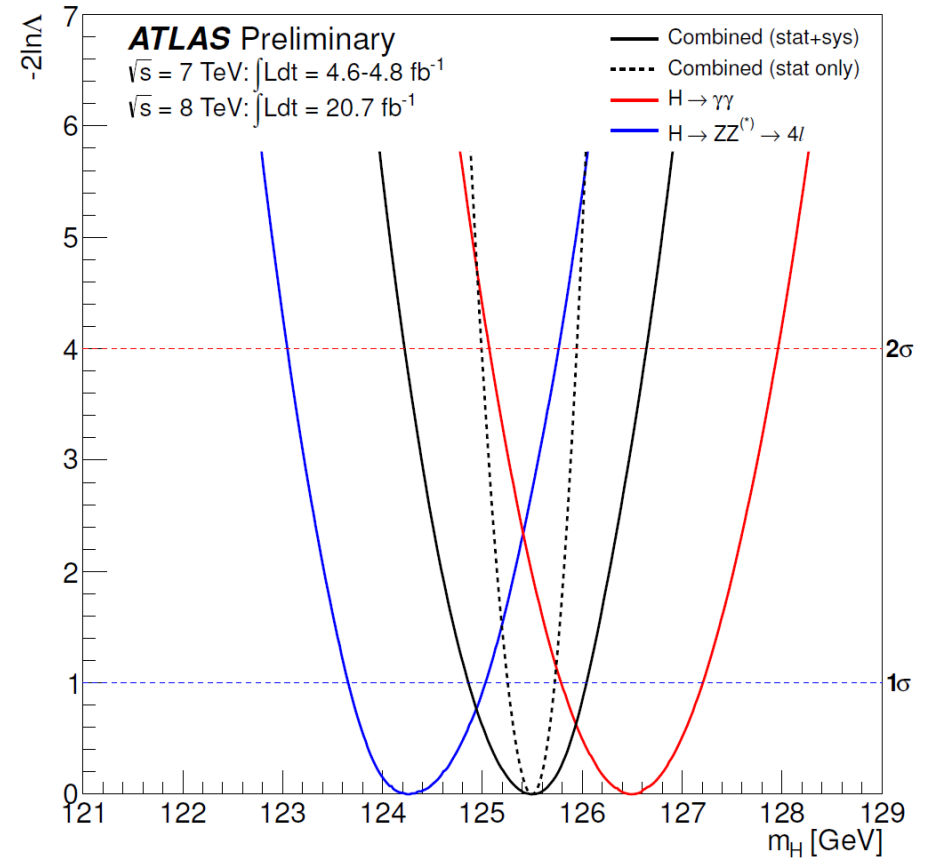
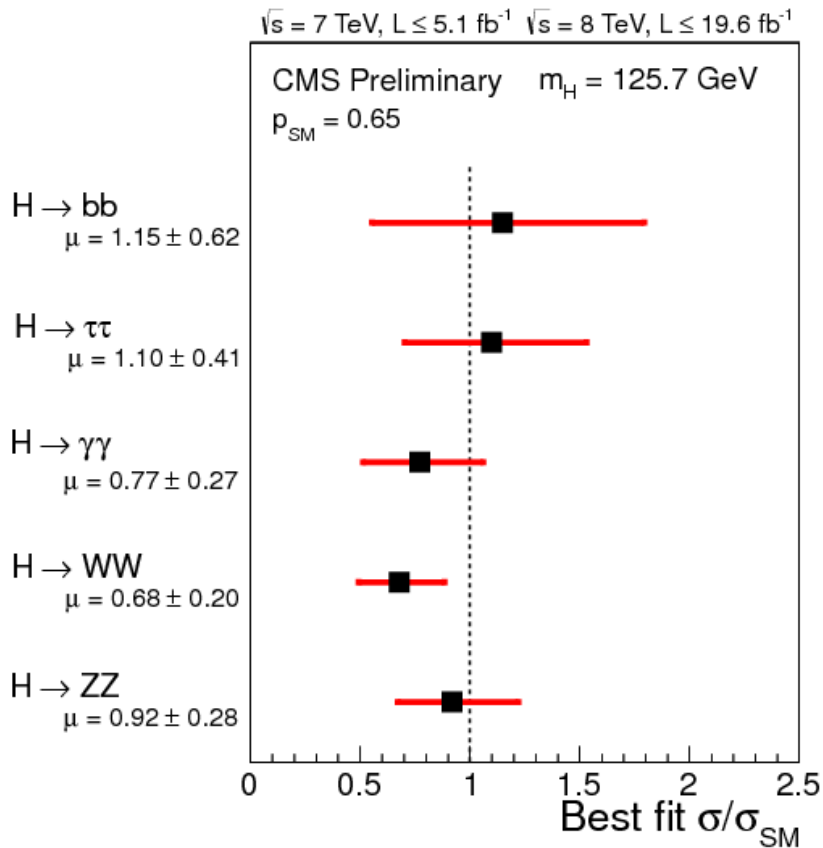
<sup>7</sup>*Physik-Institut, Universität Zürich, Zürich, Switzerland*

(‡) *retired*

- How does this proposal fit in the physics landscape?
- Why HNLs?
- How to produce/detect HNLs.
- Backgrounds.
- The experimental set-up.
- Symbiosis with “active”  $\nu$  physics.
- Conclusions.

# Triumph of SM: Higgs found!

- Boson found consistent with SM-Higgs.
- Atlas:  $M_H = 125.5 \pm 0.2_{stat} \pm 0.5_{syst} \text{ GeV}$
- CMS:  $M_H = 125.7 \pm 0.3_{stat} \pm 0.3_{syst} \text{ GeV}$



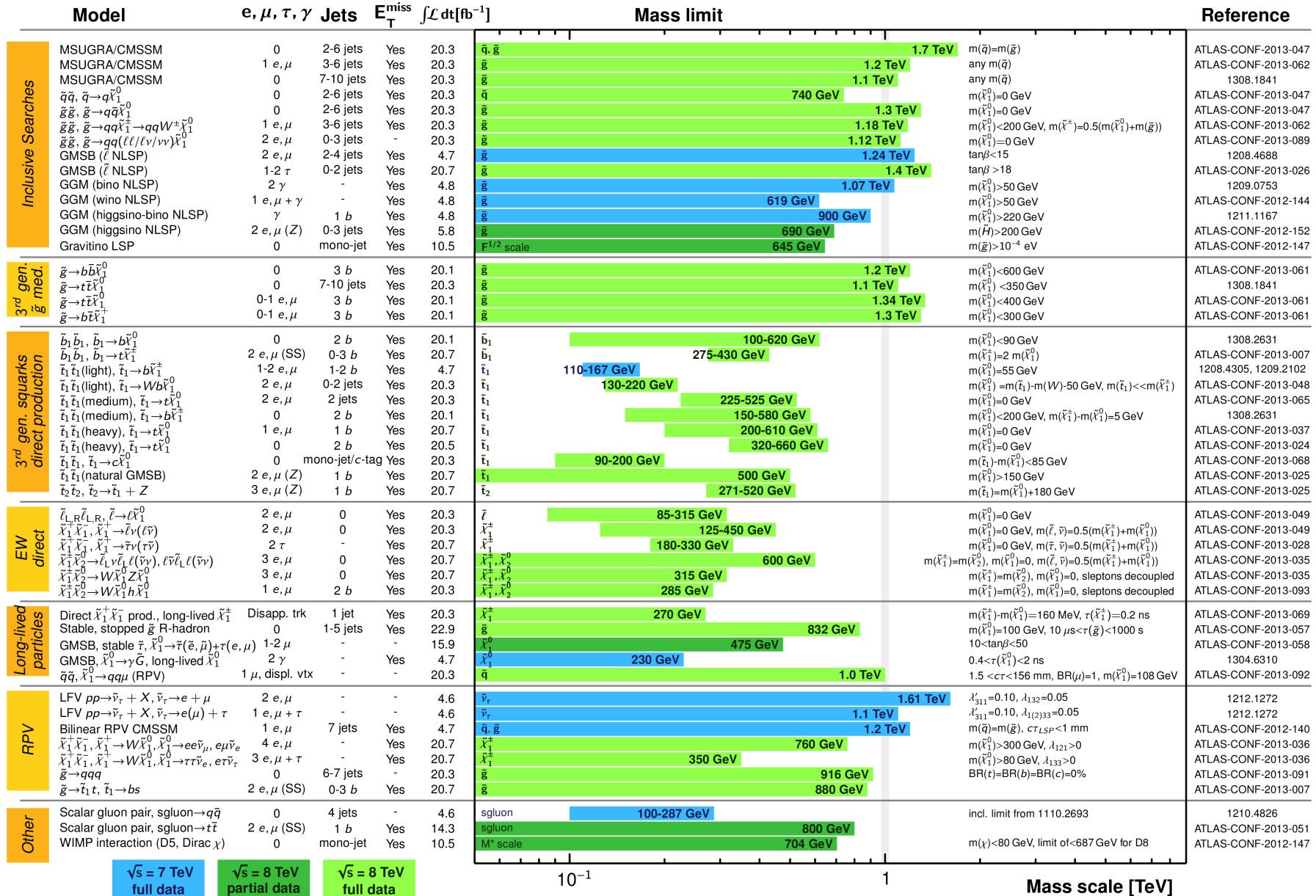
# What is not found..

## ATLAS SUSY Searches\* - 95% CL Lower Limits

Status: SUSY 2013

ATLAS Preliminary

$$\int \mathcal{L} dt = (4.6 - 22.9) \text{ fb}^{-1} \quad \sqrt{s} = 7, 8 \text{ TeV}$$

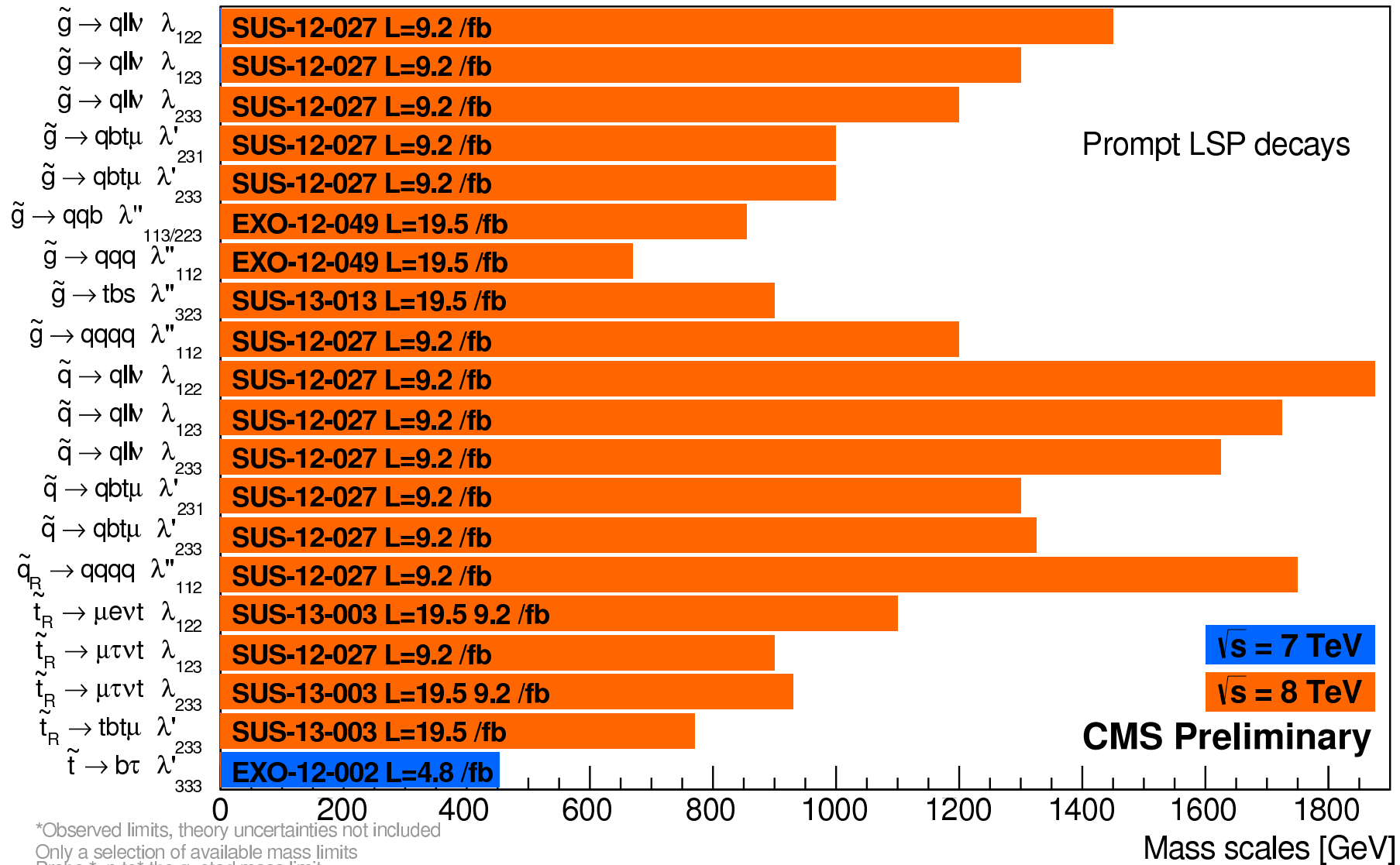


\*Only a selection of the available mass limits on new states or phenomena is shown. All limits quoted are observed minus 1 $\sigma$  theoretical signal cross section uncertainty.

# What is not found..

## Summary of CMS RPV SUSY Results\*

EPSHEP 2013



\*Observed limits, theory uncertainties not included  
 Only a selection of available mass limits  
 Probe \*up to\* the quoted mass limit

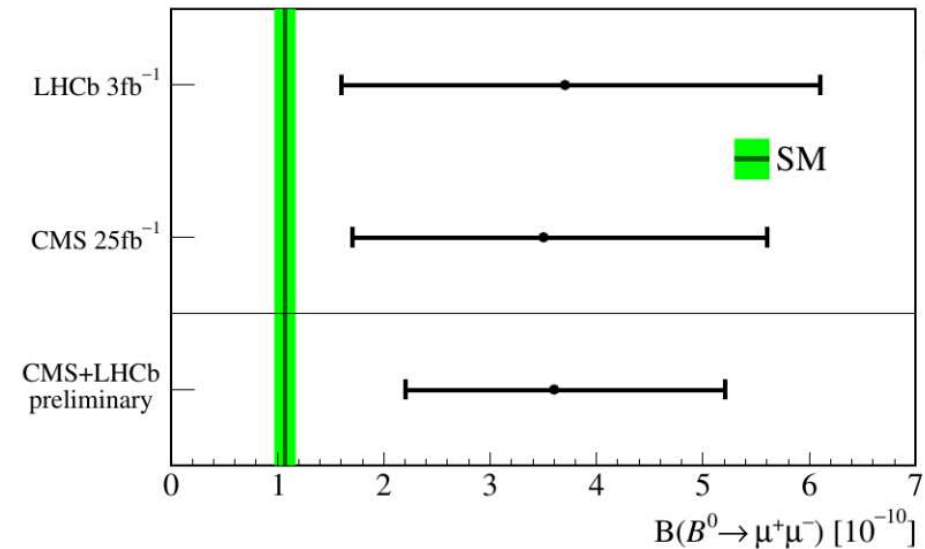
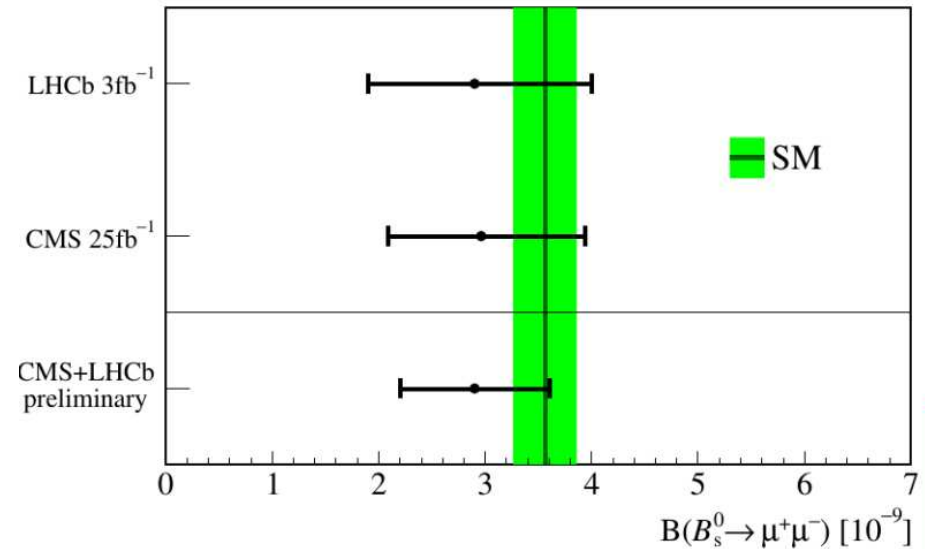
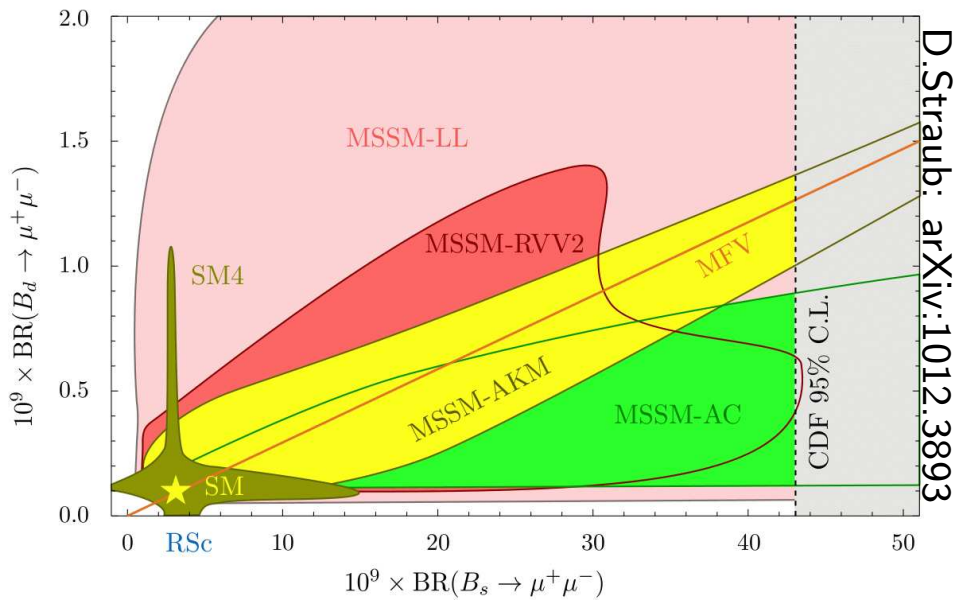
# $B_s \rightarrow \mu\mu$ found and $\equiv$ SM

