



# Search for Heavy Neutral Leptons at SPS<sup>(')</sup>

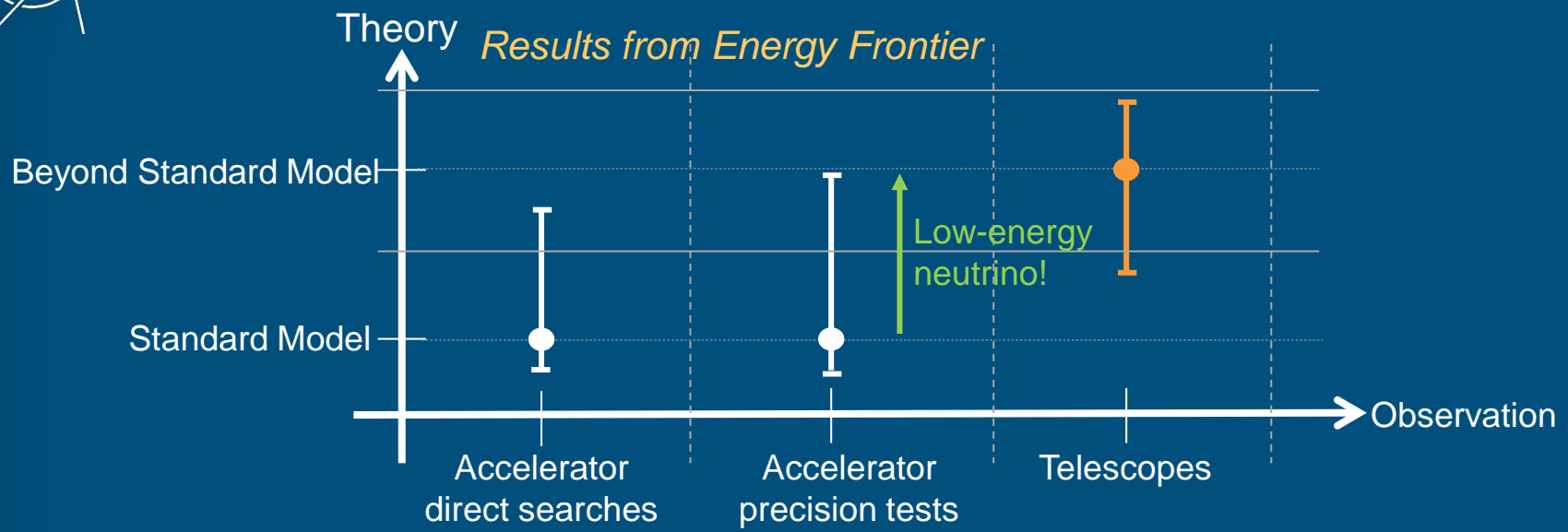
Richard Jacobsson  
on behalf of

*Search for Hidden Particles - SHIP Collaboration*

Expression of Interest presented at 111<sup>th</sup> Meeting of SPSC, October 22, 2013 :  
*CERN-SPSC-2013-024 / SPSC-EOI-010 / arXiv:1310.1762v1 [hep-ex] 7 Oct 2013*

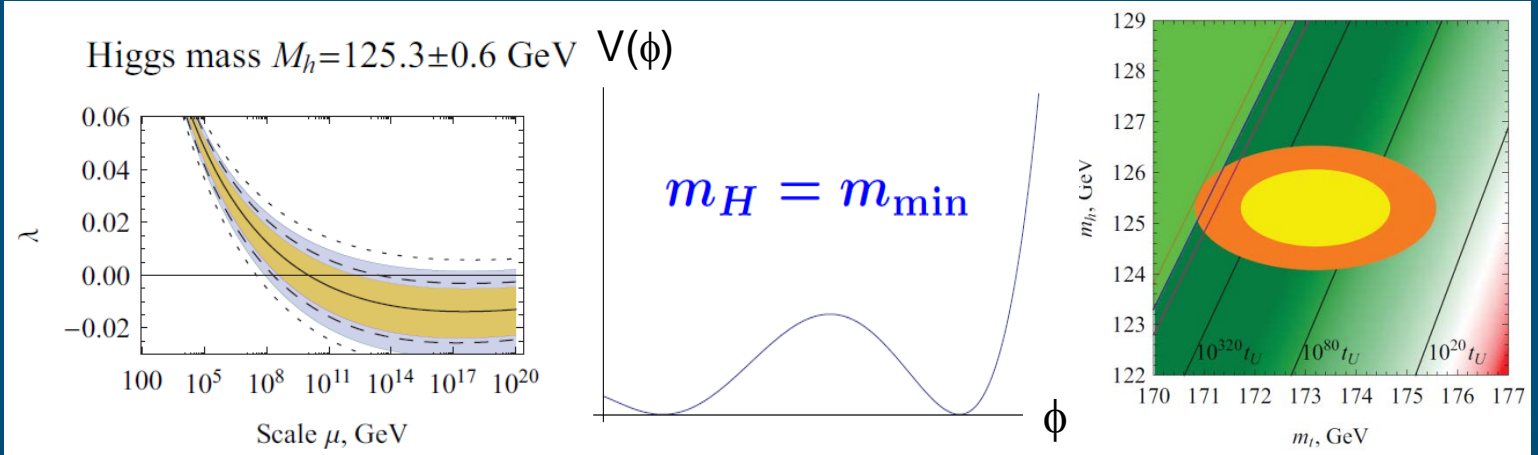


# Physics Situation after LHC Run 1



## Standard Model success: Higgs!

- $m_H < 175 \text{ GeV}$  : Landau pole in the self-interaction is above the quantum gravity scale  $M_{Pl} \sim 10^{19} \text{ GeV}$
- $m_H > 111 \text{ GeV}$  : Electroweak vacuum is sufficiently stable with a lifetime  $\gg t_U$



arXiv:0906.0954



# Physics Situation after LHC Run 1

- *With a mass of the Higgs boson of 125 – 126 GeV the Standard Model is a self-consistent weakly coupled effective field theory up to very high scales (possibly up to the Planck scale) without adding new particles*
  - **No need for new particles up to Planck scale!?**

## Outstanding questions

- Neutrino oscillations:** *tiny* masses and flavour mixing
    - Requires new degrees of freedom in comparison to SM
  - Baryon asymmetry of the Universe**
    - Measurements from BBN and CMB  $\eta = \left\langle \frac{n_B}{n_\gamma} \right\rangle_{T=3K} \sim \left\langle \frac{n_B - n_{\bar{B}}}{n_B + n_{\bar{B}}} \right\rangle_{T \gtrsim 1 \text{ GeV}} \sim 6 \times 10^{-10}$
    - Current measured CP violation in quark sector →  $\eta \sim 10^{-20}$  !!
  - Dark Matter** from indirect gravitational observations
    - Non-baryonic, neutral and stable or long-lived
  - Dark Energy**
  - Hierarchy problem** and stability of Higgs mass
  - SM flavour structure**
- **While we had unitarity bounds for the Higgs, no such indication on the next scale....**
    - Most stringent bounds on the scale of New Physics from  $B\bar{B}$  mixing...



# Physics Situation after LHC Run 1

Very intriguing situation! Multitude of “solutions” to these questions

- Search for Beyond Standard Model physics at the LHC, FHC (**Energy Frontier**):
  - Higgs and top (EW) precision physics
  - Flavour precision physics
  - Continued direct searches for new particles