SM:

- No tree level decay
- Helicity suppressed
- Expected:  $\mathcal{B}(B_s \rightarrow \mu^+ \mu^-) = (3.54 \pm 0.30) \times 10^{-9}$   
(Phys. Rev. Lett. 109 (2012) 041801)

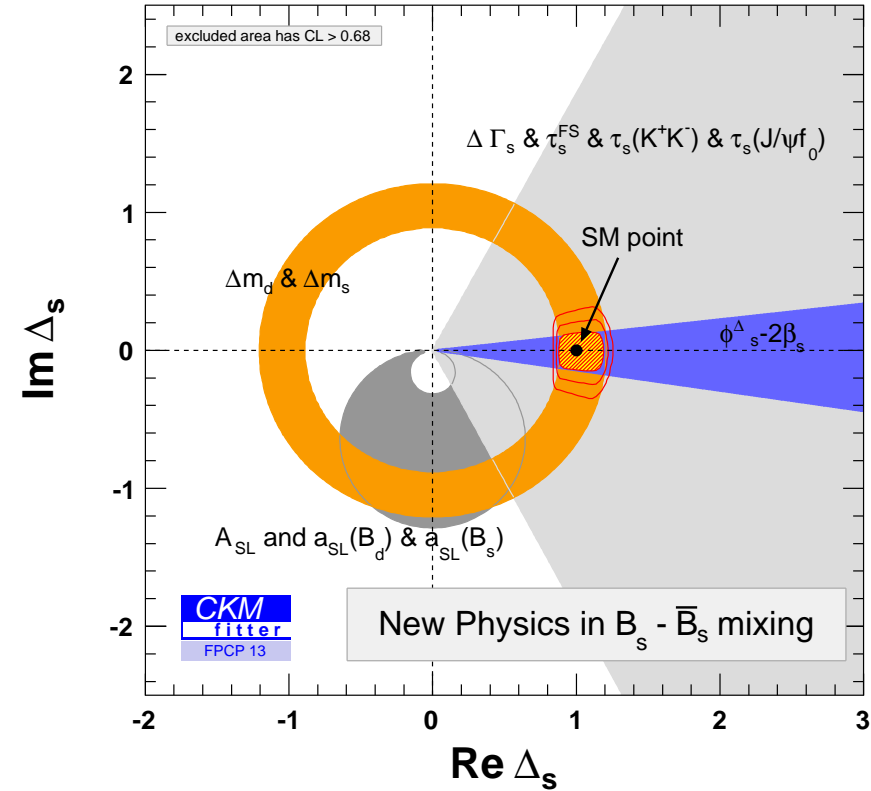
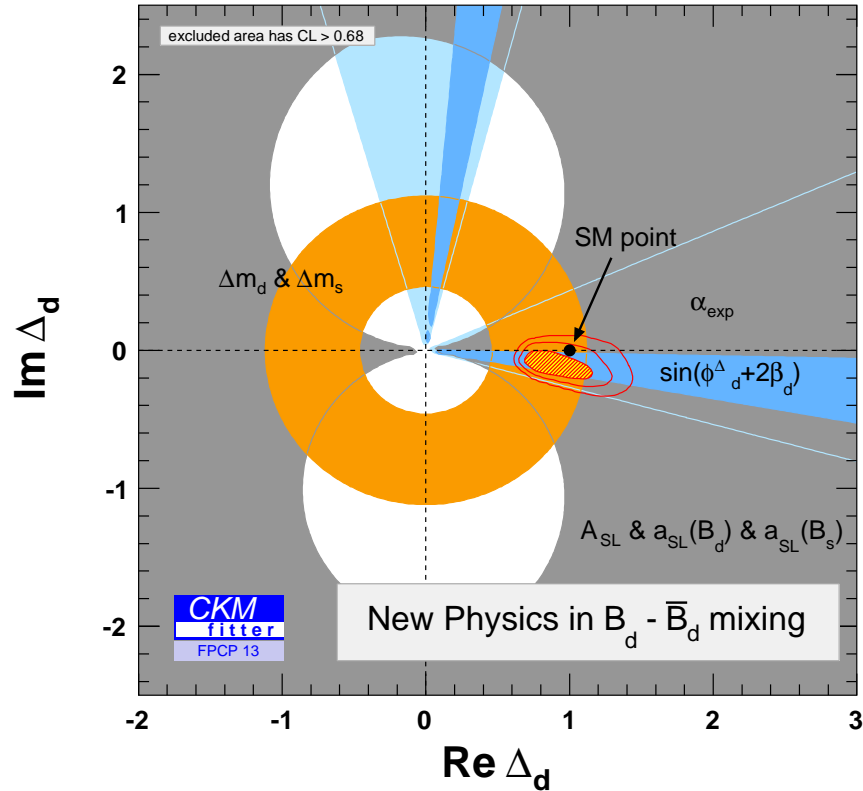
NP:

- MSSM:  $\mathcal{B} \propto \tan^6 \beta / M_{A^0}^4$
- Pre-LHC parameter space example:



# NP from quark flavour observables

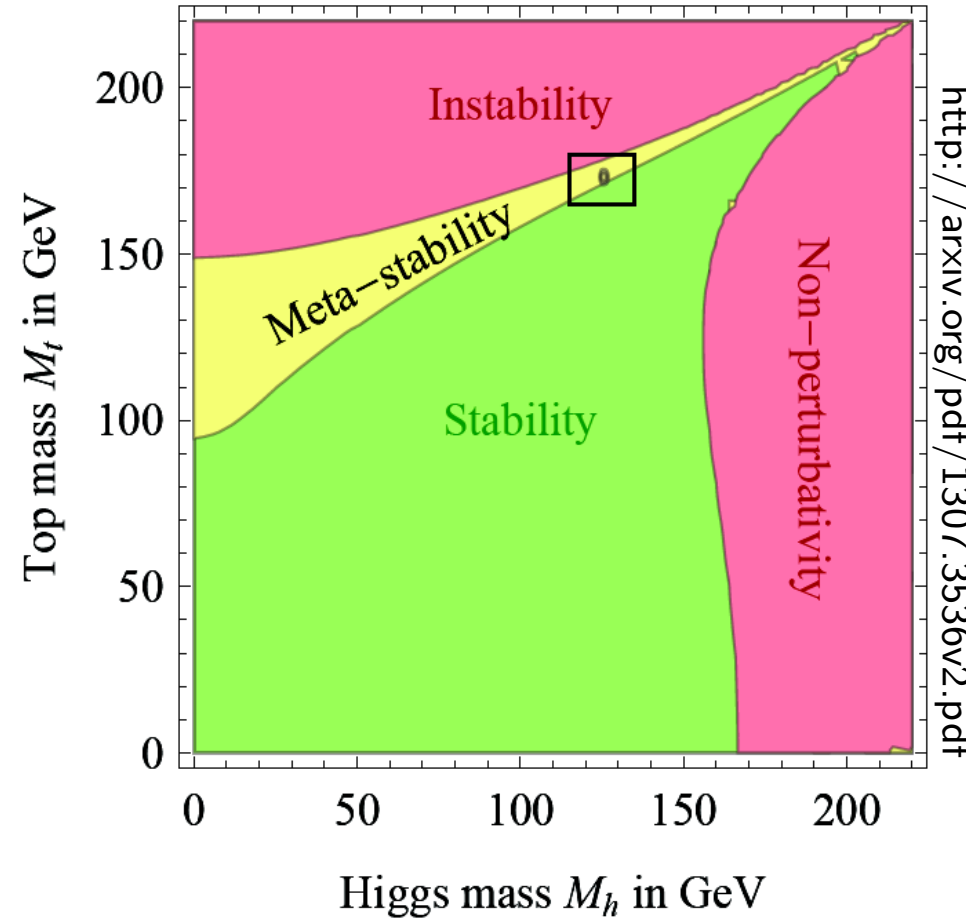
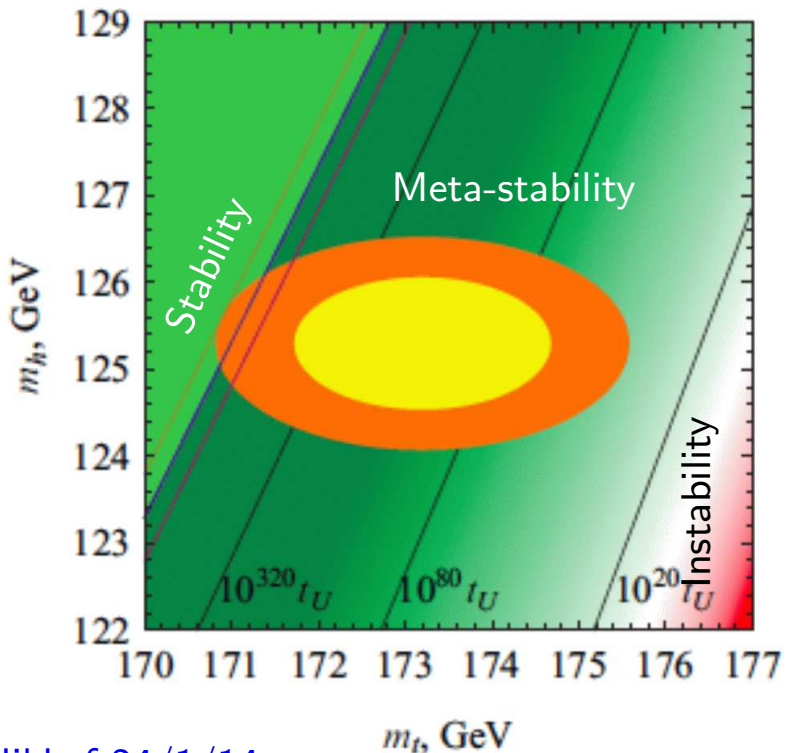
CKM-fitter



Scale of NP in  $B\bar{B}$ -mixing:  $> 0.5 - 10^4$  TeV depending on assumptions of couplings.

# Higgs and Vacuum Stability

- Higgs mass is “fine tuned”?
  - SM located in narrow meta-stability wedge.
  - Most likely “multiverse” near such a wedge?
  - Vast majority of sand-dunes have a slope angle roughly equal to the so-called “angle of repose”.
  - Not anthropic, but  $P(\text{multiverses})$  peaks near wedge?
- Vacuum might be stable, or has a  $\tau \gg \tau_{\text{universe}}$
- SM may work successfully up to Planck scale, i.e. no need for a new mass scale

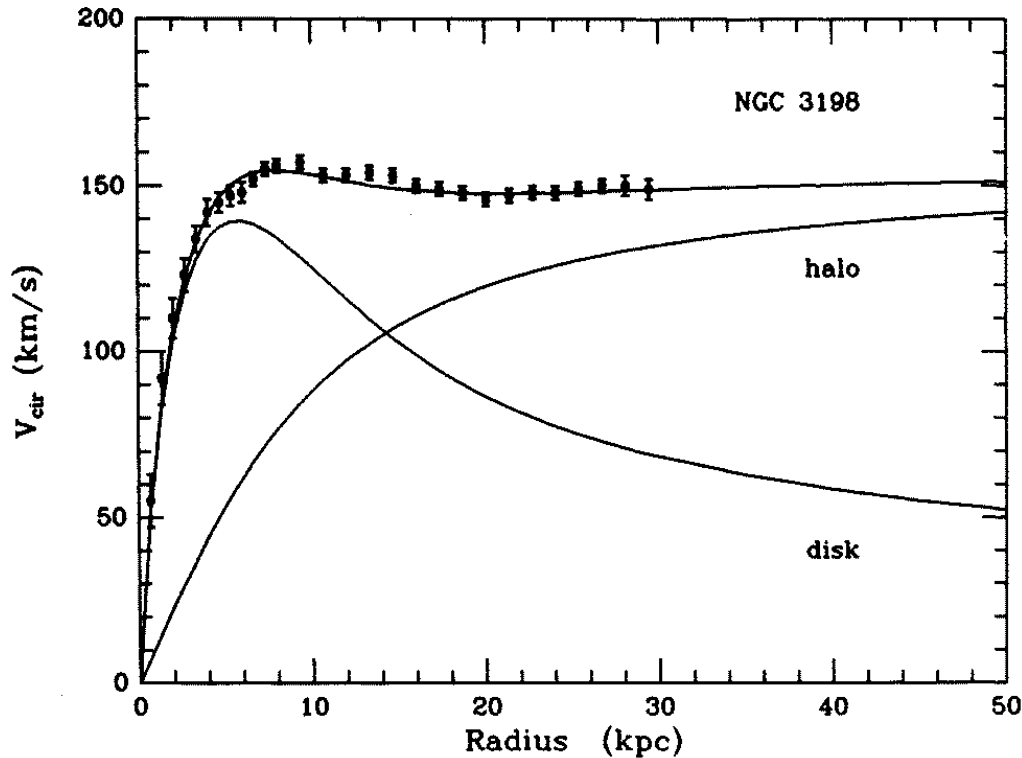


<http://arxiv.org/pdf/1307.3536v2.pdf>

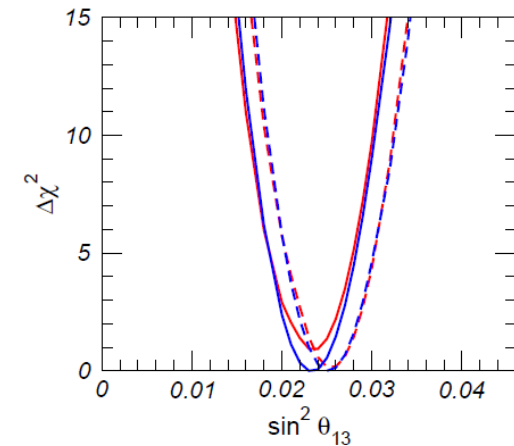
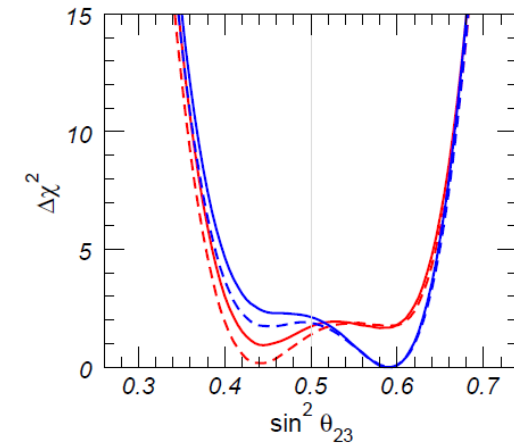
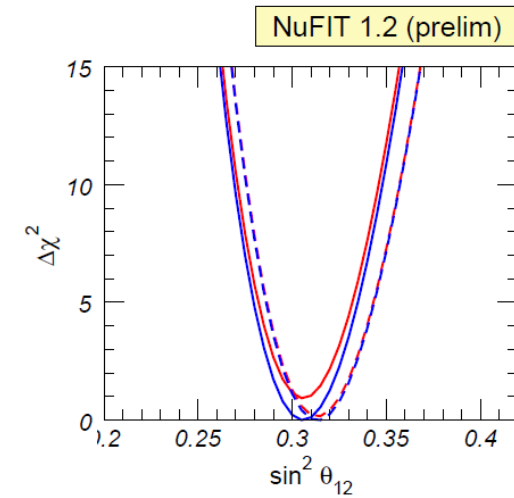
# SM case closed?

NO, SM unable to explain:

- Matter anti-matter asymmetry in universe
- Neutrino mixing  $\rightarrow$  masses
- Non-baryonic dark matter



Astrophysical Journal, Vol. 295, Aug. 15, 1985





Ptolomy (~90-168 AD):

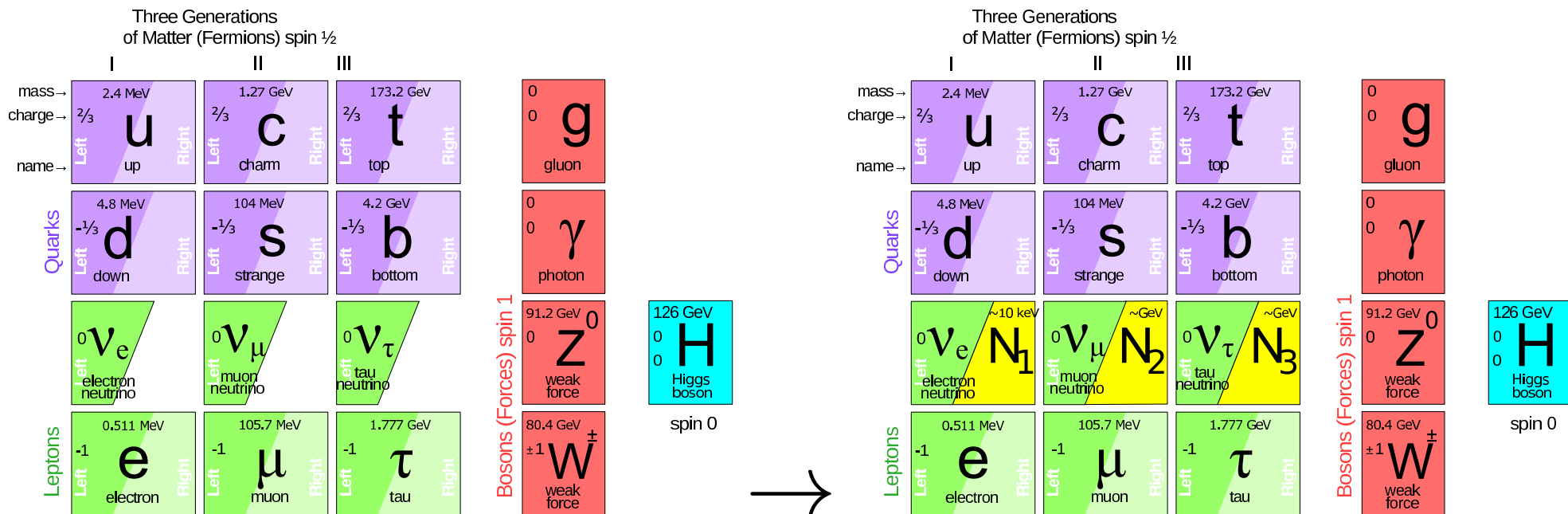
It is a good principle to explain phenomena by the simplest hypothesis possible!

# MSM: T.Asaka, M.Shaposhnikov

PL B620 (2005) 17

Adding three right-handed Majorana Heavy Neutral Leptons (HNL):  $N_1, N_2$  and  $N_3$ :

- $N_1$  can provide dark matter candidate
- $N_{2,3}$  can provide neutrino masses via Seesaw mechanism
- $N_{2,3}$  can induce leptogenesis  $\rightarrow$  baryogenesis.



# $\nu$ MSM: closer look at $N_1$

$N_1$  can provide dark matter candidate:

- very weak mixing with other leptons
- hence, stable enough for dark matter
- plays no role in Seesaw.

- Radiative decay:  $\tau > \tau_{\text{universe}}$

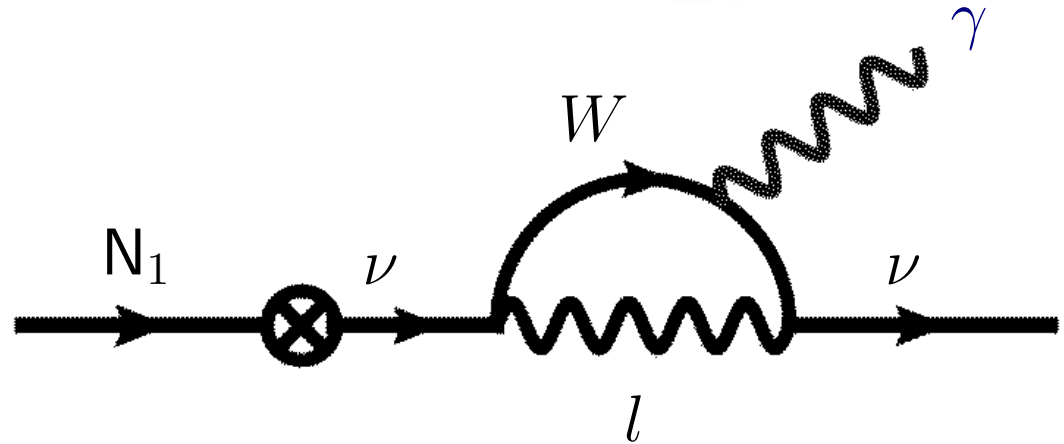
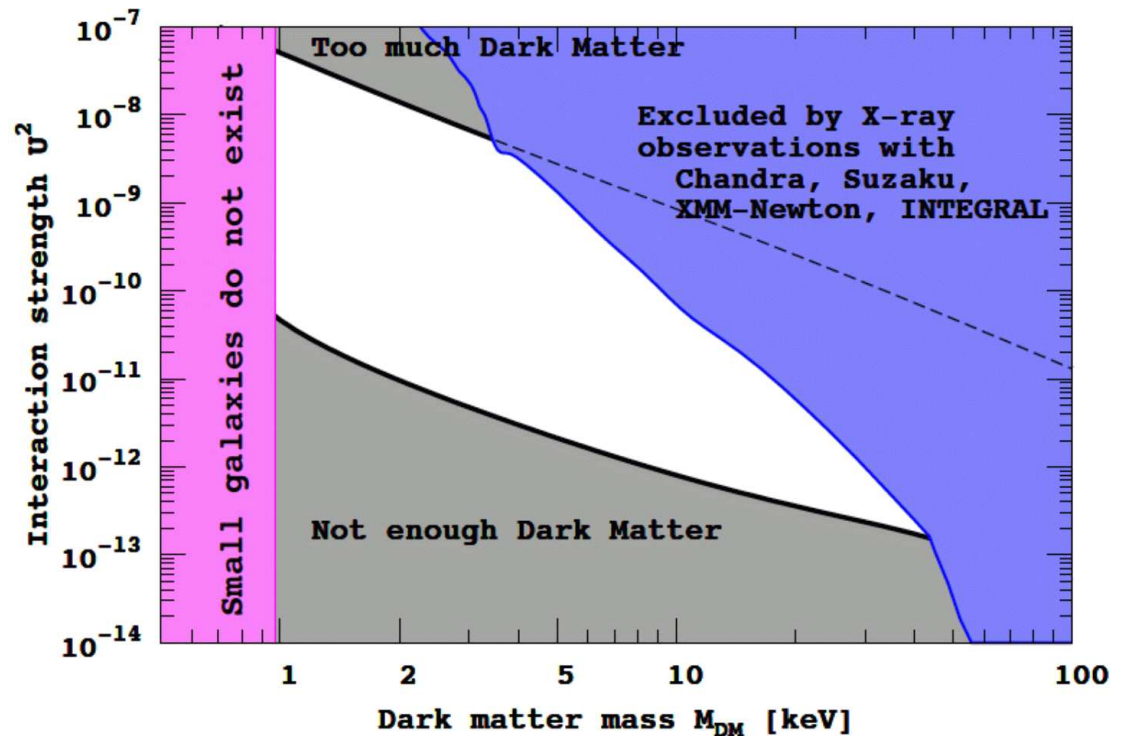
- $E_\gamma = \frac{M_{N_1}}{2}$

- X-ray detection:

- View dwarf spheroidal galaxies

- $\frac{\Delta E}{E} \sim 10^{-3} - 10^{-4}$

- Proposed missions: Astro-H, LOFT, Athena+, Origin/Xenia

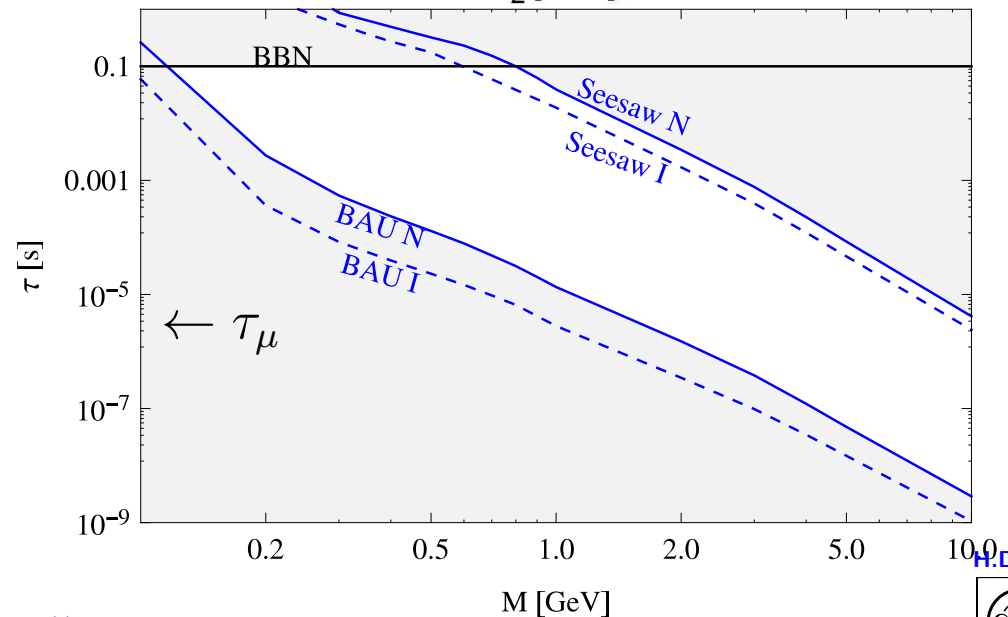
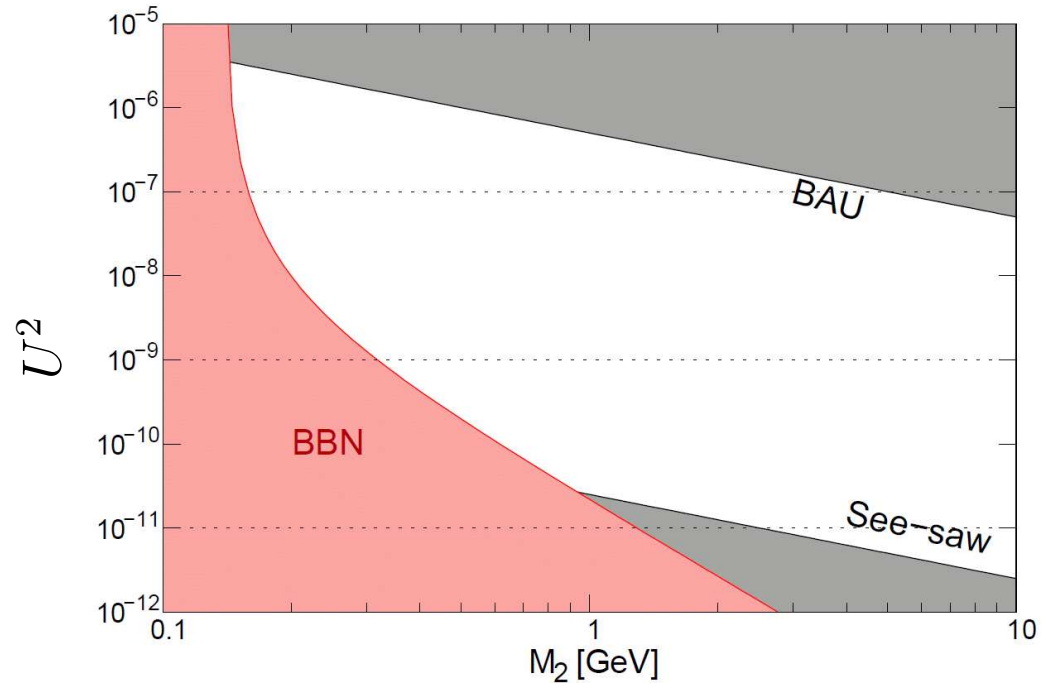


# $N_{2,3}$

Use  $N_{2,3}$  to explain:

- $\nu$  masses:  
Seesaw constrains Yukawa coupling and  $M_{N_{2,3}}$ , i.e.  $M_\nu \propto U^2/M_{N_{2,3}}$
- Baryo(Lepto)genesis: make  $N_2$  nearly degenerate with  $N_3$ , and tune CPV-phases to explain baryon asymmetry of universe (BAU).
- Coupling ( $U^2$ ) and  $M_{N_{2,3}} \rightarrow \tau_{N_{2,3}}$
- $\tau_{N_{1,2}} < 0.1$  s, otherwise Big Bang Nucleosynthesis (BBN,  $\sim 75/25$  % H-1/He-4) would be affected by  $N_{2,3}$  decays.

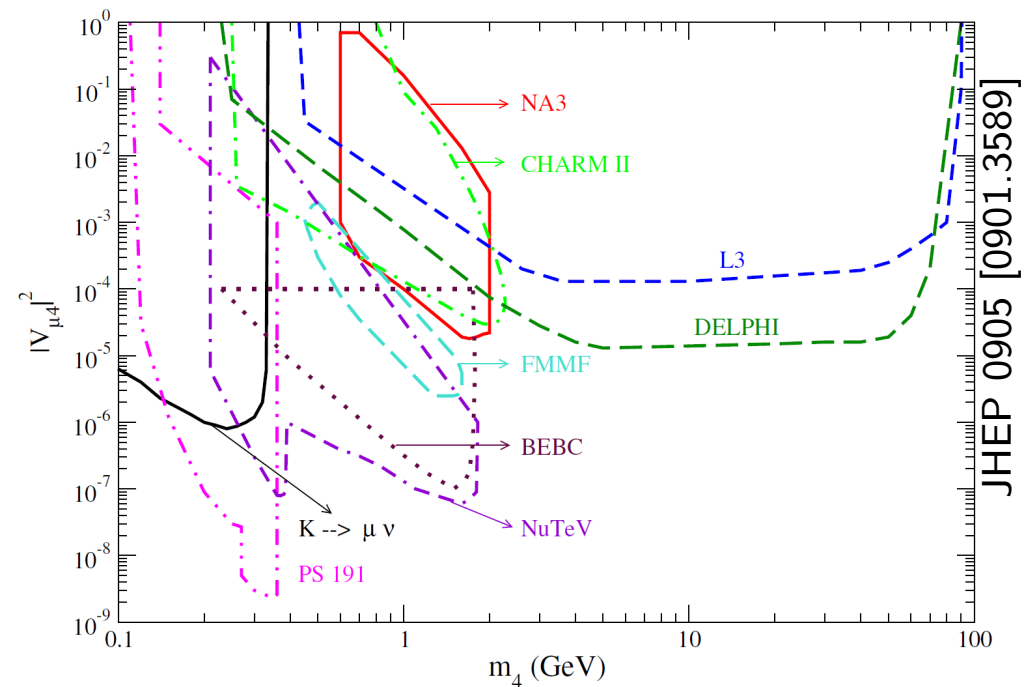
These are the particles we are after!



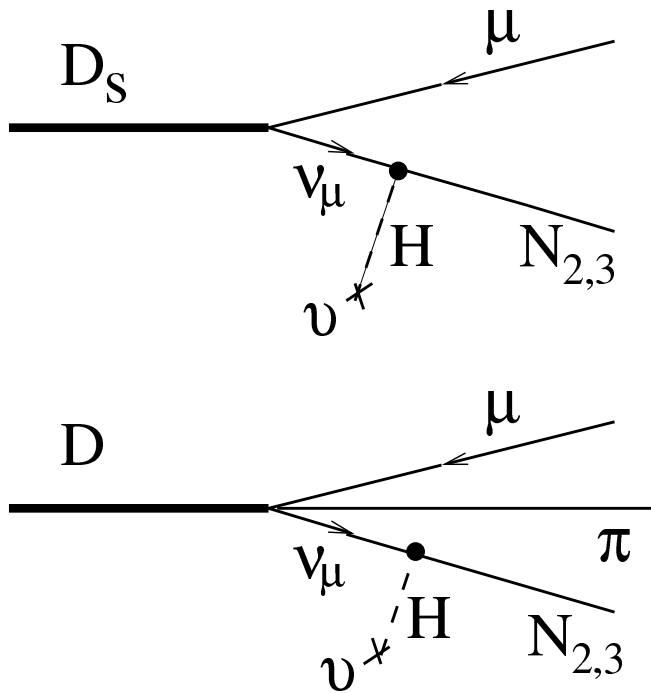
# If Ptolomy was wrong?