Many extensions predict very weakly interacting long-lived objects

- **Complementary physics program consists of searches for these**



# Physics Situation after LHC Run 1

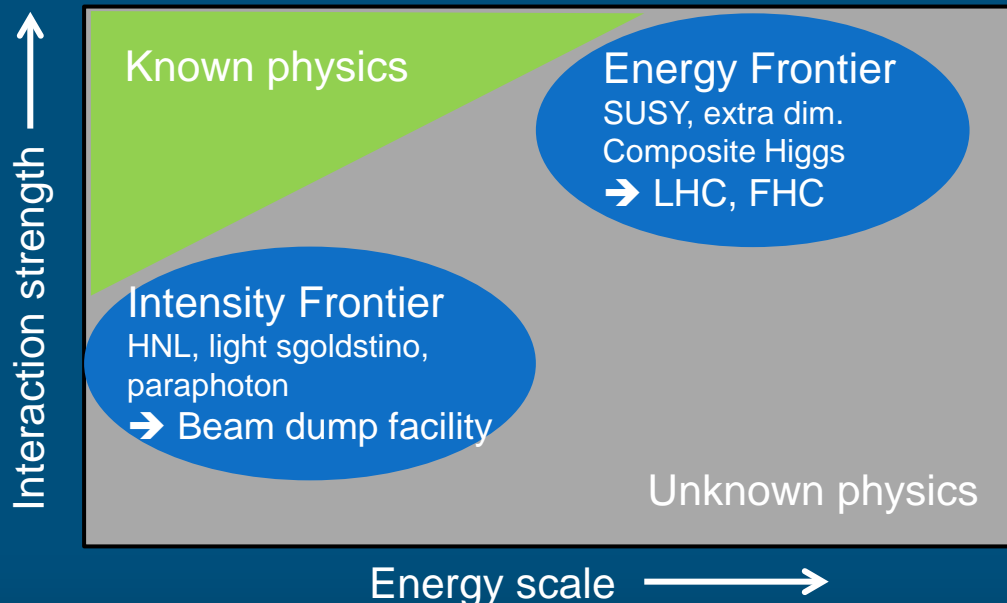
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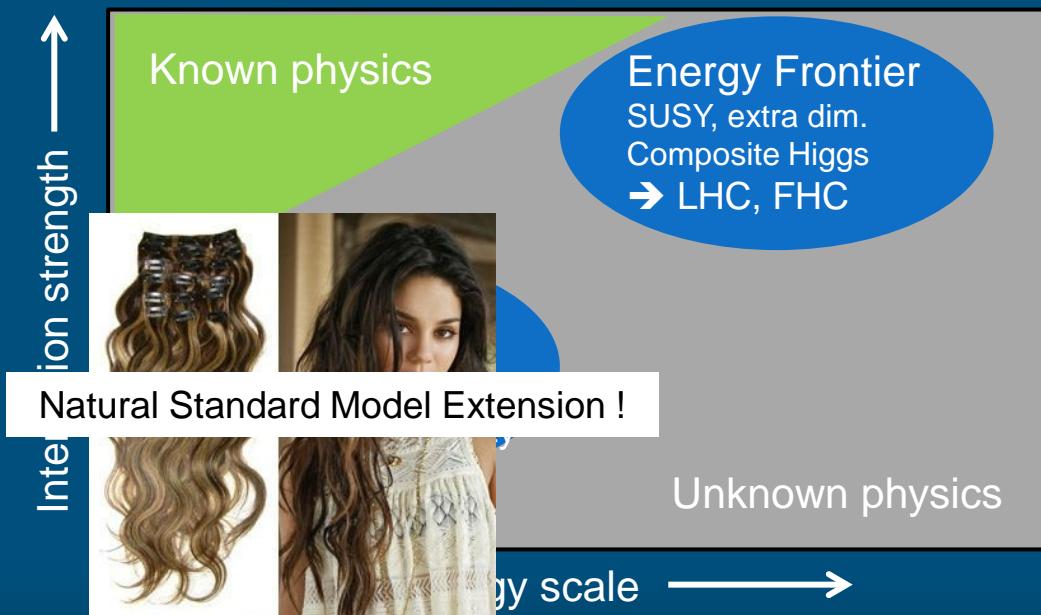
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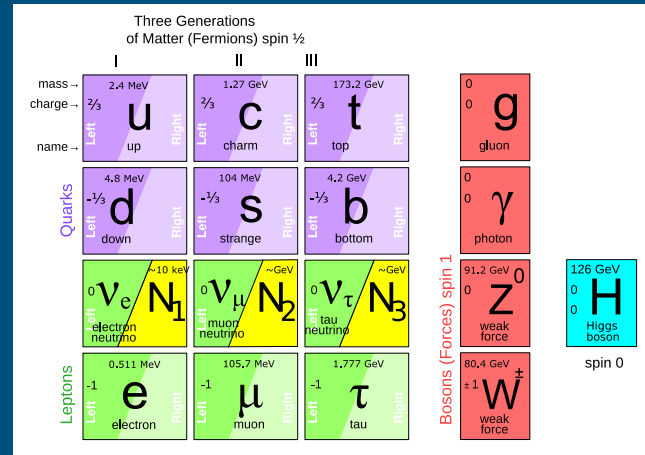
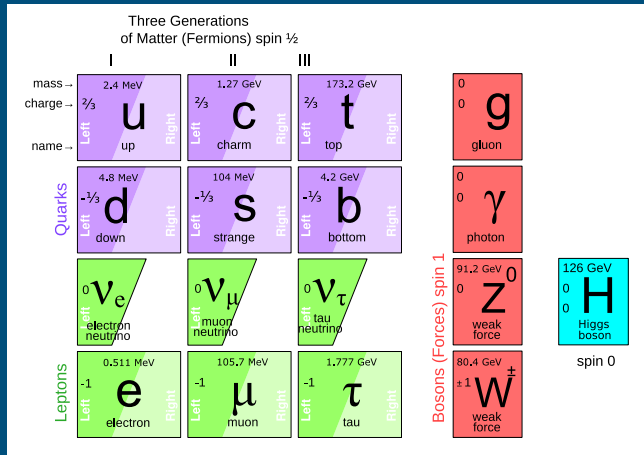
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What about solutions to (some) these questions *below* Fermi scale and weak couplings?



# Ockham's Razor



- Introduce three neutral fermion singlets – right-handed Majorana leptons  $N_I$  with Majorana mass  $m_I^R \equiv$  "Heavy Neutral Leptons (HNL)"
  - Make the leptonic sector similar to the quark sector

Minkowski 1977  
 Yanagida 1979  
 Gell-Mann, Ramond, Slansky 1979  
 Glashow 1979

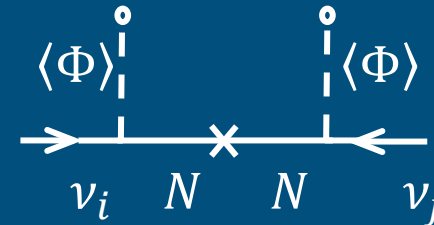
$$\mathcal{L} = \mathcal{L}_{SM} + \sum_{I=1,2,3} \sum_{\ell=1,2,3(e,\mu,\tau)} i\bar{N}_I \partial_\mu \gamma^\mu N_I - Y_{I\ell} \bar{N}_I \Phi^\dagger L_\ell - m_I^R \bar{N}_I^c N_I + h.c$$

where  $L_\ell$  are the lepton doublets,  $\Phi$  is the Higgs doublet, and  $Y_{I\ell}$  are the corresponding new Yukawa couplings

- Discovery of Higgs vital for the see-saw model! → Responsible for the Yukawa couplings!

# Type I See-saw

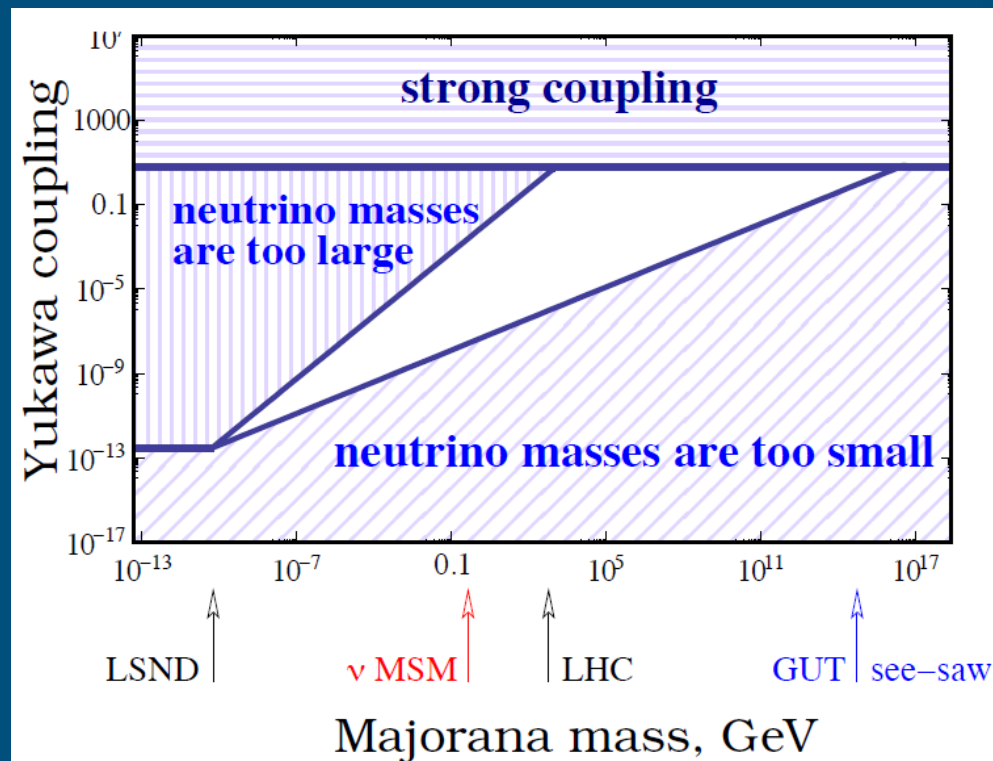
- $Y_{I\ell} \bar{N}_I \Phi^\dagger L_\ell$  lepton flavour violating term results in mixing between  $N_I$  and SM active neutrinos when the Higgs SSB develops the  $\langle VEV \rangle = v \sim 246 \text{ GeV}$ 
  - Oscillations in the mass-basis and CP violation



- Assumption that  $N_I$  are  $\mathcal{O}(m_q/m_{l^\pm})$  ( $\nu$ MSM)

→ Yukawa couplings are very small

- $Y_{I\ell} = \mathcal{O}\left(\frac{\sqrt{m_{atm} m_I^R}}{v}\right) \sim 10^{-8}$
- $\mathcal{U}^2 \sim 10^{-11}$



arXiv:1204.5379

→ Experimental challenge → Intensity Frontier

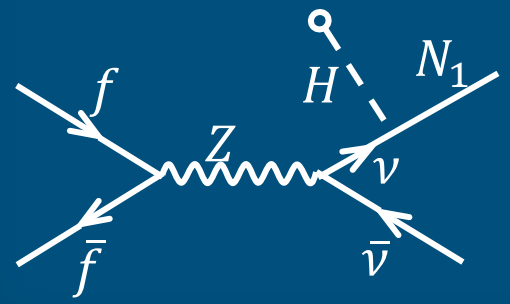


Role of  $N_1$  with a mass of  $\mathcal{O}(10 \text{ keV})$   
 → Dark Matter

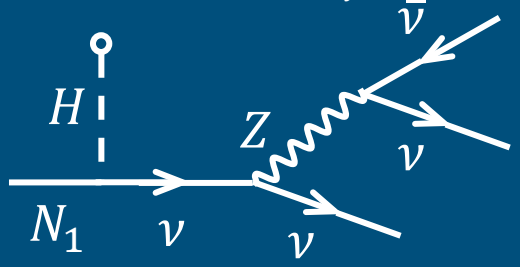
Role of  $N_2$  and  $N_3$  with quasi-degenerate mass of  $\mathcal{O}(m_q/m_{l^\pm})$  (100 MeV – GeV's):  
 → Neutrino oscillations and mass, and BAU

- Assume lightest singlet fermion  $N_1$  has a very weak mixing with the other leptons
  - Mass  $M_1 \sim \mathcal{O}(10) \text{ keV}$  and very small coupling
    - Sufficiently stable to act as Dark Matter candidate
    - Give the right abundance
    - Decaying Dark Matter

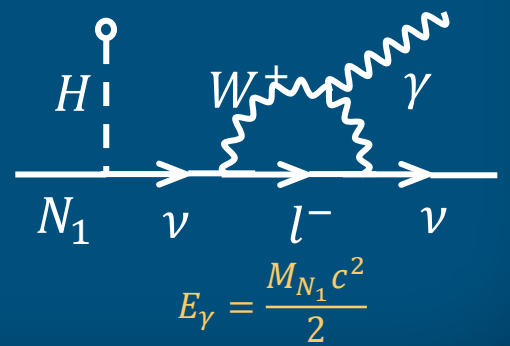
Production from  $\nu \leftrightarrow N$  oscillations



Dominant decay

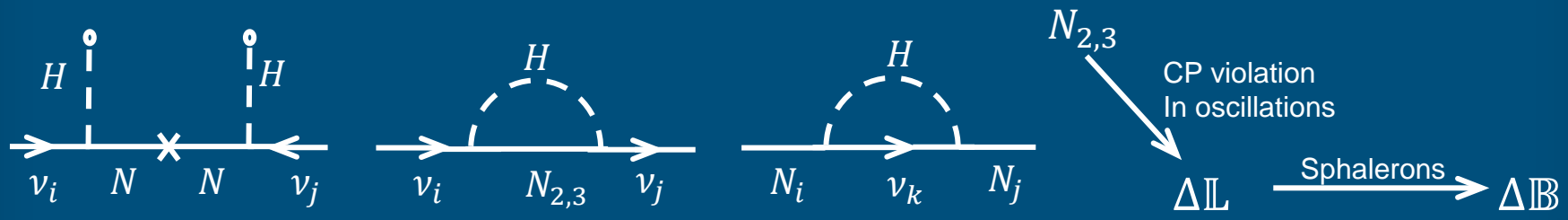


Subdominant radiative decay



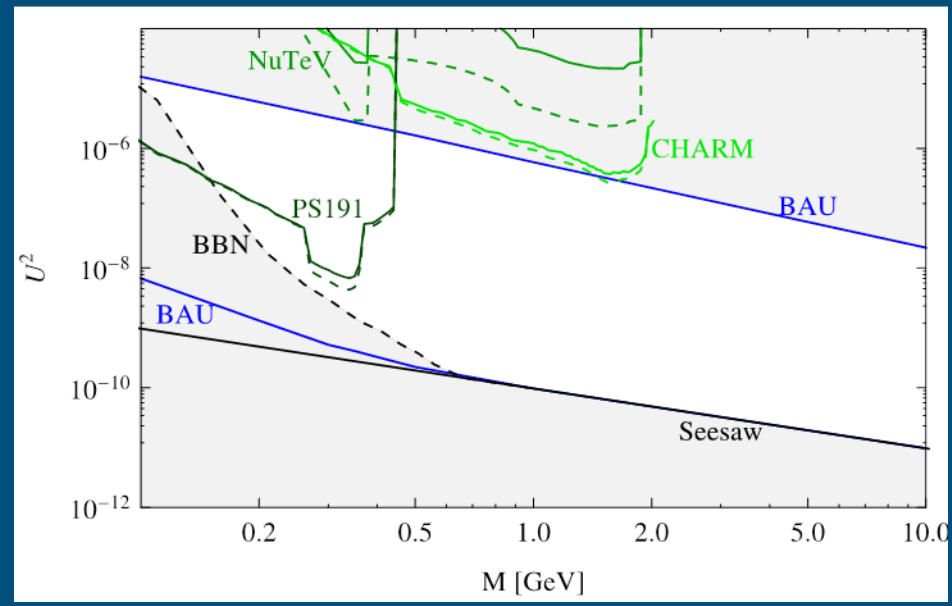
# $N_2$ and $N_3$ in $\nu$ MSM

- $N_1$  as DM ( $M_{N_1} \ll M_{N_2} \approx M_{N_3}$ ) gives no contribution to active neutrino masses
  - Neglect for the rest
  - Reduces number of effective parameters for Lagrangian with  $N_{2,3}$ 
    - 18 parameters → 11 new parameters with 3 CP violating phases
    - Two mixing angles related to active neutrinos and mass difference measured in low-energy neutrino experiment
  
- Generation of BAU with degenerate  $N_2$  and  $N_3$  (Akhmedov, Rubakov, Smirnov; Asaka, Shaposhnikov)
  1. Leptogenesis from coherent resonant oscillations with interference between CP violating amplitudes
  2. Out of equilibrium ( $\Gamma_{N_{2,3}} <$  Hubble rate of expansion) at the E.W. scale above sphaleron freeze-out
  3. Lepton number of active left-handed neutrinos transferred to baryon number by sphaleron processes
    - $\mathbb{L}_\ell - \frac{\mathbb{B}}{3}$  remain conserved while  $\mathbb{L}_\ell$  and  $\mathbb{B}$  are violated individually



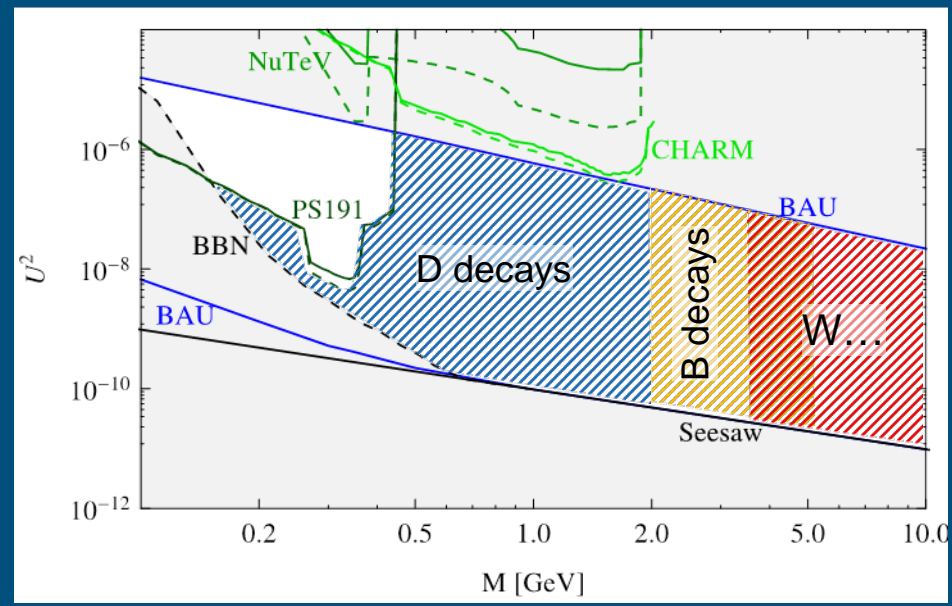
# $N_2$ and $N_3$ Constraints in $\nu$ MSM

1. **See-saw**: Lower limit on mixing with active neutrinos to produce oscillations and masses
2. **BAU**: Upper limit on mixing to guarantee out-of-equilibrium oscillations ( $\Gamma_{N_{2,3}} < H$ )
3. **BBN**: Decays of  $N_2$  and  $N_3$  must respect current abundances of light nuclei  
 → Limit on lifetime  $\tau_{N_{2,3}} < 0.1s$  ( $T > 3 MeV$ )
4. **Experimental: No observation so far...**  
 → Constraints 1-3 now indicate that previous searches were largely outside interesting parameter space



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- Large fraction of interesting parameter space can be explored in accelerator based search
  - $m_\pi < M_N < 2 GeV$
  - $M_N > 2 GeV$  is not reachable at any operating facility

# $N_{2,3}$ Production

- Production in mixing with active neutrino from leptonic/semi-leptonic weak decays of charm mesons
  - Total production depend on  $\mathcal{U}^2 = \sum_{\substack{I=1,2 \\ \ell=e,\mu,\tau}} |\mathcal{U}_{\ell I}|^2$
  - Relation between  $\mathcal{U}_e^2, \mathcal{U}_\mu^2$  and  $\mathcal{U}_\tau^2$  depends on exact flavour mixing
- ➔ For the sake of determining a search strategy, assume scenario with a predominant coupling to the muon flavour (arXiv:0705.1729)



- Production mechanism “probes”  $\mathcal{U}_\mu^2 = \sum_{I=2,3} \frac{v^2 |Y_{\mu I}|^2}{m_I^2}$
- ➔  $\text{Br}(D \rightarrow NX) \sim 10^{-8} - 10^{-12}$