Model	1	2	3	4	5
$\nu$ -masses	✓	✓	✓		
BAU	✓	✓			
Dark Matter	✓			✓	

1.  $\nu$ MSM: strongest parameter constraints
2. Also  $N_1$  can contribute to Seesaw:
  - No  $M_2 \leftrightarrow M_3$  degeneracy necessary.
  - $U^2$  constraint relaxed, up to  $U_\mu^2 \sim 10^{-3}$
3. Still  $U^2 \gtrsim 10^{-10}$
4. HNL as dark matter only
  - with keV mass:  $\tau \gg \tau_{\text{universe}}$
  - Can only be found with X-ray telescopes.
5. Many (cosmology) papers still use HNLs  
HNL ( $U_\mu$ ) searches:

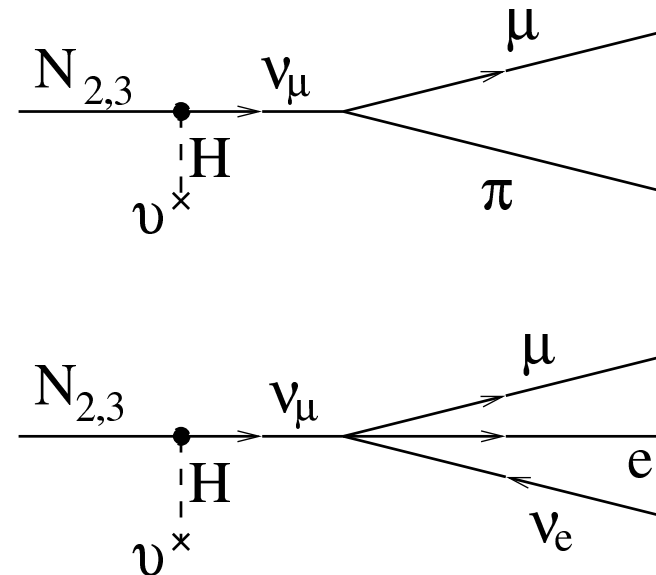


# $N_{2,3}$ production and decay



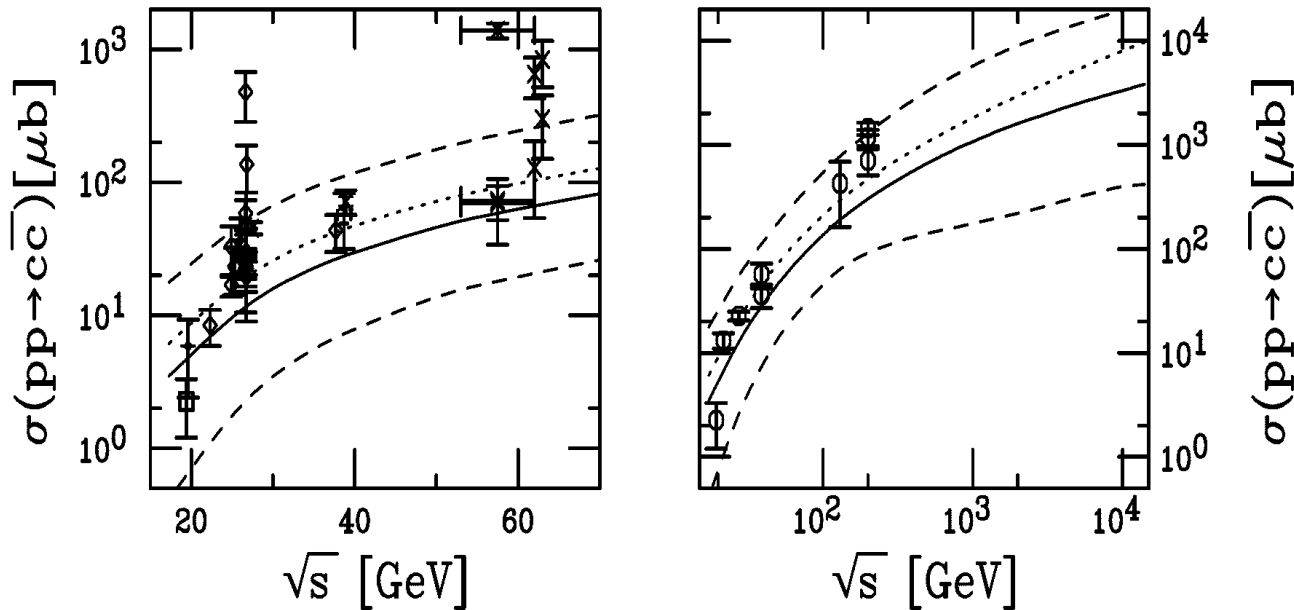
- $N_{2,3}$  mix with  $\nu$
- Produced in semi-leptonic decays, f.i.  $K \rightarrow \mu\nu$ ,  $D \rightarrow \mu\pi\nu$ ,  $B \rightarrow D\mu\nu$
- $\propto \sigma_D \times U^2$
- $U_2^2 = U_{2,\nu_e}^2 + U_{2,\nu_\mu}^2 + U_{2,\nu_\tau}^2$

- $\mathcal{B}(N \rightarrow \mu/e \pi)$ :  $\sim 0.1 - 50 \%$
- $\mathcal{B}(N \rightarrow \mu/e \rho)$ :  $\sim 0.5 - 20 \%$
- $\mathcal{B}(N \rightarrow \nu\mu e)$ :  $\sim 1 - 10 \%$
- $\tau_{N_{2,3}} \propto U^{-2}$ , i.e.  $c\tau \sim O(\text{km})$



# Sensitivity for $N_{2,3} \propto U^4!$

- PS-191: Used K-decay, hence limited to 500 MeV. (Phys. Lett. B 203 (1988) 332)
- Goal: extend mass range to  $\sim 2$  GeV by using D-decays.
- B-decays: 20-100 smaller  $\sigma$ , and  $\rightarrow D\mu\nu$ , i.e. still limited to  $\sim 3$  GeV.



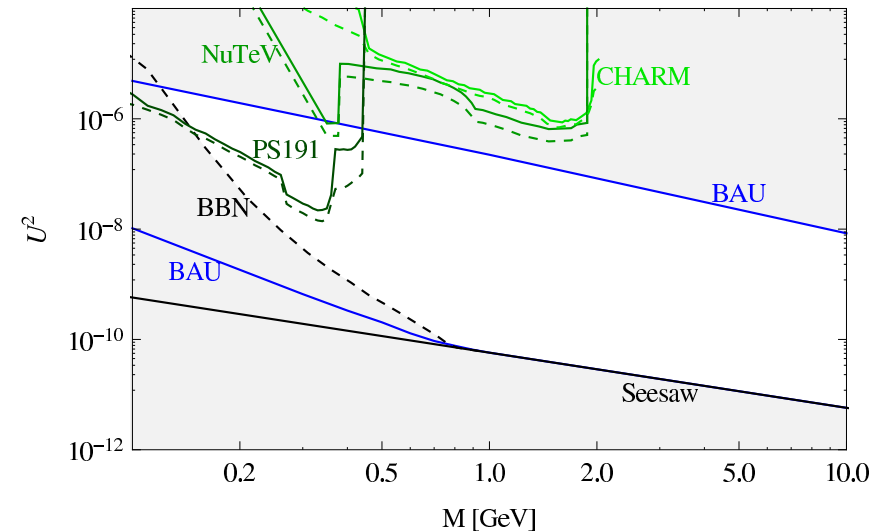
arxiv.org/pdf/0709.2531v1

- Where to produce charm?
  - LHC ( $\sqrt{s} = 14$  TeV): with  $1 \text{ ab}^{-1}$  (i.e. 3-4 years):  $\sim 2 \cdot 10^{16}$  in  $4\pi$ .
  - SPS (400 GeV p-on-target (pot)  $\sqrt{s} = 27$  GeV): with  $2 \cdot 10^{20}$  pot (i.e. 3-4 years):  $\sim 2 \cdot 10^{17}$
  - Fermilab: 120 GeV pot,  $10\times$  smaller  $\sigma_{c\bar{c}}$ ,  $10\times$  pot by 2025 for LBNE..

# Experimental status on searches

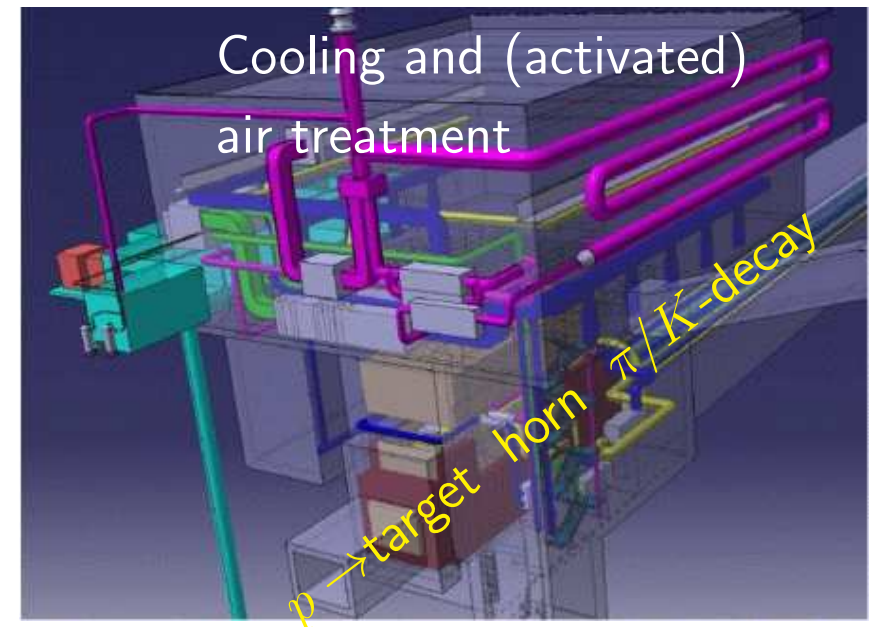
Already searches in K/D-decay performed:

- PS191('88)@PS 19.2 GeV,  
 $1.4 \times 10^{19}$  pot, 128 m from target.
- CHARM('86)@SPS 400 GeV,  
 $2.4 \times 10^{18}$  pot, 480 m from target.
- NuTeV('99)@Fermilab 800 GeV,  
 $2.5 \times 10^{18}$  pot, 1.4 km from target.
- BBN, BAU and Seesaw constrain more than experimental searches for  $M_N > 400$  MeV.



What has been achieved, is being prepared:

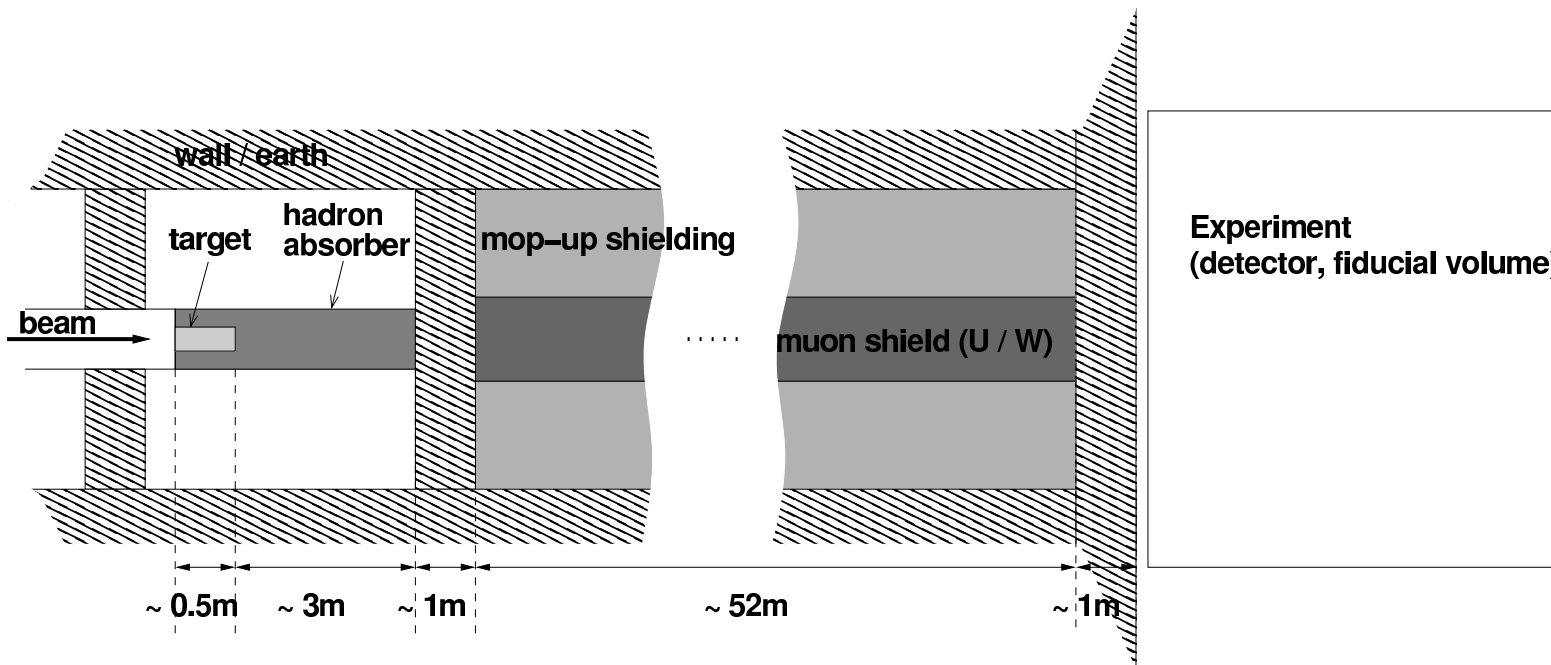
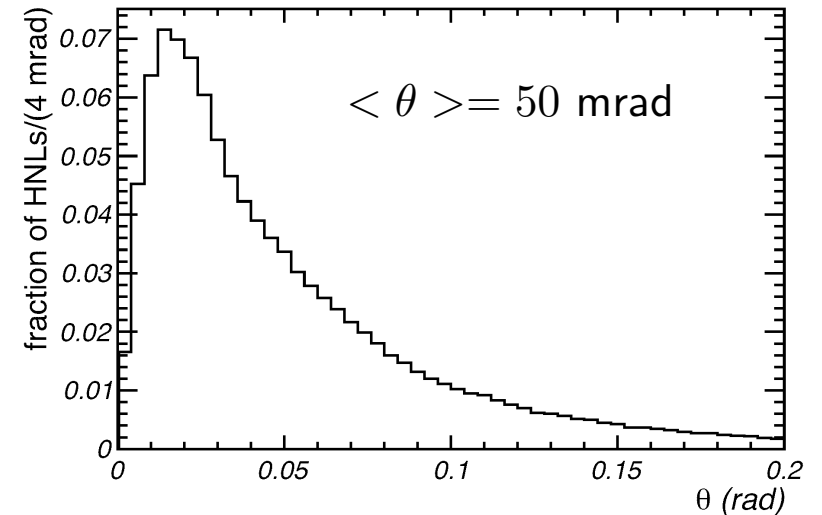
- CNGS:  $1.8 \times 10^{20}$  pot, 2011:  $4.8 \times 10^{19}$
- CERN neutrino R&D platform.  
 Design of target area in progress.



# $2 \times 10^{20}$ 400 GeV pot

HNL search is different from  $\nu_\mu$ ,  $\nu_e$  physics (but  $\nu_\tau$  similar):

- $\nu_\mu$ ,  $\nu_e$  cause background:  
heavy (W) target to avoid  $\pi/K$ -decay.  
Example: Cu iso W-target doubles  $\nu$ -background!
- Place detector as close as possible to target as background (huge  $\mu$ -flux!) allows, i.e.  $\sim 60$  m?