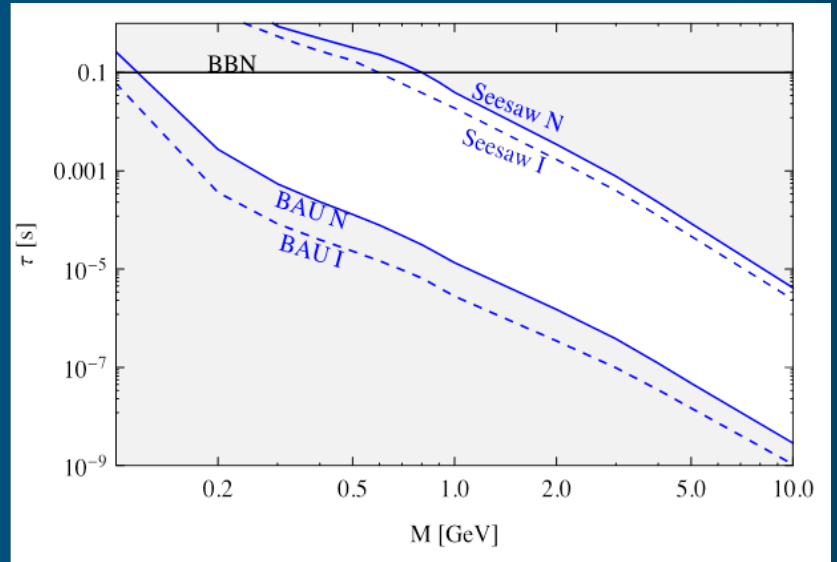
# $N_{2,3}$ Decay

- Very weak HNL-active neutrino mixing  $\rightarrow N_{2,3}$  much longer lived than SM particles  
 $\rightarrow$  Typical lifetimes  $> 10$  ms for  $M_{N_{2,3}} \sim 1$  GeV  $\rightarrow$  Decay distance  $\mathcal{O}(km)$

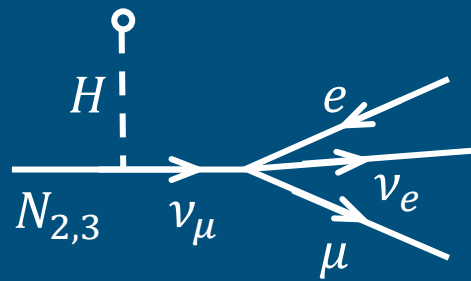
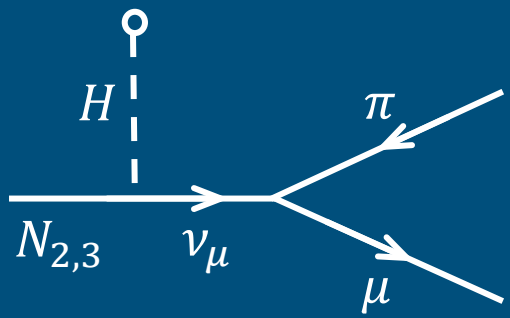
Decay modes:

- $N \rightarrow \mu e \nu, \pi^0 \nu, \pi e, \mu \mu \nu, \pi \mu, Ke, K\mu, \eta \nu, \eta' \nu, \rho \nu, \rho e, \rho \mu, \dots$
- Branching ratios depend on flavour mixing (again)
- Typical:

Decay mode	Branching ratio
$N_{2,3} \rightarrow \mu/e + \pi$	0.1 - 50 %
$N_{2,3} \rightarrow \mu^-/e^- + \rho^+$	0.5 - 20 %
$N_{2,3} \rightarrow \nu + \mu + e$	1 - 10 %



E.g.



- Probability that  $N_{2,3}$  decays in the fiducial volume  $\propto \mathcal{U}_\mu^2$

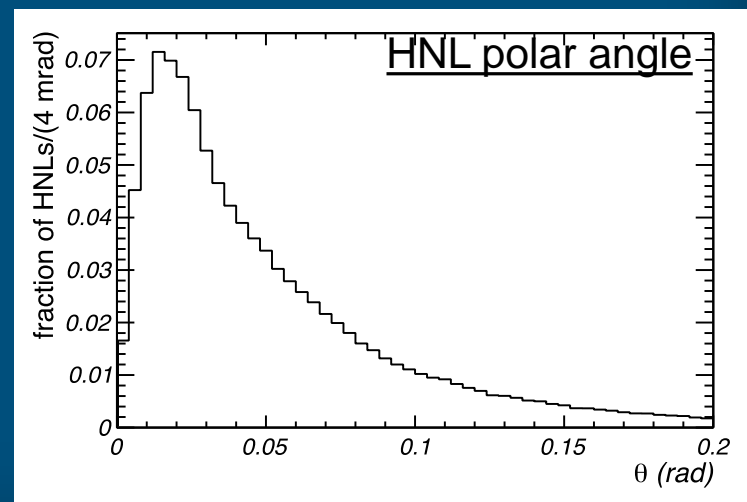
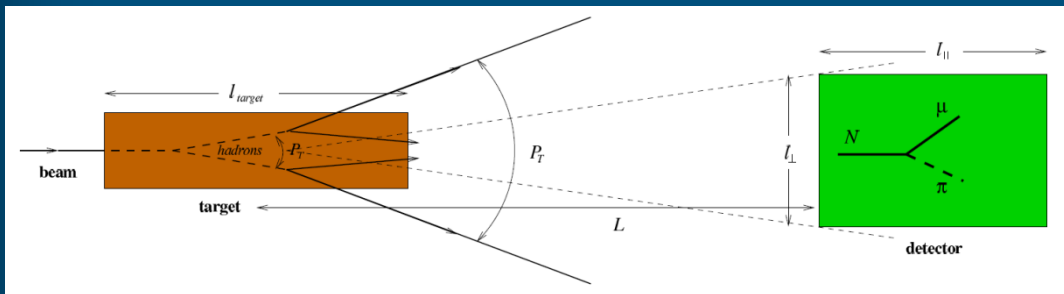
# Experimental Requirements/Challenges

Proposal: beam dump experiment at the SPS

1. Sensitivity  $\propto \mathcal{U}^4 \rightarrow$  **Number of protons on target (p.o.t.)**
  - $\rightarrow$  SPS:  $4\text{-}5 \times 10^{13} / 6\text{-}7\text{s} @ 400 \text{ GeV} = 500 \text{ kW} \rightarrow 2 \times 10^{20}$  in 4-5 years (similar to CNGS)
2. Preference for relatively **slow beam extraction**  $\mathcal{O}(ms - 1s)$  to reduce detector occupancy
3. **Heavy material target** to stop  $\pi$ , K before decay to reduce flux of active neutrinos
  - $\rightarrow$  Blow up beam to dilute beam energy on target
4. Long **muon shield** to range out flux of muons
5. Away from tunnel walls to reduce neutrino interactions in proximity of detector
6. **Vacuum in detector volume** to reduce neutrino interactions in detector
7. **Detector acceptance compromise between lifetime and  $N_{2,3}$  production angle**
  - ...and length of shield to filter out muon flux

$\rightarrow$  Incompatible with conventional neutrino facility

Gorbunov, Shaposhnikov

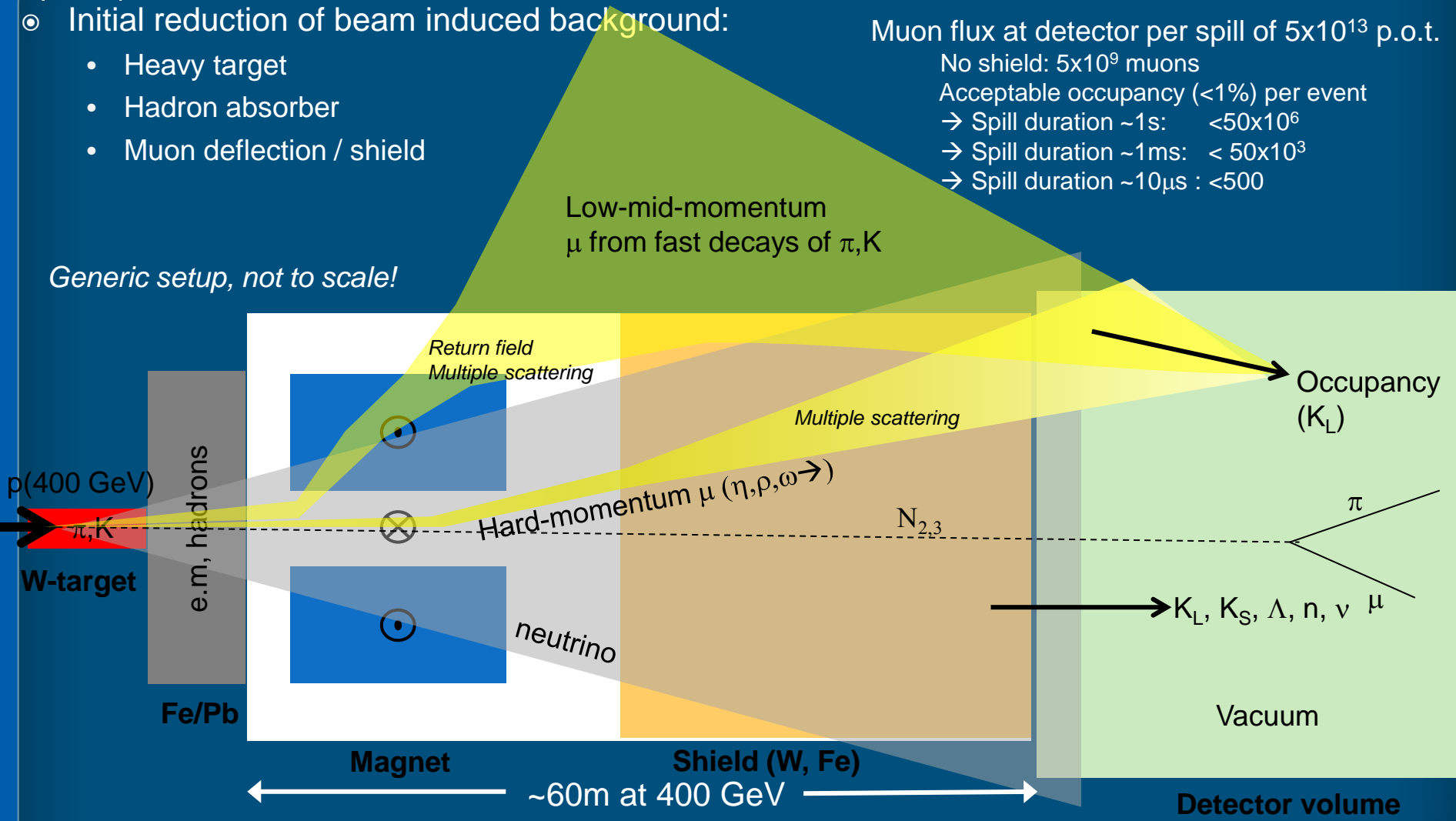


# Schematic Principle of Experimental Setup

- Initial reduction of beam induced background:
  - Heavy target
  - Hadron absorber
  - Muon deflection / shield

Muon flux at detector per spill of  $5 \times 10^{13}$  p.o.t.  
 No shield:  $5 \times 10^9$  muons  
 Acceptable occupancy (<1%) per event  
 → Spill duration ~1s:  $< 50 \times 10^6$   
 → Spill duration ~1ms:  $< 50 \times 10^3$   
 → Spill duration ~10 $\mu$ s :  $< 500$

Generic setup, not to scale!



→ Multi-dimensional optimization: Beam energy is compromise between  $\sigma_{charm}$ , beam intensity, background conditions, acceptance, detector resolution

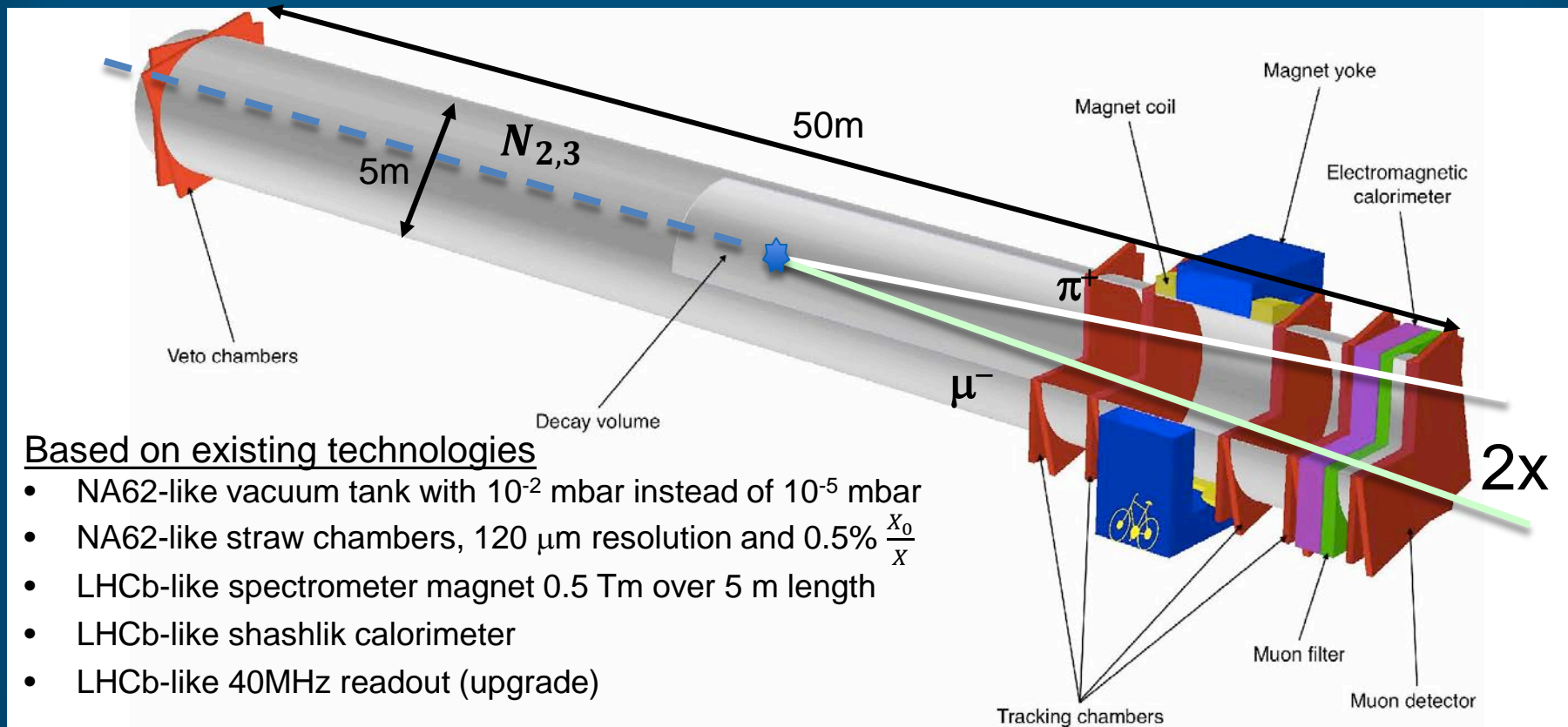


# Detector Concept

Reconstruction of the HNL decays in the final states:  $\mu\pi, \mu\rho, e\rho$

→ Requires long decay volume, magnetic spectrometer, muon detector and electromagnetic calorimeter in large hall

- Long vacuum vessel, 5 m diameter, 50 m length
- 10 m long magnetic spectrometer with 0.5 Tm dipole magnet and 4 low material tracking chambers

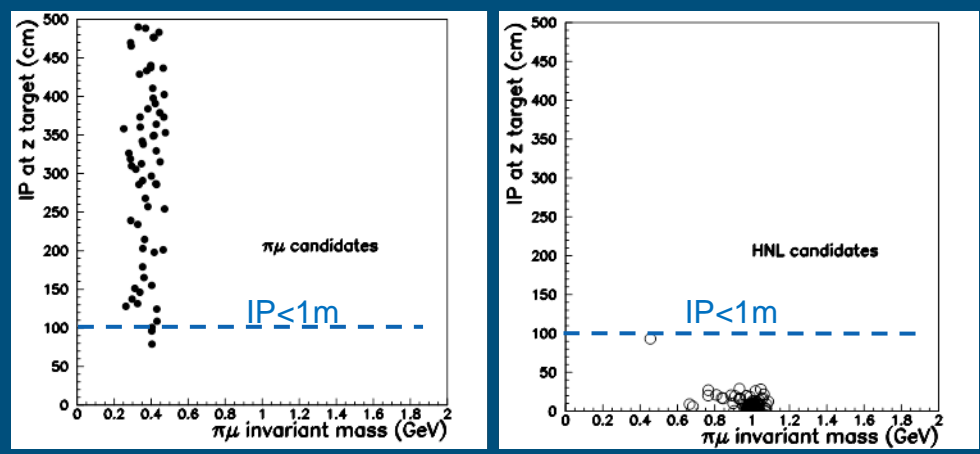


## Based on existing technologies

- NA62-like vacuum tank with  $10^{-2}$  mbar instead of  $10^{-5}$  mbar
- NA62-like straw chambers, 120  $\mu\text{m}$  resolution and  $0.5\% \frac{X_0}{X}$
- LHCb-like spectrometer magnet 0.5 Tm over 5 m length
- LHCb-like shashlik calorimeter
- LHCb-like 40MHz readout (upgrade)

# Background Suppression

- $2 \times 10^4$  neutrino interactions per  $2 \times 10^{20}$  p.o.t. in the decay volume at atmospheric pressure
  - ➔ Becomes negligible at 0.01 mbar
- Charged Current and Neutral Current neutrino interaction in the final part of the muon shield
  - Yields CC(NC) rate of  $\sim 6(2) \times 10^5 / \lambda_{\text{inter}} / 2 \times 10^{20}$  p.o.t.
  - $\sim 10\%$  of neutrino interactions produce  $\Lambda$  or  $K^0$  in acceptance
  - Majority of decays occur in the first 5 m of the decay volume
  - ➔ Requiring  $\mu$ -identification for one of the two decay products: 150 two-prong vertices in  $2 \times 10^{20}$  p.o.t.
  - For 0.5 Tm field integral  $\sigma_{\text{mass}} \sim 40$  MeV for  $p < 20$  GeV
  - ➔ E.g. background reduction by impact parameter



- The IP cut will also be used to reject backgrounds induced by neutrino interactions in the material surrounding the detector, cosmics etc
- Similar for muon interactions in the vicinity of the detector