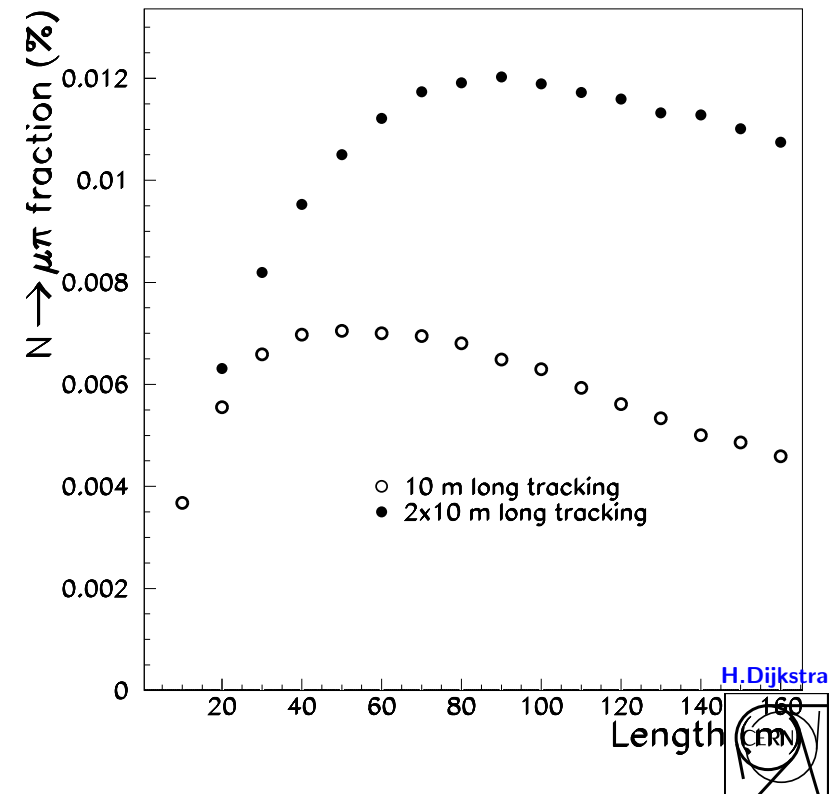
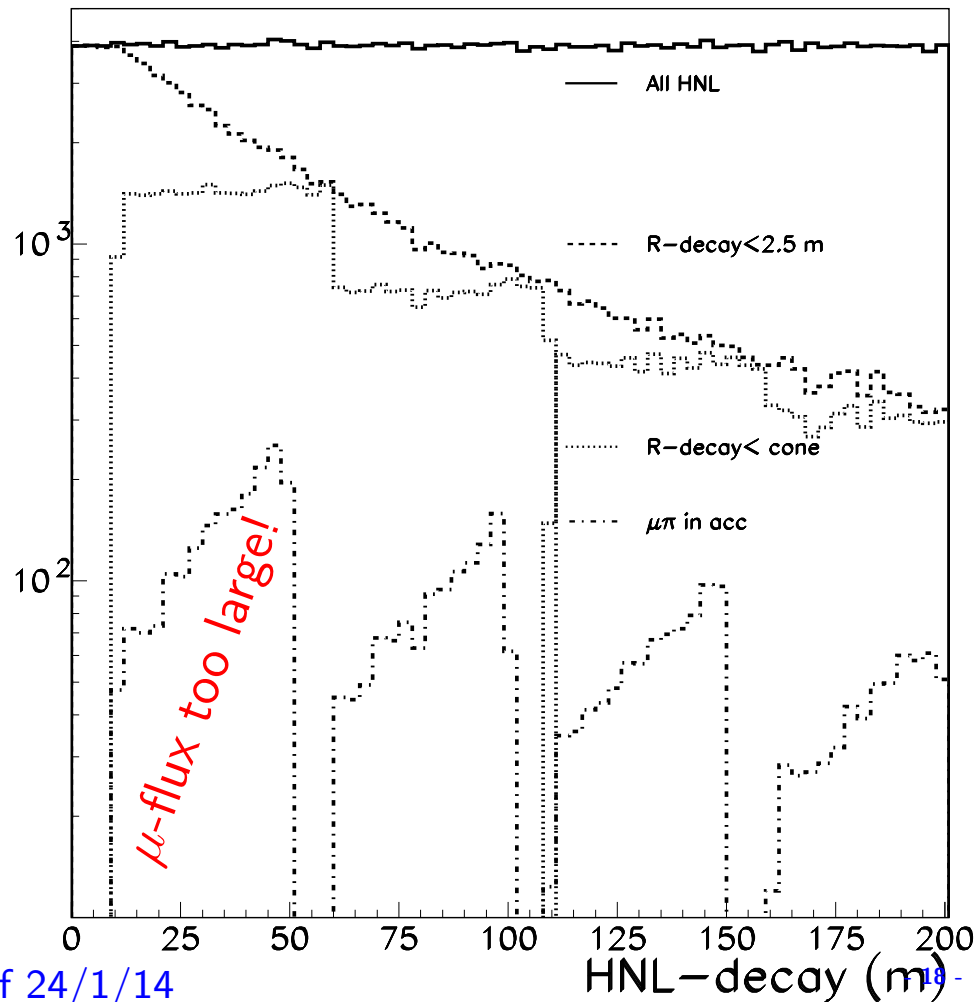
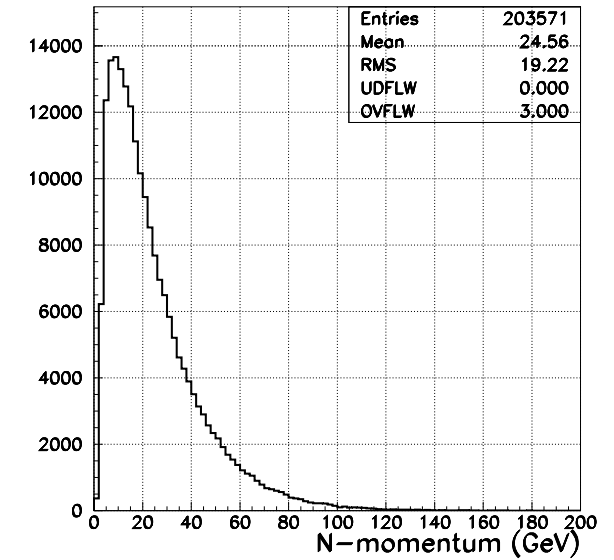






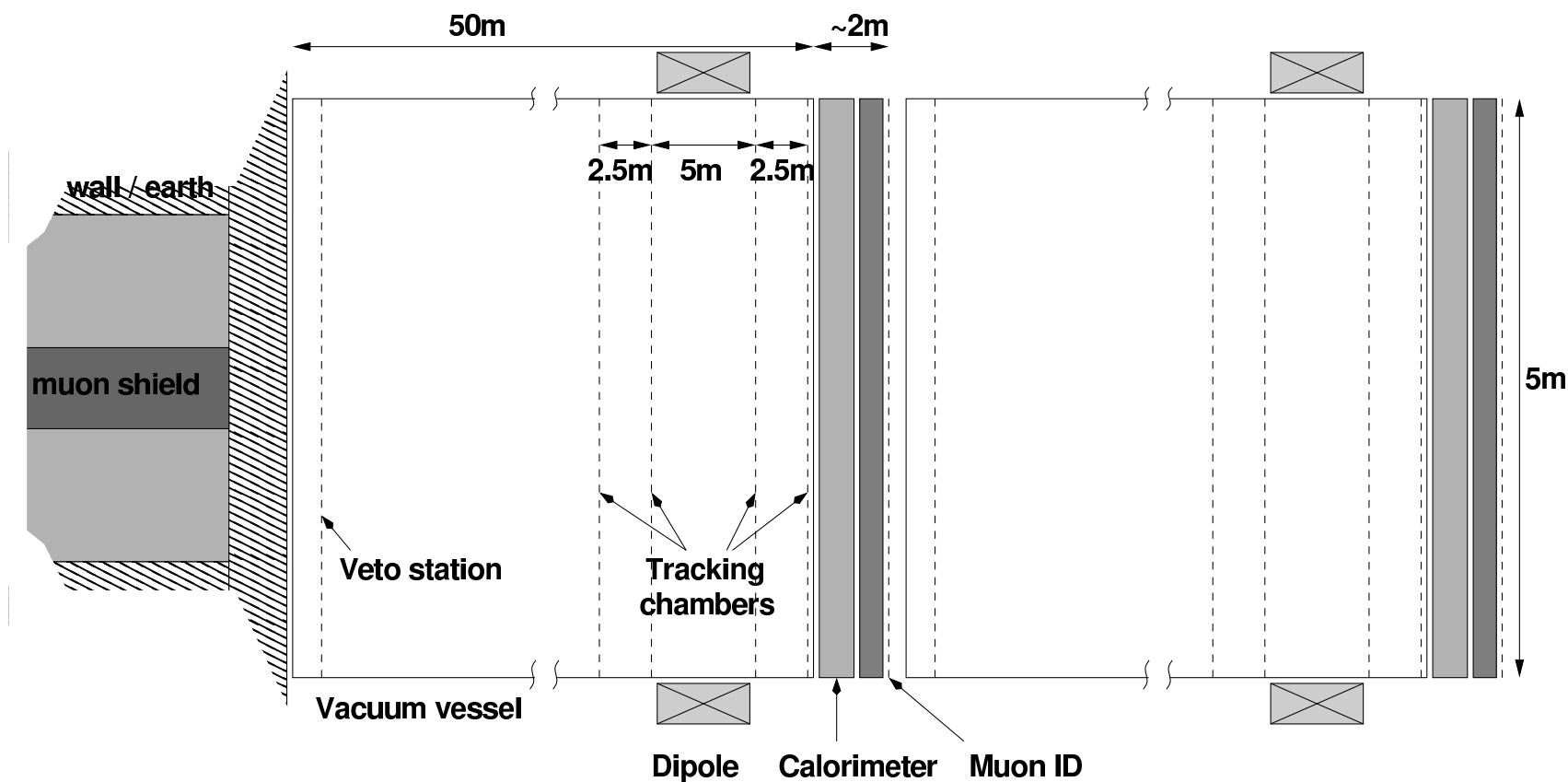
# Designing the Spectrometer

- Take  $N_{2,3} \rightarrow \mu\pi$ , mass=1 GeV as proxy.
- $c\tau_N$  is kms,  $\langle p \rangle = 25$  GeV!
- Assume spectrometer  $\varnothing = 5$  m.
- Decay volume length saturates at  $\sim 40$  m.
- 2nd spectrometer of 50 m adds 70 % in acceptance.



# Spectrometer(s)

- $\sim 40$  m long decay volume,  $\varnothing = 5$  m, 10 m long spectrometer
- Go for exclusive decays:  $N \rightarrow \mu \pi$ ,  $\rightarrow e \pi$ ,  $\rightarrow \mu \rho(\pi\pi^0)$
- measure momenta of decay particles  $\rightarrow$  mass-peak and impact parameter,
- identify  $\mu$ ,  $e$ , measure  $\gamma$  momentum.
- Put two behind each other to increase acceptance.



# Background: $\mu$ Flux

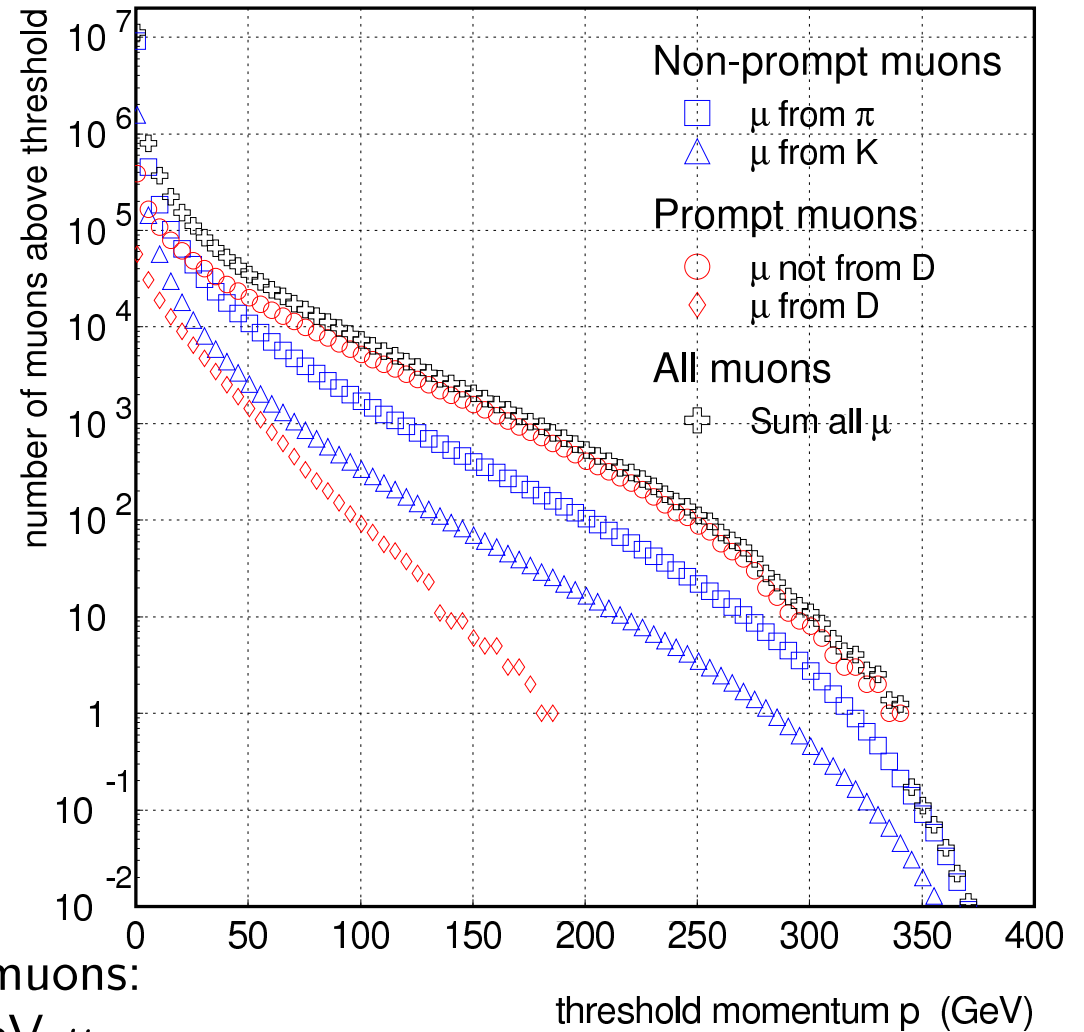
Without  $\mu$ -filter:

$5 \times 10^9 / \text{SPS-spill} (5 \times 10^{13} \text{ pot})$

- Low-p: still from  $\pi/K$ -decay
- High-p:  $\omega/\rho$ -decays to  $\mu\mu$
- Impose: occupancy  $< 1\%$  @  $100 \text{ ns} \int t$ :
  - spill duration  $\sim 1 \text{ s}$ :  $10^2$  reduction
  - spill duration  $\sim 1 \text{ ms}$ :  $10^5$  reduction
  - spill duration  $\sim 10 \mu\text{s}$ :  $10^7$  reduction
- Reduce background from  $\mu$ -interactions to below  $\nu$ -background (see later)

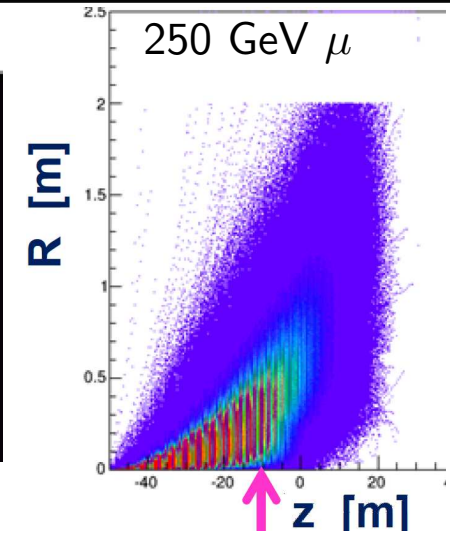
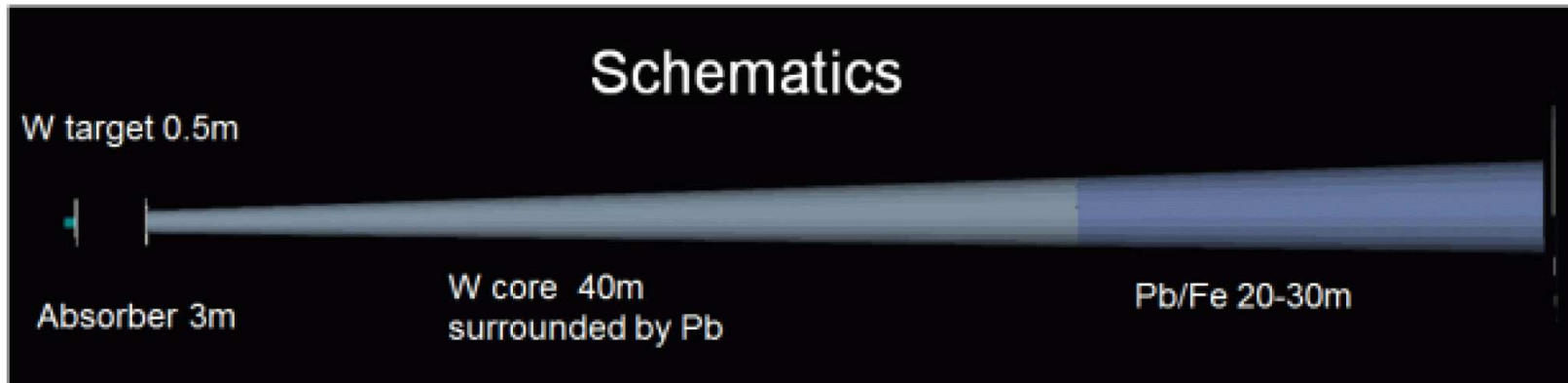
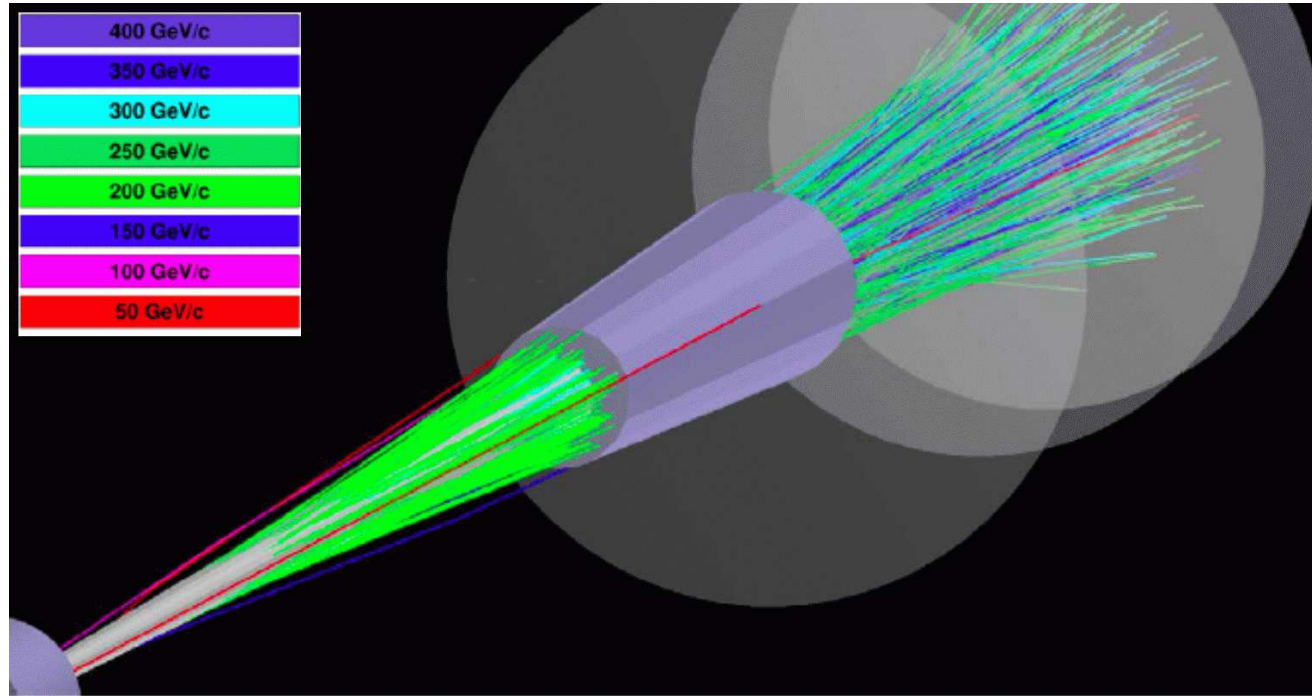
Two alternatives for filter:

- Passive: i.e. use high Z material to stop muons:  
Example: need 54 m of W to stop 400 GeV  $\mu$ .
- Active (+passive): use magnets to deflect muons:  
Example: need 40 Tm to deflect 400 GeV  $\mu$  outside acceptance.