# Expected Event Yield $N_{2,3} \rightarrow \mu\pi$

- Integral mixing angle  $\mathcal{U}^2 = \mathcal{U}_e^2 + \mathcal{U}_\mu^2 + \mathcal{U}_\tau^2$
- A conservative estimate of the sensitivity is obtained by considering only the decay  $N_{2,3} \rightarrow \mu\pi$  with production mechanism  $D \rightarrow \mu N_{2,3} X$ , which probes  $\mathcal{U}_\mu^4$
- Expected number of signal events

$$N_{signal} = n_{pot} \times 2\chi_{cc} \times Br(\mathcal{U}_\mu^2) \times \varepsilon_{det}(\mathcal{U}_\mu^2)$$

$$n_{pot} = 2 \times 10^{20}$$

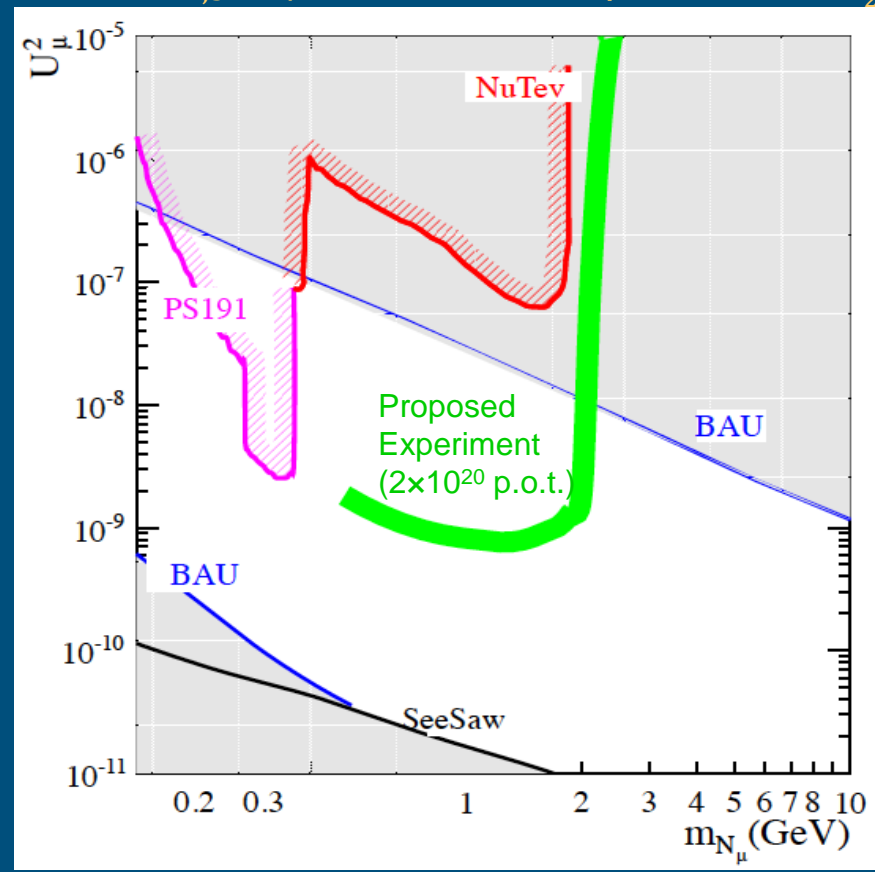
$$\chi_{cc} = 0.45 \times 10^{-3}$$

- $Br(\mathcal{U}_\mu^2) = Br(D \rightarrow \mu N_{2,3} X) \times Br(N_{2,3} \rightarrow \mu\pi)$  is assumed to be 20%
- $\varepsilon_{det}(\mathcal{U}_\mu^2)$  is the probability that  $N_{2,3}$  decays in the fiducial volume, and  $\mu$  and  $\pi$  are reconstructed  
 → Detection efficiency entirely dominated by the geometrical acceptance ( $8 \times 10^{-5}$  for  $\tau_N = 1.8 \times 10^{-5} s$ )
- (Reconstruction efficiency for  $N_{2,3} \rightarrow e\pi$  is about same as  $\mu\pi$ )
- ( $N_{2,3} \rightarrow \mu\rho$  is about 45% of  $N_{2,3} \rightarrow \mu\pi$ )

# Expected Event Yield $N_{2,3} \rightarrow \mu\pi$

Based on current SPS with  $2 \times 10^{20}$  p.o.t in  $\sim 5$  years of operation (CNGS-like)

- For comparison, assume
  - $U_\mu^2 = 10^{-7}$  (corresponding to the strongest current experimental limit for  $M_{N_{2,3}} = 1 \text{ GeV}$ )
  - $\tau_N = 1.8 \times 10^{-5} \text{ s}$
- $\rightarrow \sim 12\text{k}$  fully reconstructed  $N_{2,3} \rightarrow \mu\pi$  events are expected for  $M_{N_{2,3}} = 1 \text{ GeV}$



- 120 events for cosmologically favoured region:  $U_\mu^2 = 10^{-8}$  and  $\tau_N = 1.8 \times 10^{-4} \text{ s}$



# Evaluation of Full Physics Program

- General Purpose (Beam) Dump: Explore sensitivities to
  - all less constraining “variants” of  $\nu$ MSM
  - all BSM models with HNLs
  - all models with light, very weakly interacting, long-lived “exotic” particles out of reach at LHC
    - Sensitive to the same physics as CHARM and LHCb  $\rightarrow$  Longer lifetimes and smaller couplings
  - $\nu_\tau$  physics with additional upstream emulsion detector: 1500 - 2000 events expected

Examples with mass  $\sim \mathcal{O}(GeV)$  and production branching ratio  $\sim \mathcal{O}(10^{-10})$

$\rightarrow$  Light super-goldstones [Gorbunov, 2001] “Axion- and dilaton-like”

$\rightarrow D \rightarrow \pi X, X \rightarrow \pi^+ \pi^-, \pi^0 \pi^0, l^+ l^-$

$$N_{\pi^+ \pi^-} (N_{pot} = 2 \times 10^{20}) \cong 2 \times \left( \frac{1000 TeV}{\sqrt{F}} \right)^8 \left( \frac{M_{\lambda g}}{3 TeV} \right)^4 \left( \frac{m_X}{1 GeV} \right)^2$$

$\rightarrow$  R-parity violating neutralinos in SUSY [Dedes et al., 2001] “Heavy-neutrino like”

$\rightarrow D \rightarrow l \tilde{\chi}, \tilde{\chi} \rightarrow l^+ l^- \nu$

$$N_{\mu^+ \mu^- \nu} (N_{pot} = 2 \times 10^{20}) \cong 20 \times \left( \frac{m_{\tilde{\chi}}}{1 GeV} \right)^6 \left( \frac{\lambda}{10^{-8}} \right)^2 \left( \frac{BR(D \rightarrow l \tilde{\chi})}{10^{-10}} \right), \lambda \text{ is R-violating coupling}$$

$\rightarrow$  Massive vectors in secluded dark matter models [Pospelov et al., 2008] “Paraphoton-like”

• Production of  $\gamma'$  through bremsstrahlung,  $J/\psi$  decay,  $\gamma' \rightarrow l^+ l^-$

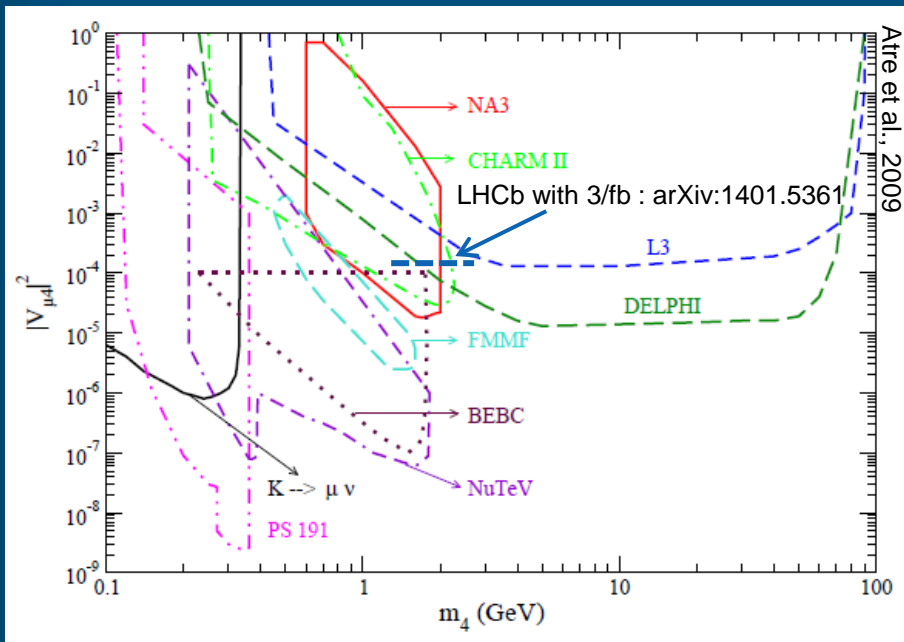
$\rightarrow$  Specifying the full physics program is one of the main goals of the next few months