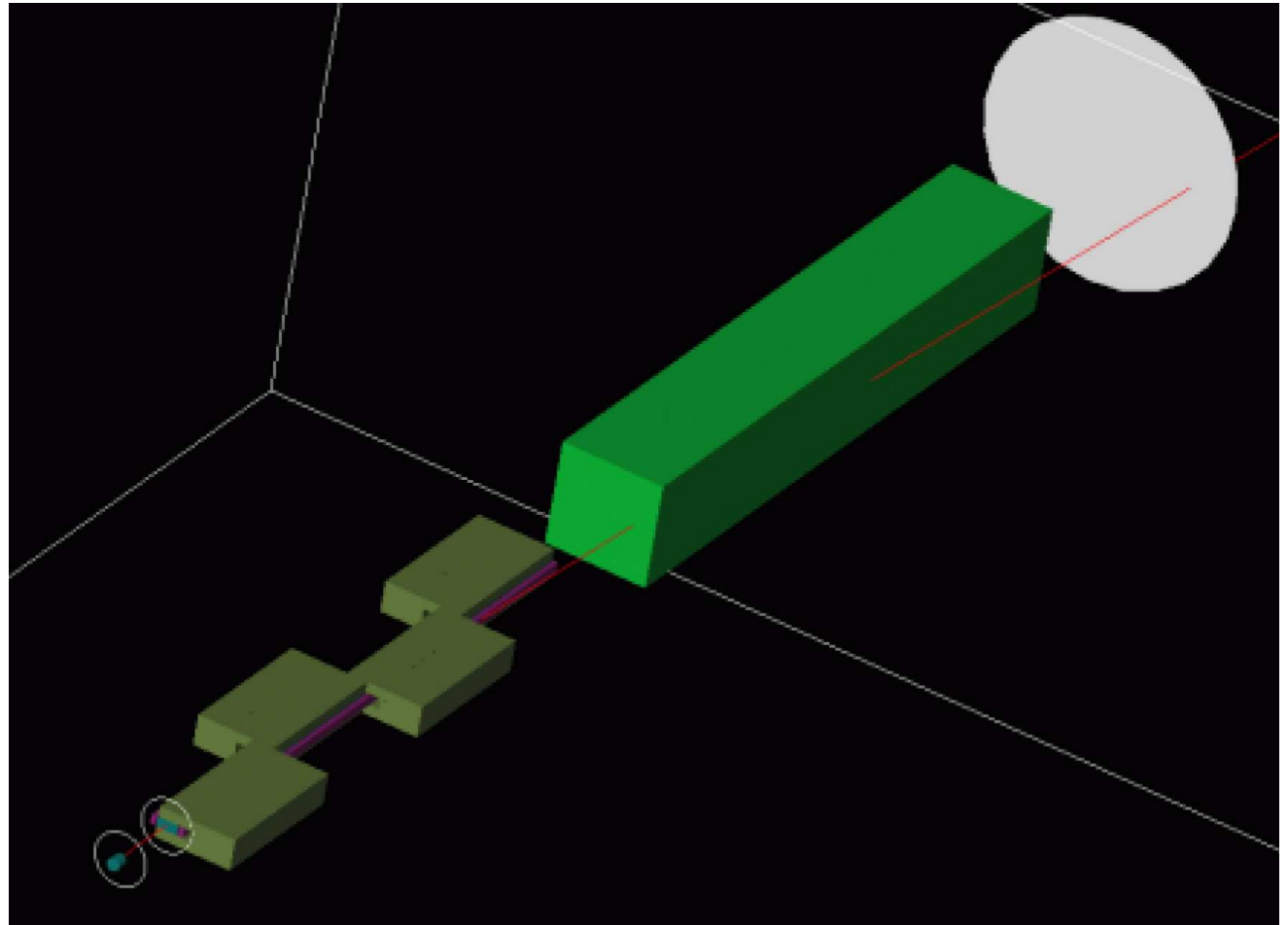
# Passive $\mu$ -filter

- Geant studies to estimate flux.
- MS and  $\epsilon$ : limit W-length to 40 m.
- High-p at small  $\theta$ :  $W\varnothing$ 12-50 cm
- +20-30 m of Pb/Fe :
- reduction of  $10^7$  possible
- Robust/easy to operate



## Alternative: Active (+passive) $\mu$ -filter

- Use 6 m long C-shaped magnets.
- Produces 40 Tm total field with 4 magnets: high-p swept out.
- Problem: return-B of low-p  $\mu$ :
  - alternate return-B left/right
  - Add passive Fe-shield
- reduction of  $10^7$  possible

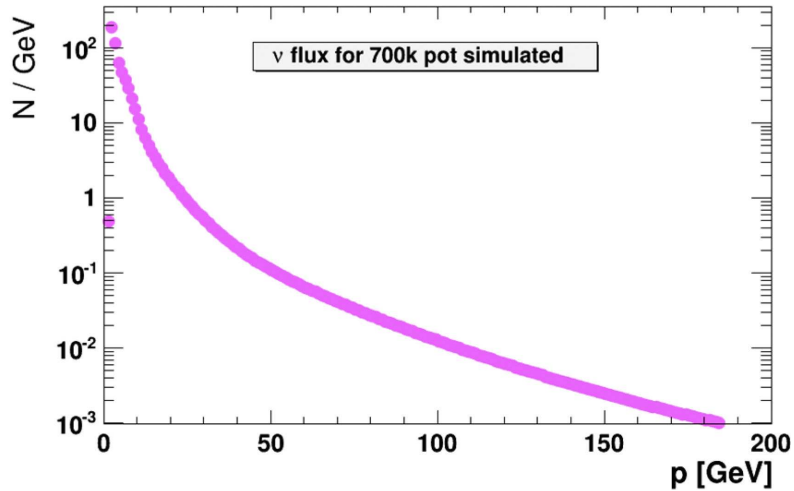


Work in progress, need to optimize together with SPS-spill length, and induced background.

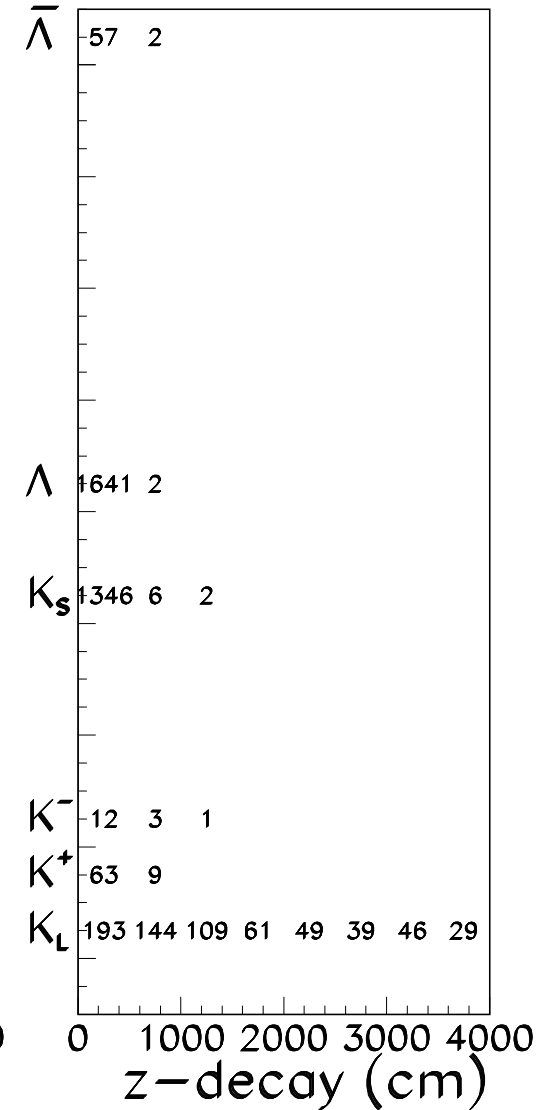
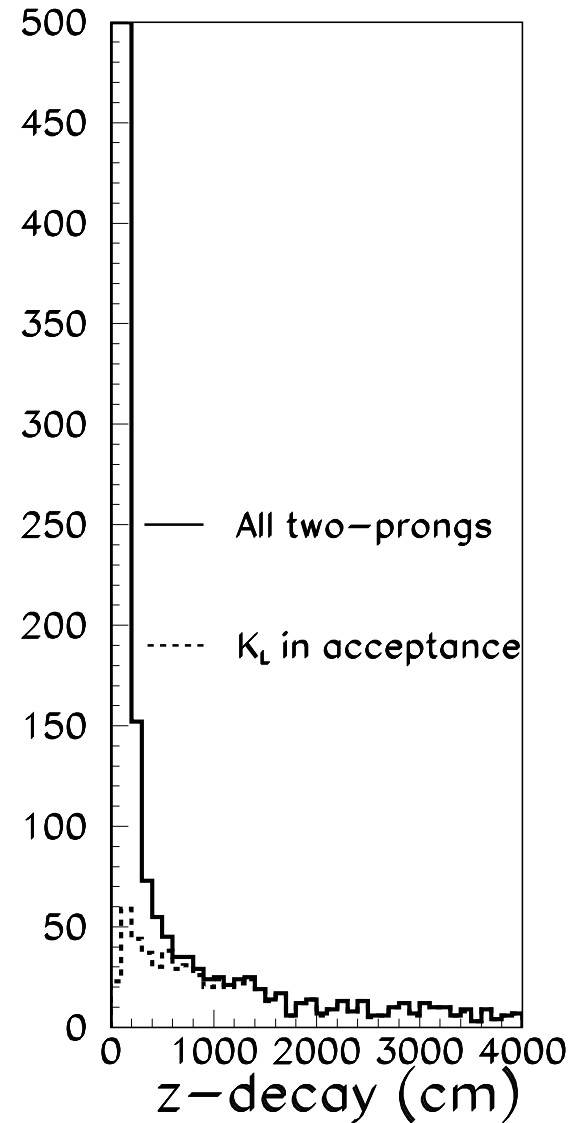
# $\nu$ -Background

Pythia/Genie/Geant, compare to CHARM:

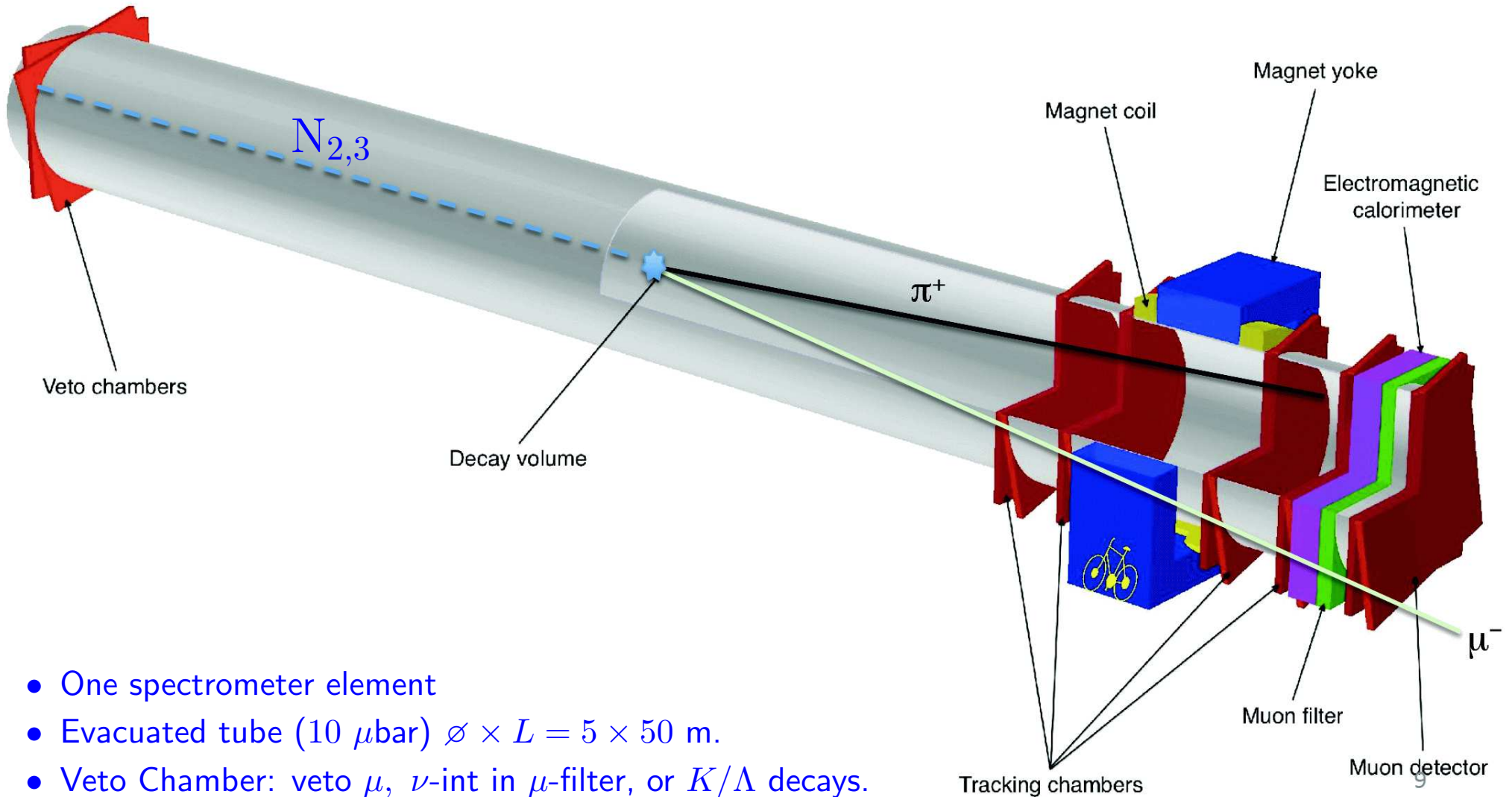
- $\nu$ -flux at end of  $\mu$ -filter ( $/2 \times 10^{20}$  pot):  
CC+NC  $8 \times 10^5$  interactions/ $\lambda$



- 1 bar air in decay volume:  
 $2 \times 10^4$   $\nu$ -int/ $2 \times 10^{20}$  pot
- Reduce pressure to  $10 \mu\text{bar}$ !
- $\nu$ -interactions in  $\mu$ -filter:
  - Use veto-station to suppress short lived.
  - $\nu_\mu + p \rightarrow X + K_L \rightarrow \mu\pi\nu$  main background.



# Spectrometer



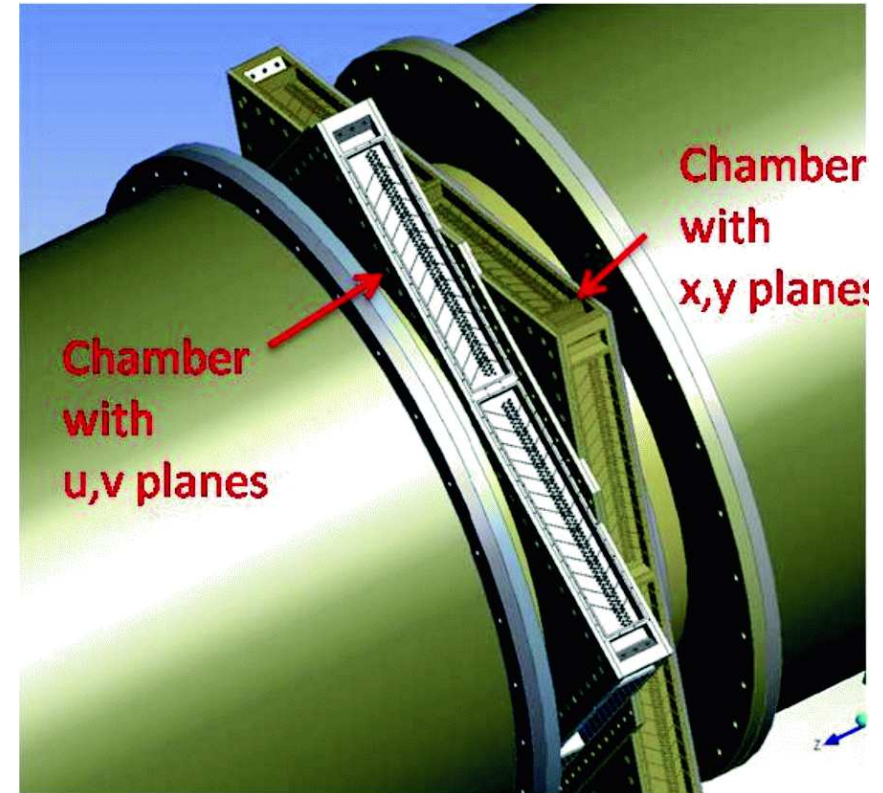
- One spectrometer element
- Evacuated tube ( $10 \mu\text{bar}$ )  $\varnothing \times L = 5 \times 50 \text{ m}$ .
- Veto Chamber: veto  $\mu$ ,  $\nu$ -int in  $\mu$ -filter, or  $K/\Lambda$  decays.
- Tracking chambers (thin!) and magnet for momentum measurements
- Ecal and muon filter/chambers at the end.