# Prospects for Future

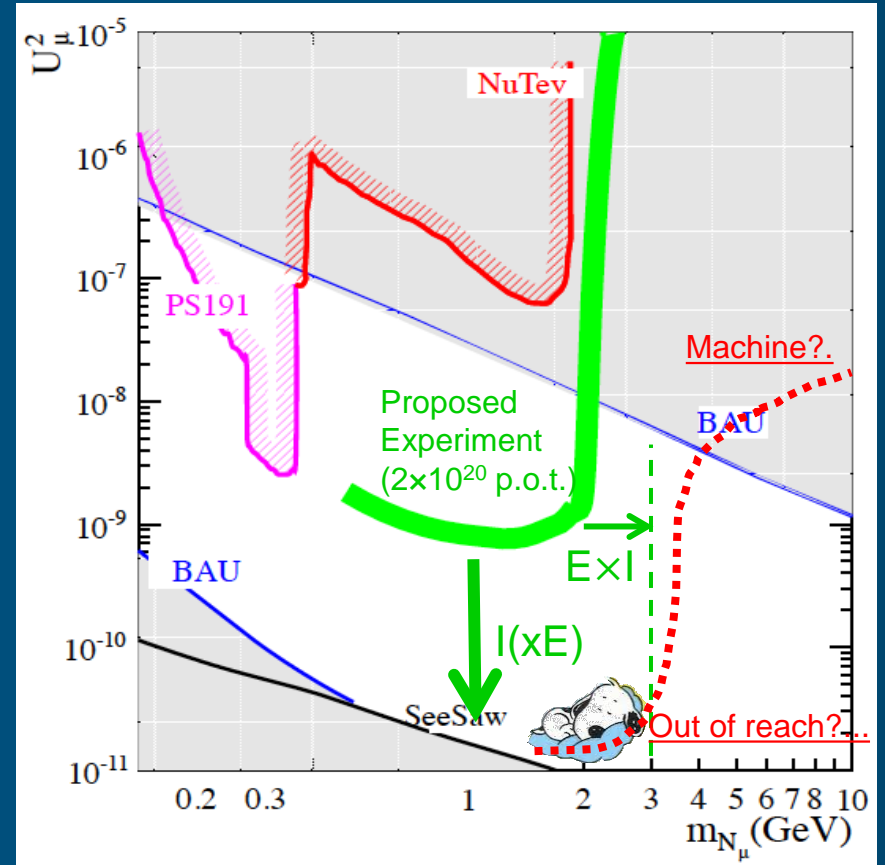
Current sensitivity based on current SPS with  $2 \times 10^{20}$  p.o.t in  $\sim 5$  years of operation

- HNLs very constrained by simultaneously aiming at answering to neutrino masses, BAU and DM.
- Primary interest to reach seesaw limit

Summary of Searches for  $N_I$



→ Colliders out of luck



→ Search for Hidden Sector light objects → Intensity Frontier

→ Complementary by use of fixed target facility on FHC Injectors (fast cycling!)

- Fiducial volumes



# Conclusions

- $\nu$ MSM : Minimal SM extension with solutions to the main BSM questions with “least prejudice”
  - Origin of the baryon asymmetry of the Universe
  - Origin of neutrino oscillations and mass
  - Shed light on the nature of Dark Matter
- Evaluation of complete physics program with very weakly interacting and long-lived particles
  - General purpose beam dump facility
  - The proposed experiment perfectly complements the searches for NP at the LHC
- Sensitivity demonstrated with  $\nu$ MSM for  $M_N < 2 \text{ GeV}$  and  $2 \times 10^{20}$  p.o.t.
  - ➔ Discovery potential in cosmologically favoured region with  $10^{-7} < \mathcal{U}_\mu^2 < a \text{ few} \times 10^{-9}$
  - Improved with the additional decay modes
  - Improved with an SPS':  $7 \times 10^{13}$  p.o.t. and ms / second extraction
  - Below  $\mathcal{U}^2 \sim 10^{-9}$  and  $M_N > 2 \text{ GeV}$  ➔ Clearly new machine! ➔ FHC Injectors with fixed-target facility
- The impact of a discovery of the HNLs on particle physics is difficult to overestimate !
  - Of course also true for any other BSM long-lived object!
  - Clearly requires a new machine ➔ Intensity
  - *Challenging experimental optimization*
- SPSC recommendation Jan 2014: Encouragement to submit extended proposal (LoI)
  - ➔ “SHIP” Workshop/Collaboration meeting June 10 – 12, 2014



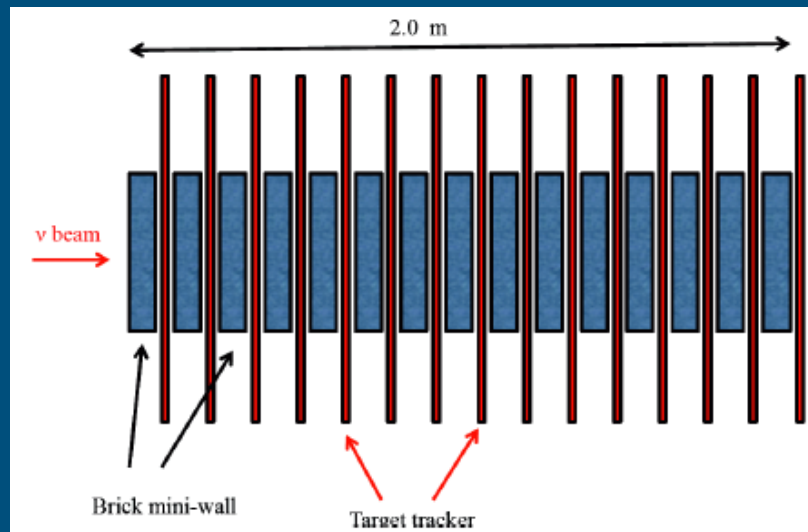
# Reserve slides



# Prospects for $\nu_\tau$ Physics

## Scaling from the DONUT experiment

- 20 times more  $\nu_\tau$  CC interactions assuming the same neutrino fiducial mass
  - Realistic to increase fiducial mass from 260 kg (DONUT) to 3000 kg with OPERA style lead/emulsion bricks (3% of OPERA emulsion surface)
- 1500 – 2000 events expected

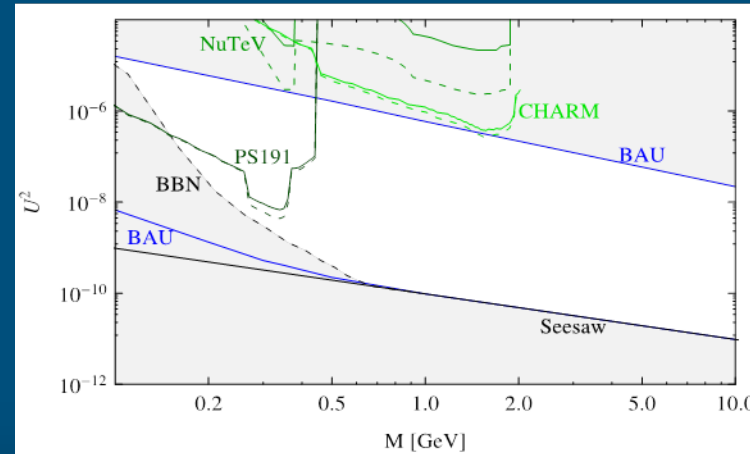


- Negligible loss of acceptance for HNL detector
- HNL detector function as forward spectrometer for  $\nu_\tau$  physics program
- Use of calorimeter/muon detector allow tagging neutrino NC/CC interactions → normalization



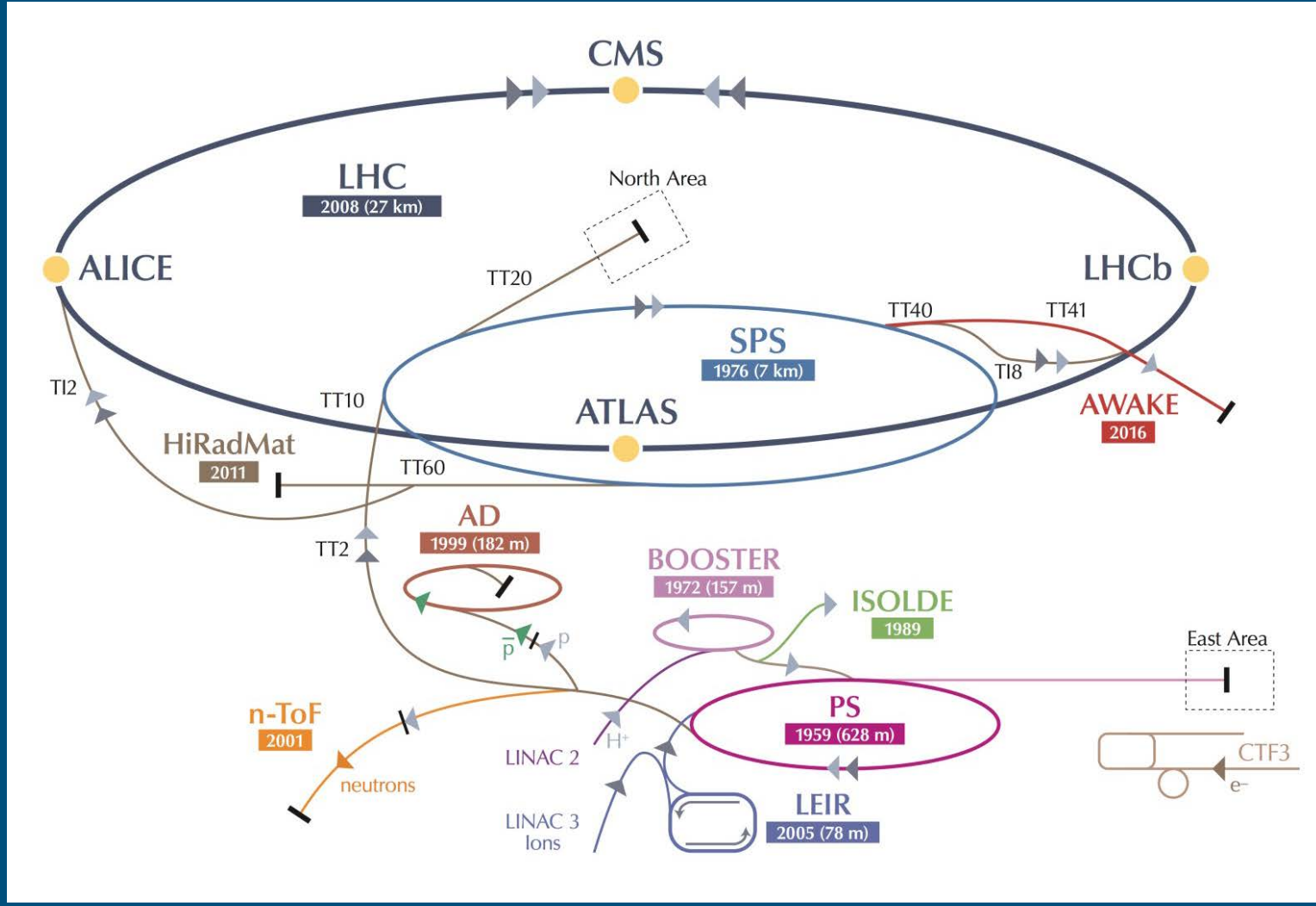
# Constraints in Variants of $\nu$ MSM

1.  $\nu$ MSM: HNLs are required to explain neutrino masses, BAU, and DM
  - $u^2$  is the most constrained
2. HNLs are required to explain neutrino masses and BAU
  - $N_1$ ,  $N_2$  and  $N_3$  are available to produce neutrino oscillations/masses and BAU
3. HNLs are required to explain neutrino masses
  - Only experimental constraints remain
4. HNLs are required to explain Dark Matter
5. HNLs are helpful in cosmology and astrophysics
  - E.g. HNL may influence primordial abundance of light elements
  - E.g. HNL with masses below 250 MeV can facilitate the explosions of the supernovae
- ⊙ HNLs are not required to explain anything - just so
  - Contributions of the HNL to the rare lepton number violating processes  $\mu \rightarrow e$ ,  $\mu \rightarrow eee$





# CERN Accelerator Complex



# Primary Beam with current SPS

Experimental sensitivity based on  $2 \times 10^{20}$  protons on target, that is 5 years of equivalent CNGS operation

## → Basic experimental requirements

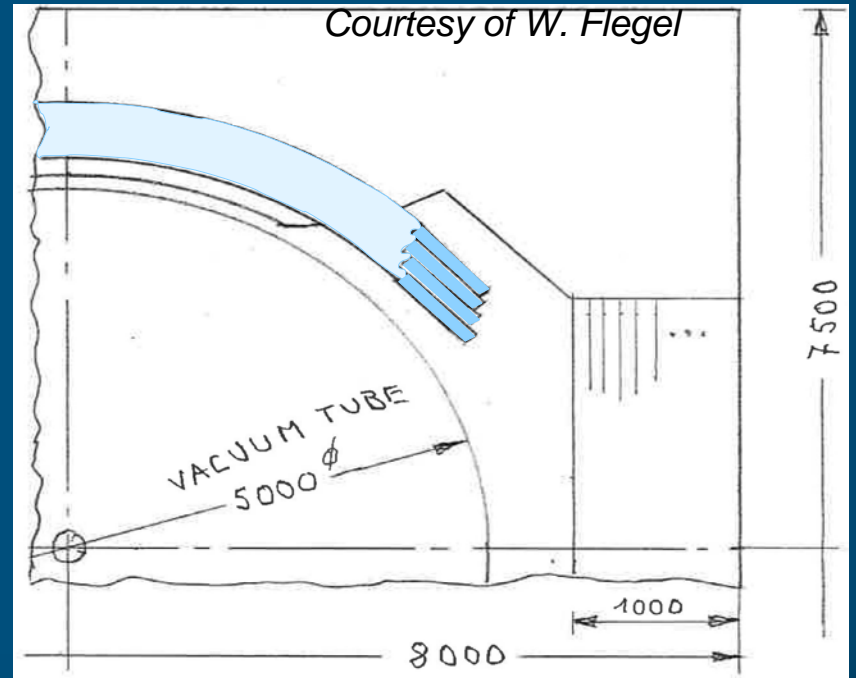
1. Maximum production of D mesons at an energy of  $\sim 400$  GeV
  - Energy is driven by optimization between D cross-section, acceptance from boost, and amount of shield to range out muon flux.
2. 6s/7.2s SPS cycles with preference for longest possible extraction spill to reduce detector occupancy
  - Easing requirements on detector and reconstruction
3. Minimal beam induced background in terms of neutrinos and muons
  - Use of a heavy target material (tungsten) to stop pions and kaons
4. HNL production angles relaxes significantly the beam parameters (collimation and alignment)
  - Beam delivery line consisting mainly of drift space and dilution to ease tungsten target design

Based on these requirements, the proponents have investigated a realistic NA option in close contact with beam, target, radiology, and infrastructure experts

- SPS extraction in SPS-LSS2
    - Key study concerns optimal extraction type
  - Beam splitting/switch at the top of SPS-NA transfer line (TT20)
    - Key study concerns the possibility of a combined splitter for COMPASS and the EOI-010 experiment transfer line
  - A compact target bunker
    - Limited volume by the use of the hadron stopper closing the entrance to the muon shield tunnel
  - Wide tungsten target head
    - Key study concerns the solid tungsten target design with heat extraction and mechanical stress
  - 60 m tunnel housing optimised combination of passive/active muon shield
- ⊙ A significant fraction of studies performed for neutrino facilities are directly beneficial to the current proposal (extraction, TT20 reuse, transfer line, target station, civil engineering and radiological aspects)

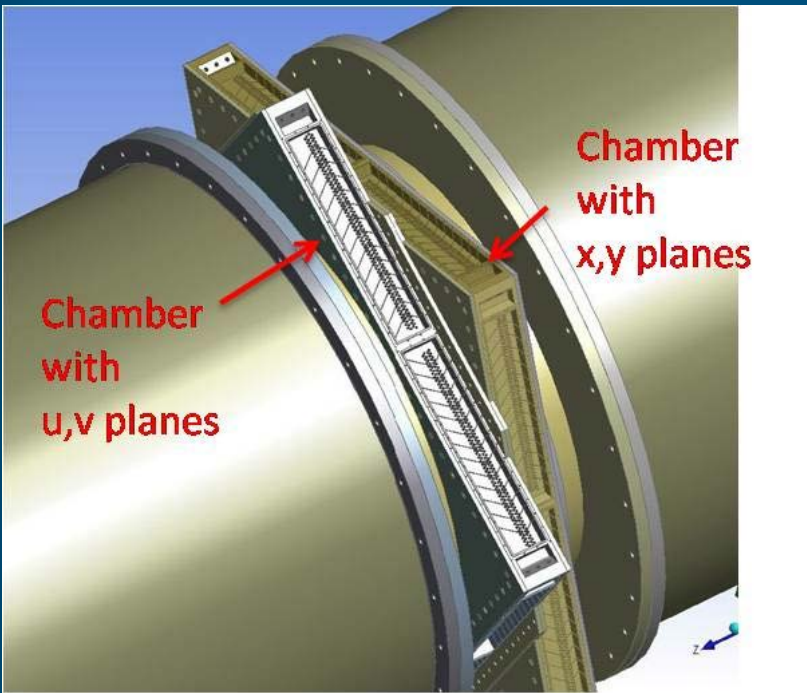
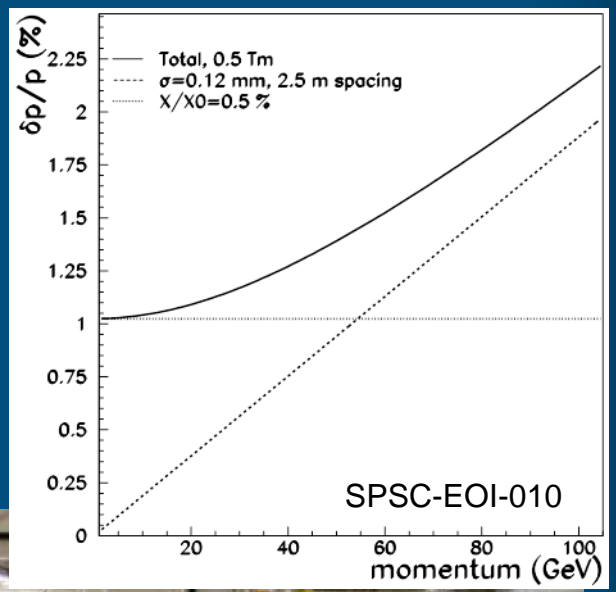
# Detector Technologies

- Experiment requires a dipole magnet similar to LHCb design, but with ~40% less iron and three times less dissipated power
- Free aperture of ~ 16 m<sup>2</sup> and field integral of ~ 0.5 Tm
  - Yoke outer dimension: 8.0x7.5x2.5 m<sup>3</sup>
  - Two Al-99.7 coils
  - Peak field ~ 0.2 T
  - Field integral ~ 0.5 Tm over 5 m length



# Detector Technologies

- NA62 vacuum tank and straw tracker
  - $< 10^{-5}$  mbar pressure in NA62 tank (cmp.  $10^{-2}$  mbar)
  - Straw tubes with  $120 \mu\text{m}$  resolution and  $0.5\% \frac{X_0}{X}$  of material budget
  - Gas tightness of straw tubes demonstrated in long term tests
- Multiple scattering and spatial resolution of straw tubes give similar contribution to the overall  $\frac{dP}{P}$



# Detector Technologies

- LHCb electromagnetic calorimeter
- Shashlik technology provides economical solution with good energy and time resolution