# Tracking Chambers

NA62 ( $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ ):

- 2 m  $\varnothing$  vessel @0.01  $\mu$ bar.
- 10 mm  $\varnothing$  straws made of PET.
- Demonstrated to work in vacuum.
- $X/X_0=0.5$  % for 4 view station!
- 120  $\mu$ m resolution/straw.



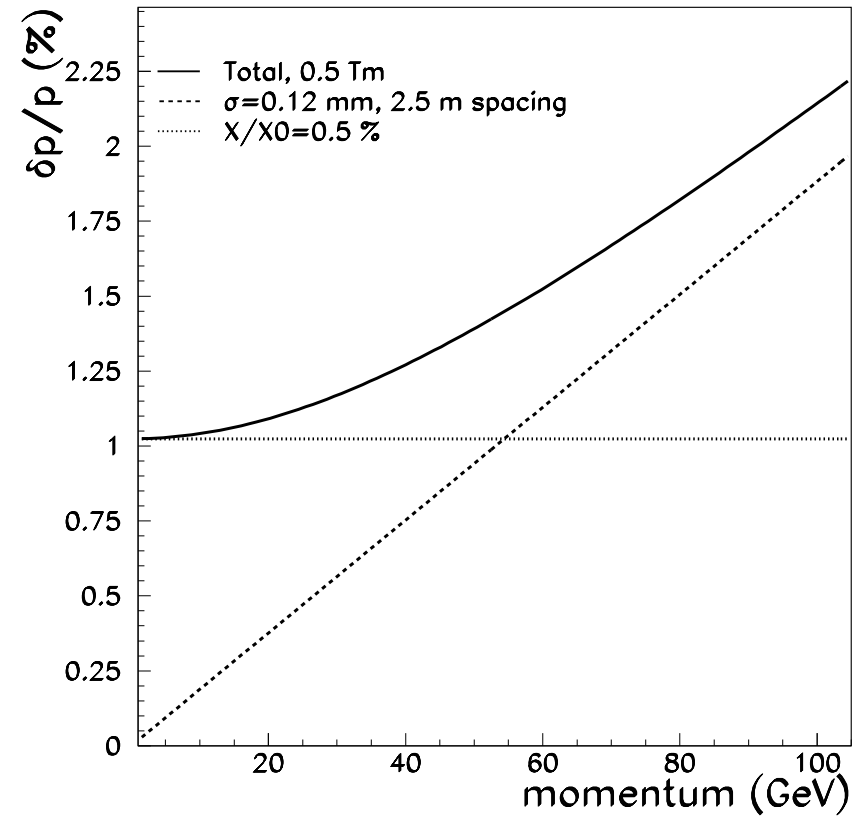
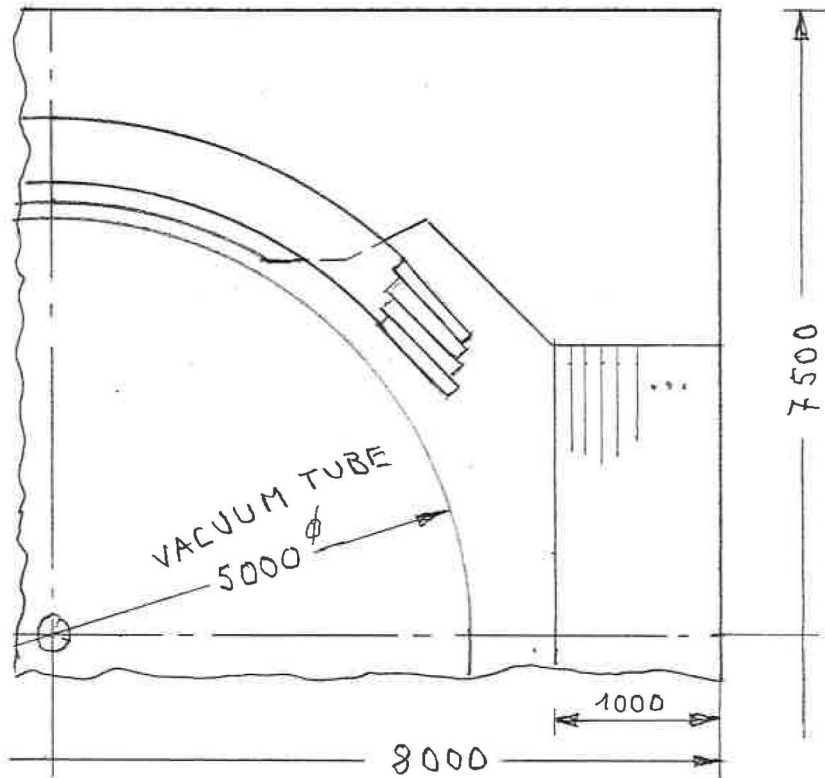
# Magnet

- With  $X/X_0=0.5\%$  chambers: modest 0.5 Tm
- Need  $\sim 20\text{ m}^2$  aperture.

LHCb magnet: 4 Tm,  $16\text{ m}^2$  aperture

Preliminary calculations (W.Flegel):

- Needs 30 % less iron/yoke than LHCb.
- Consumes 3 times less power.

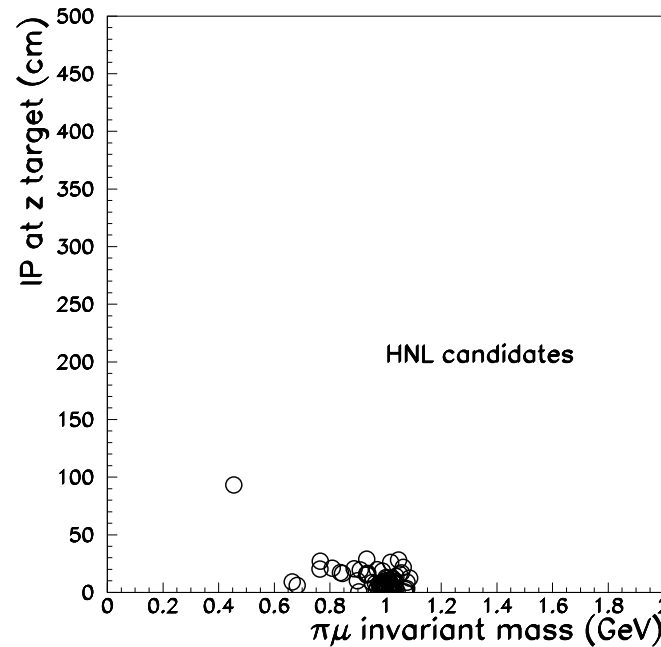
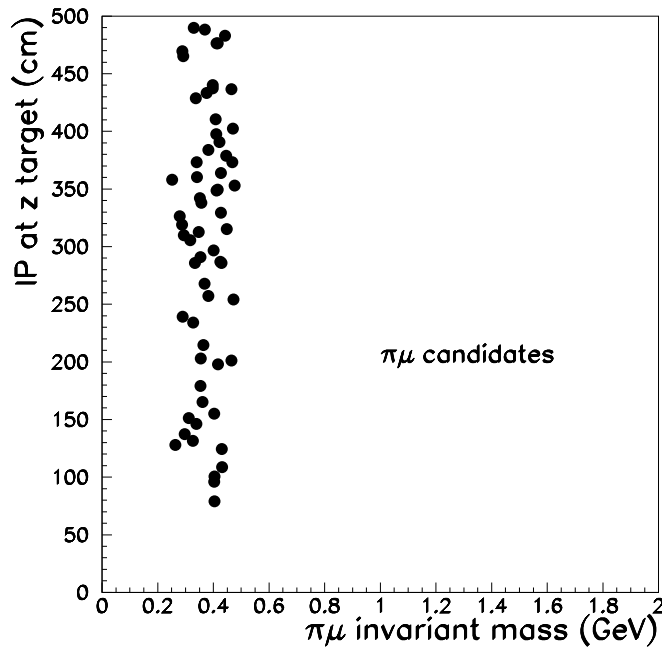
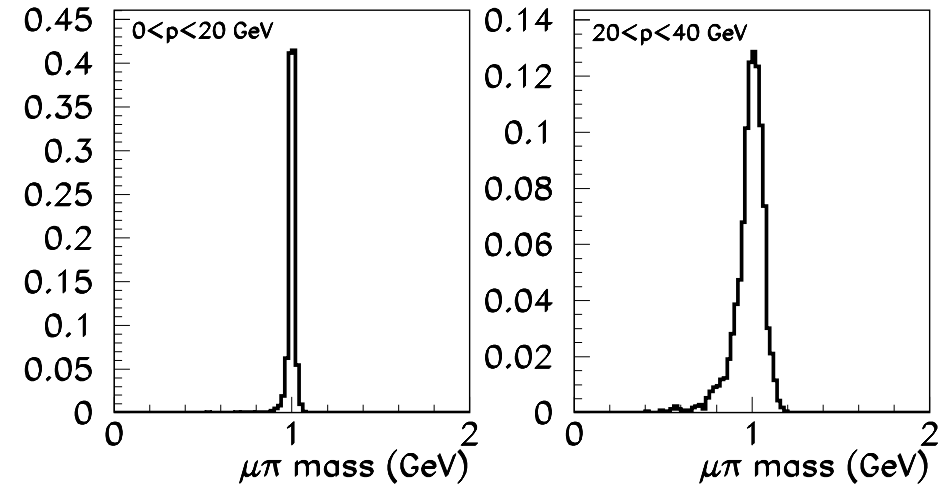


# Mass resolution

- Expected resolution for 1 GeV  $N \rightarrow \mu\pi$

$K_L$  background suppression:

- Use pointing of candidates to target area
- Detect CC via extra  $\mu$  in coincidence with  $\mu\pi$ ?
- Instrument  $\mu$ -filter to tag CC/NC shower?





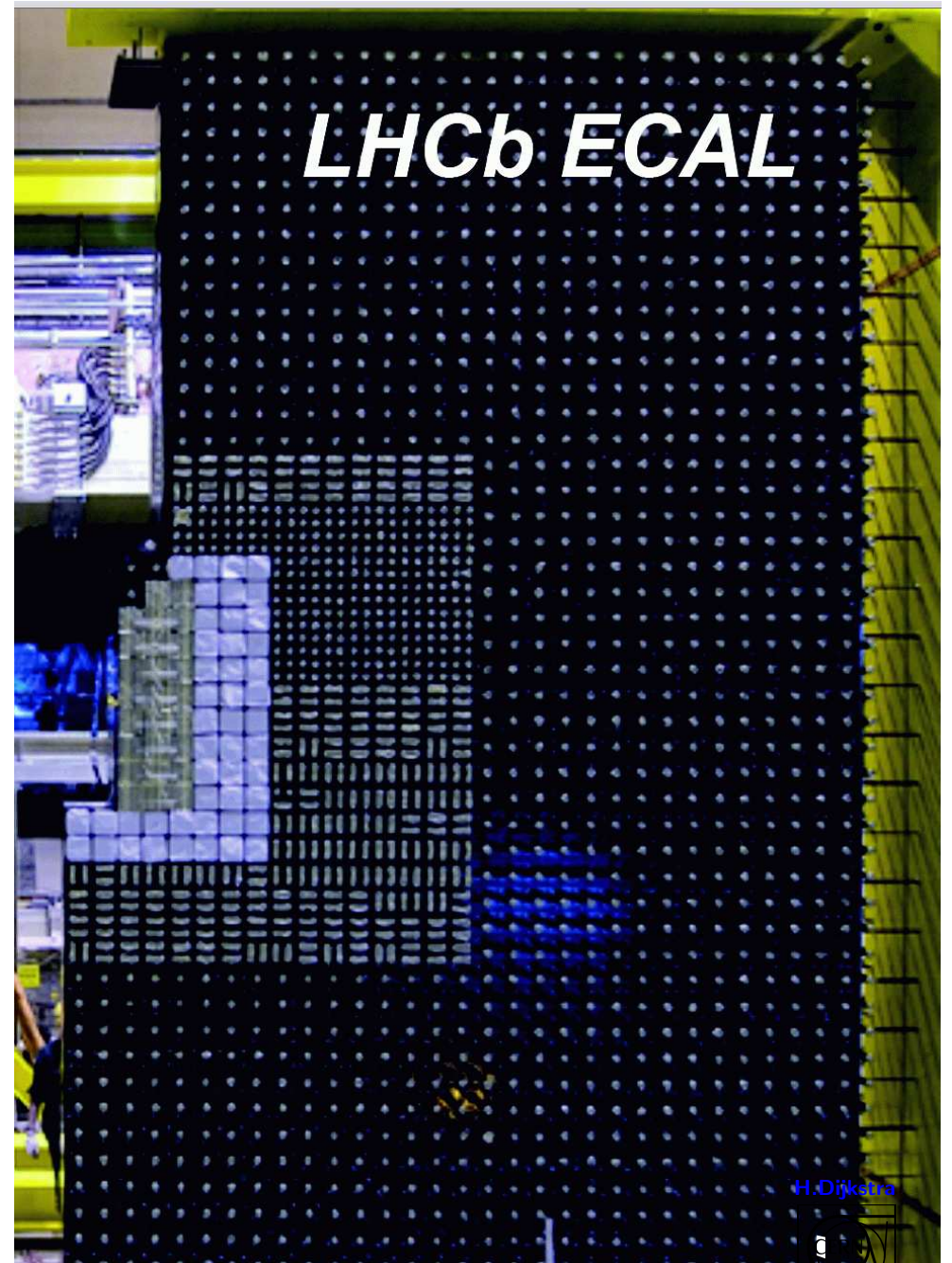
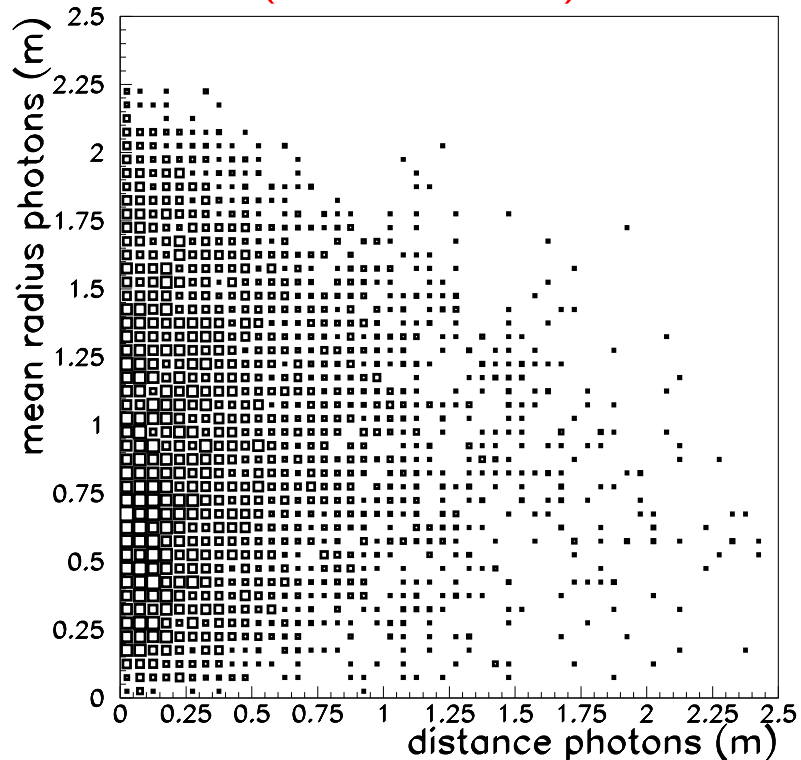
# Electromagnetic Calo

LHCb Shashlik ECAL:

- $6.3 \times 7.8 \text{ m}^2$
- $\frac{\sigma(E)}{E} < 10\% / \sqrt{E} \oplus 1.5\%$

Larger/better than required.

But for  $N \rightarrow \mu\rho(\pi\pi^0(\gamma\gamma))$   
need small ( $10 \times 10 \text{ cm}^2$ ) cells everywhere.



H.Dijkstra



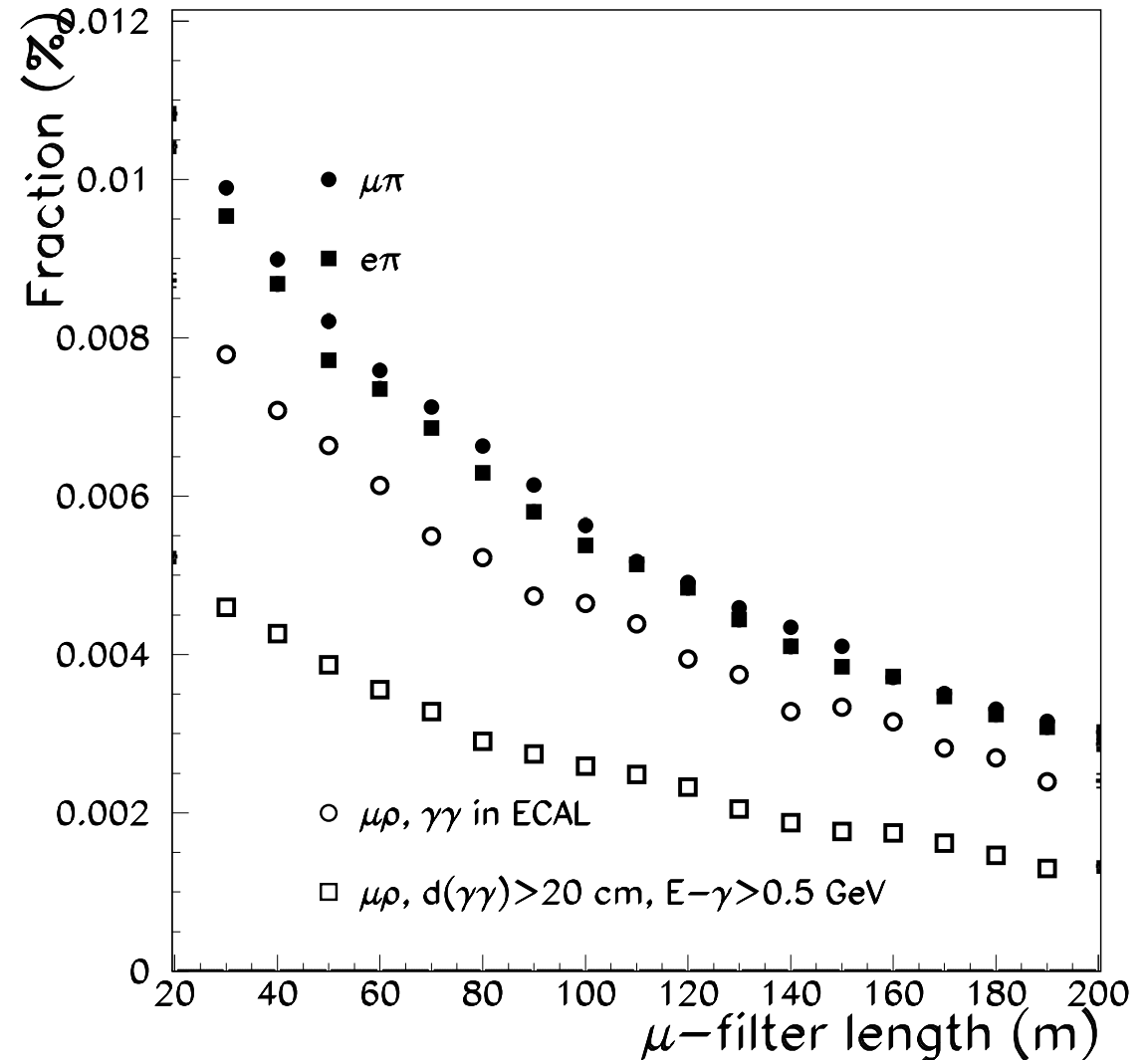
# Expected acceptance/channel

$N \rightarrow \mu/e \pi, \rightarrow \mu \rho(\pi\pi^0):$

- $\tau_{\text{HNL}} = 1.8 \times 10^{-5}$  s, mass=1 GeV.
- Our standard double 40+10 m vessel.

Conclusion:

- Acceptance  $e\pi \sim \mu\pi$
- $\mu\rho \sim 45\%$  reco-eff compared to  $\mu\pi$ .



# Expected HNL Sensitivity

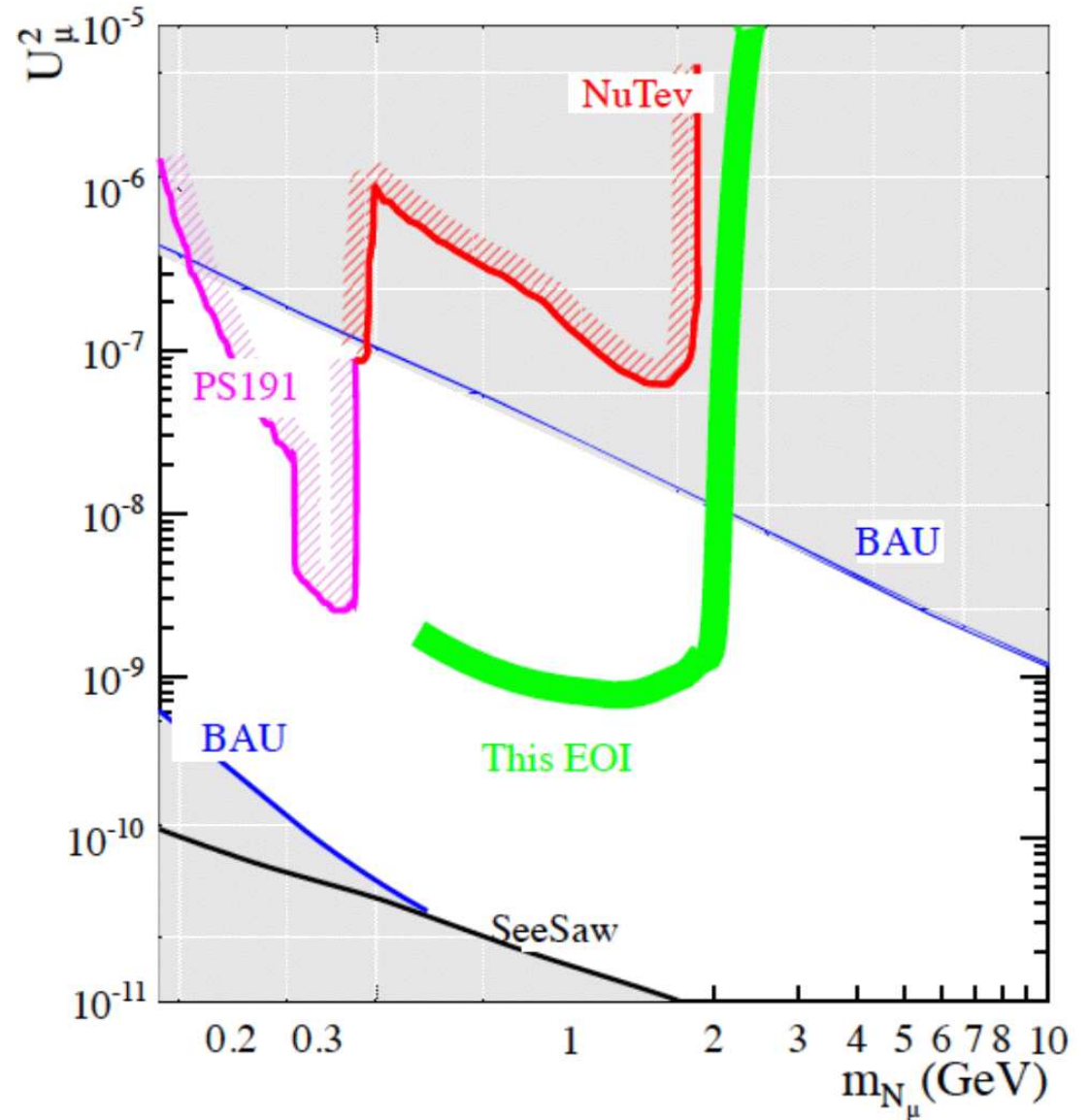
- Only consider  $N_{2,3} \rightarrow \mu\pi$ , i.e.  $U_\mu^2$
- 400 GeV pot =  $2 \times 10^{20}$
- $\mathcal{B}(N \rightarrow \mu\pi) = 20\%$

For  $M_N = 1$  GeV:

$U_\mu^2$	$\tau_N$	$\mu\pi$ events
$10^{-7}$	$1.8 \times 10^{-5}$ s	12000
$10^{-8}$	$1.8 \times 10^{-4}$ s	120
$10^{-9}$	$1.8 \times 10^{-3}$ s	1

For  $U_\mu^2 = 10^{-10}$  need:

- 10× more pot (and/or  $\sqrt{(s)}$ ?), AND
- 10× larger acceptance!



# Extended Physics Program

Experiment designed for HNL studies in  $\nu$ MSM, but..

- Ideally suited for studying interactions of  $\nu_\tau$ , since they are produced from  $D_s$ -decay, hence have similar kinematics as HNLs.
- Can search for any other weakly interacting, yet unstable particles with  $100 < M < 2000$  MeV.

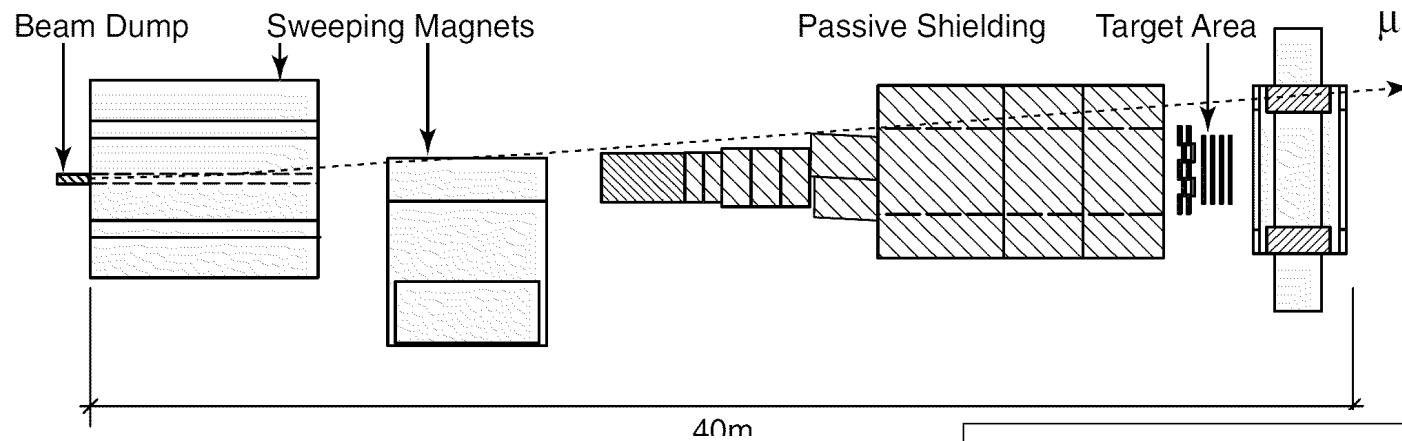
Quite a few “hidden sector” models on the market where the experiment can enter un-explored parameter space.

Still needs to be evaluated more quantitatively.

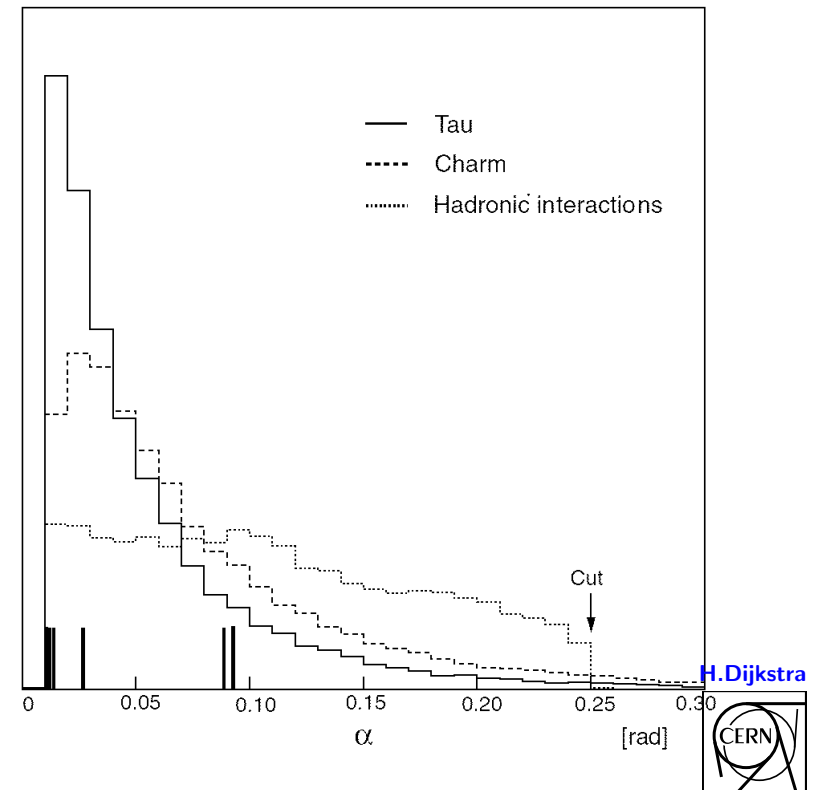
# $\nu_\tau$ Physics

Experimental status: DONUT results (PR D 78, 052002 (2008))

- 1997:  $3.6 \times 10^{17}$  pot, 800 GeV, using 260 kg emulsion  $\nu$ -target.



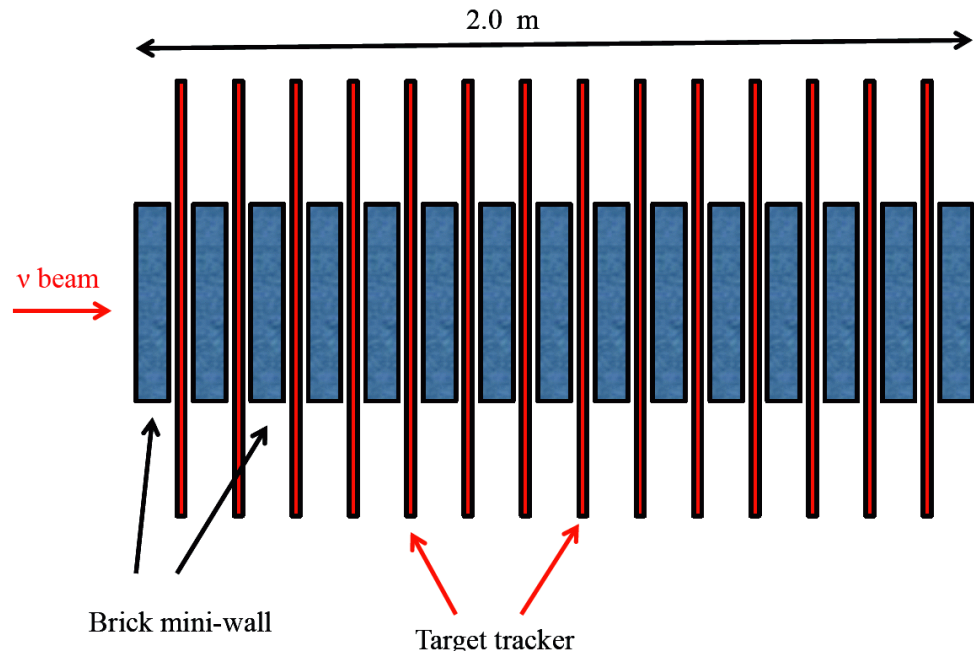
- $\alpha_{\text{kink}}$  from  $\tau$ -decay in CC interactions.
- Charm/hadronic-interaction background.
- 9 candidates, including 1.5 background.





## $\nu_\tau$ Physics with $2 \times 10^{20}$ pot

- Scaling from DONUT: 20 times more CC with same  $\nu$ -target mass.
- But can increase  $\nu$ -target mass “easily”, let's say to 3 % of OPERA emulsion surface:



- Only requires limited space along beam-line, hence “no” loss for HNL acceptance.
- HNL spectrometer is forward spectrometer of  $\nu$ -physics program.
- $\nu$ -target allows to tag  $K_L$  which coincide with  $\nu$ -interactions.
- Expect 1500-2000 CC  $\nu_\tau$  interactions.
- In addition:  $5 \times \nu_\mu$  CC charm production than CHORUS (2k).

# SPSC status

- Oct 2013: submitted our EOI: CERN-SPSC-2013-024 ; arXiv:1310.1762 ; SPSC-EOI-010. - 2013
- SPSC assigned 4 referees, who came with a list of questions.
- 3/1/2014: answers to questions: [snoopy.web.cern.ch/snoopy/EOI/SPSC-EOI-010\\_ResponseToReferees.pdf](http://snoopy.web.cern.ch/snoopy/EOI/SPSC-EOI-010_ResponseToReferees.pdf)
- 15/1/2014: SPSC discussed our proposal.

17/1/2014: The official feedback from the Committee is as follows :

”The Committee **received with interest** the response of the proponents to the questions raised in its review of EOI010.

The SPSC **recognises** the interesting physics potential of searching for heavy neutral leptons and investigating the properties of neutrinos.

Considering the large cost and complexity of the required beam infrastructure as well as the significant associated beam intensity, such a project should be designed as a general purpose beam dump facility with the broadest possible physics programme, including maximum reach in the investigation of the hidden sector.

To further review the project the Committee **would need** an extended proposal with further developed physics goals, a more detailed technical design and a stronger collaboration.”

Cheers,

Gavin, Lau, Matthew and Thierry  
(for the SPS Committee).

# Conclusions and next steps

- The proposed experiment will search for NP in the largely unexplored domain of new, very weakly interacting neutral particles.
- Detector is based on existing technologies  
Ongoing discussions of the beam lines with experts
  
- The impact of HNL discovery on particle physics is difficult to overestimate!  
Discovery would shed light BSM physics:
  - The origin of the baryon asymmetry of the Universe
  - The origin of neutrino mass
  - The nature of Dark Matter

A collaboration is being setup, aim for first collaboration meeting May/June.

Who would like to join?